## Intervention Delivery Complexity Tool

NIH Pragmatic Trials Collaboratory Steering Committee Meeting May 15-16, 2023 Steven George PT, PHD, FAPTA Lindsay Ballengee DPT Angelo Volandes MD, MPH Vince Mor PHD



## Overview

- First half
  - Introduction to the tool (George)
  - Panelists discuss the tool in context of their trial (Volandes and Mor)
  - Questions and discussion
- Second half
  - Next steps for the tool (Ballengee)
  - Panelists comments (Mor and Volandes)
  - Questions and discussion



## Why a Tool for Intervention Delivery?

- Intervention complexity has been well described in the literature
  - One example is the Intervention Complexity Assessment Tool (iCAT-SR)
- Lack of attention to intervention <u>delivery</u> complexity



## Why a Tool for Intervention Delivery?

- This lack of attention may be due to a "blind spot" for explanatory trials
  - Intervention delivery is tasked to research staff
- For embedded pragmatic trials intervention delivery is a highly relevant issue
  - Different parts of the healthcare systems (hospitals, clinics, or primary care practices) will deliver interventions

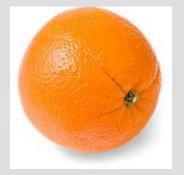


### AIM-Back vs. ADAPTABLE



### AIM-Back vs. ADAPTABLE







## For Pragmatic Trials: Intervention Delivery Varies in Complexity



### For Pragmatic Trials: We Have No Way to Characterize Intervention Delivery Complexity





 Gathered several PIs of Collaboratory trials to describe major drivers of complexity

Performed a literature review

Development of and iteration on a tool

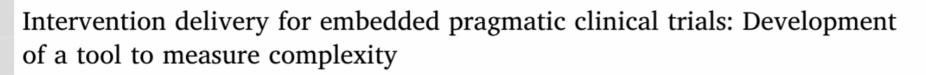




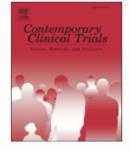
Contents lists available at ScienceDirect

#### **Contemporary Clinical Trials**

journal homepage: www.elsevier.com/locate/conclintrial



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### Internal Domains Factors pertaining to the intervention

### **Internal Domains:**

Degree to which the intervention requires re-engineering of existing work flows and tasks (Work Flow):

Modified Work Flow, No New Tasks

Level of familiarity or extra training needed for those delivering the intervention (Training Demand):

No Training

•

Number of components in the intervention to be delivered (Intervention Components):

No Components

Modified Work Flow, No New Tasks New Work Flow, No New Tasks Modified Work Flow, New Tasks New Work Flow, New Tasks

No Training

Refresh of Existing Skills Training for a New Skill Training of Multiple New Skills

No Components One Component

Two Components

Three+ Components



### External Domains Impact intervention delivery

#### **External Domains:**

Number of health care systems involved in delivering the intervention (Organization Levels):

1

Number of clinics involved in delivering the intervention (Organization Levels):

1

Number of steps between the intervention and the outcome's intended effect (Number of Steps):

Pathway is short (only one or two steps between intervention and outcomes), direct, and linear

Degree to which delivery of the intervention is dependent on setting in which it is implemented (Dependency on Setting):

Not dependent on setting (could be delivered in any setting)

The calculator multiplies systems x clinics

Not dependent on setting (could be delivered in any setting)

Minimally dependent on setting (could easily be delivered in a low resource setting)

Moderately dependent on setting

Largely dependent on setting (could only be delivered in a high resource setting)

Pathway is short (only one or two steps between intervention and outcomes), direct, and linear

Longer (three or more steps between intervention and outcomes) but still linear

Non- linear (including the potential of more than one provider)

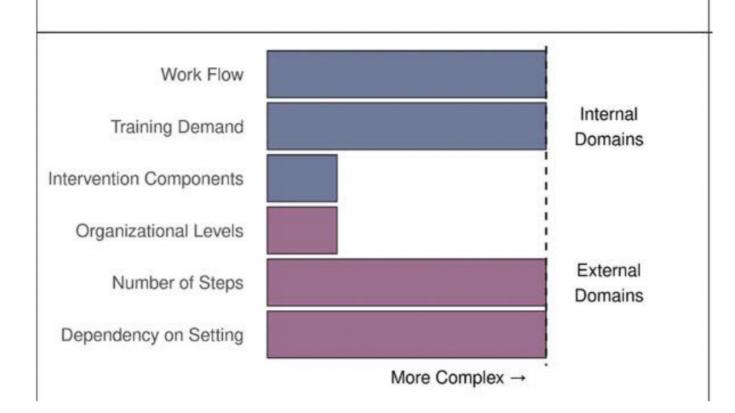
Variable steps, long pathway, multiple providers



### **Demonstration Project Experiences**



PROVEN [3,26] Pragmatic Trial of Video Education in Nursing Homes NCT02612688 Goal: Determine if showing advanced care planning videos in nursing homes affects the rates of hospitalization Setting: 2 nursing home health systems; 359 nursing homes / Nursing home health systems serving long-stay (>12 months) patients with advanced comorbid conditions (166,196 patients)



