#### NIH Collaboratory

Health Care Systems Research Collaboratory

Rethinking Clinical Trials®

## Topic 1: What Are Embedded Pragmatic Clinical Trials?

Part 1: Lesley Curtis, PhD

Director, Center for Pragmatic Health Systems Research Duke Clinical Research Institute

**Collaboratory ePCT Training Workshop** 

#### Overview

- How ePCTs are different from traditional explanatory trials
  - Rationale
  - Setting
  - Design
  - Outcomes
- ePCTs bridge real-world clinical care & research
- Emphasizing the pragmatic in ePCTs
  - Introducing PRECIS-2 as a tool for study teams in the design phase

#### **Key ePCT characteristics**

- ePCT intervention is embedded in healthcare system culture & workflow
- Needs broad stakeholder engagement & support (Topic 2)
- Uses data collected from EHR in routine clinic visits (Topic 6)
- Will involve tradeoffs in flexibility, adherence & generalizability
- Promotes a learning healthcare system where research informs practice & practice informs research

#### Differences

	EXPLANATORY	PRAGMATIC
Research	Efficacy: Can the intervention	Effectiveness: Does the
question	work under the best conditions	intervention work when used
		in normal practice?
Setting	Well-resourced "ideal" setting	Normal care settings
		including primary care,
		community clinics, hospitals
Population	Highly selected	More representative with
		less strict eligibility criteria
Intervention	Tests against placebo,	Tests 2 or more real-world
design	enforcing strict protocols &	treatments using flexible
	adherence	protocols
Outcomes	Often short-term surrogate or	Clinically important
	process measures; data	endpoints; data collected in
	collected outside routine care	routine care
Clinical	Indirect: Not usually designed	Direct: Purposely designed
relevance	for making decisions in real-	for making decisions in real-
	world settings	world settings

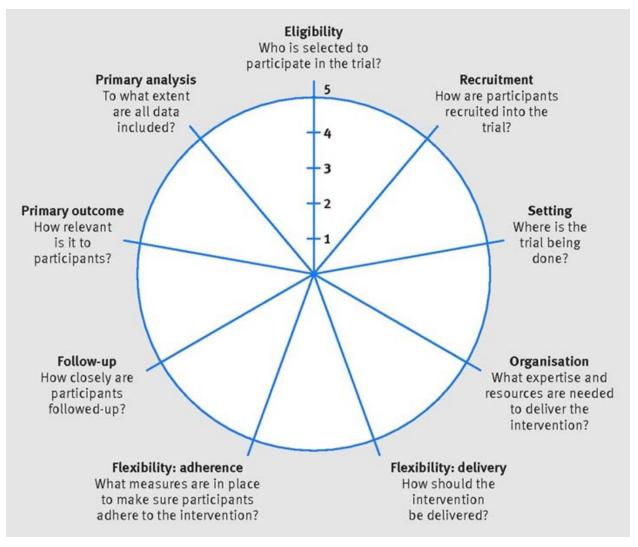
#### Where does QI fit?

- QI is designed to change local processes to achieve accepted standards of care
- ePCTs are designed to determine standards of care

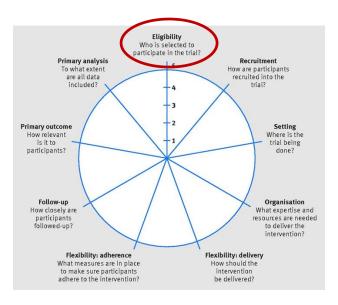
#### **PRECIS-2: Trials fit for purpose**

- Pragmatic—Explanatory Continuum Indicator Summary (2<sup>nd</sup> version) evaluates 9 domains of the trial
  - Eligibility
  - Recruitment
  - Setting
  - Organization
  - Flexibility: delivery
  - Flexibility: adherence
  - Follow-up
  - Primary outcomes
  - Primary analysis

#### **PRECIS-2 wheel**



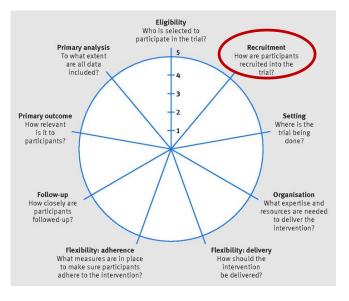
#### **PRECIS-2: Eligibility**



The more similar the participants are to people in usual care, the higher the PRECIS-2 score

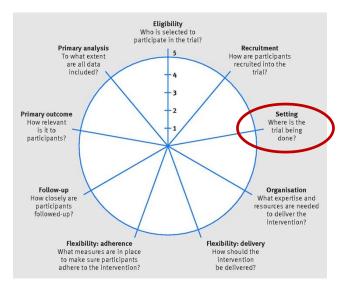
Average enrollment in an explanatory trial in low single digits as % of patient population; highly pragmatic trials include a substantial proportion of the patient population

#### **PRECIS-2: Recruitment**



Mass recruitment via email with no provider contact and recruitment via usual appointments yield higher PRECIS-2 scores

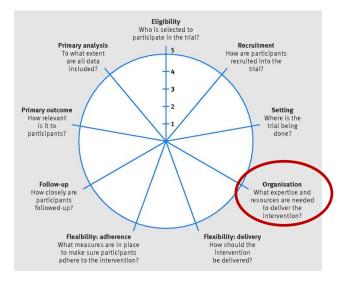
#### **PRECIS-2: Setting**



The more similar the setting of the trial to the setting in which the results will be applied, the higher the PRECIS-2 score

Community-based practices vs academic medical centers

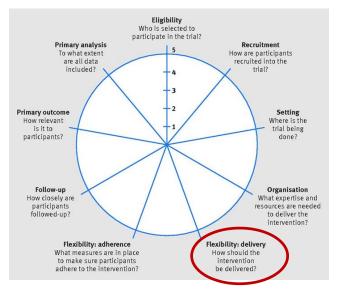
#### **PRECIS-2: Organization**



The easier to implement in usual care, the higher the PRECIS-2 score

Oral tablet with simple instructions vs an infused medication

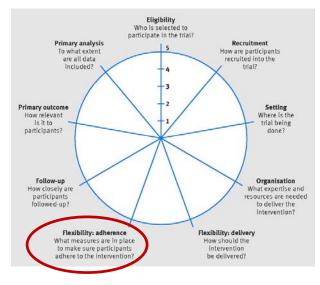
#### **PRECIS-2: Flexibility: delivery**



The more the trial intervention looks like the way the intervention will be used in practice, the higher the PRECIS-2 score

Strict protocol, monitoring to improve compliance vs flexibility that's consistent with usual care

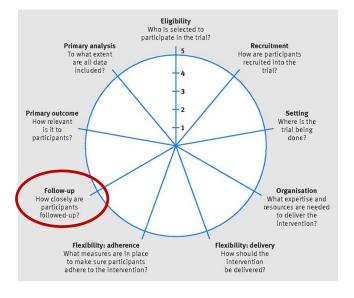
#### **PRECIS-2: Flexibility: adherence**



The less enforcement of compliance with intervention, the higher the PRECIS-2 score

Usual encouragement to adhere to the intervention vs exclusion based on adherence

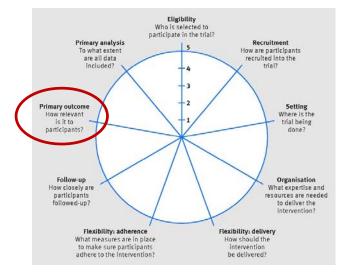
#### **PRECIS-2: Follow-up**



The less intense the study follow-up, the higher the PRECIS-2 score

Obtaining endpoints from EHR and routine visits vs scheduled study visits

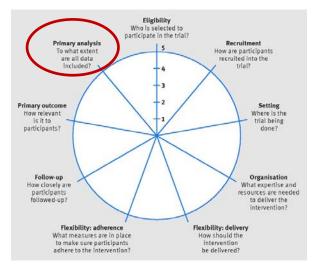
#### **PRECIS-2: Primary outcome**



The more patient-centric the endpoint, the higher the PRECIS-2 score

Symptoms, quality of life vs biomarkers

#### **PRECIS-2: Primary analysis**



Intention-to-treat analyses yield highest PRECIS-2 score

Excluding dropouts or noncompliant patients from the primary analysis "per protocol" analyses scores low

## Good Important things to know

- ePCTs bridge real-world clinical care & research
- Broad stakeholder engagement & support are essential
- Tradeoffs between flexibility, adherence
  & generalizability are inevitable
- Trials range across the spectrum from explanatory to pragmatic



 Consider carefully the pragmatism of ALL domains of the trial

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## Topic 1: What Are Embedded Pragmatic Clinical Trials?

Part 2: ePCT Case Studies: STOP CRC and TSOS Gloria Coronado, PhD, Kaiser Permanente Center for Health Research Doug Zatzick, MD, University of Washington School of Medicine

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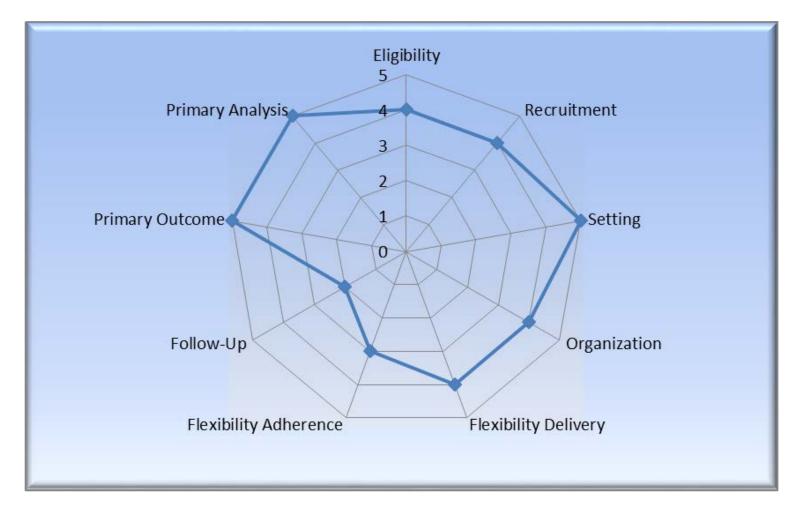
# STOP CRC: Gloria Coronado, PI TSOS: Doug Zatzick, PI



#### **STOP CRC PRECIS-2 wheel**



#### **TSOS PRECIS-2 wheel**



# Good Important things to know

The PRECIS-2 wheel can be a useful tool for understanding variability in pragmatic trial characteristics What would a PRECIS wheel diagram look like for the trial you are developing?

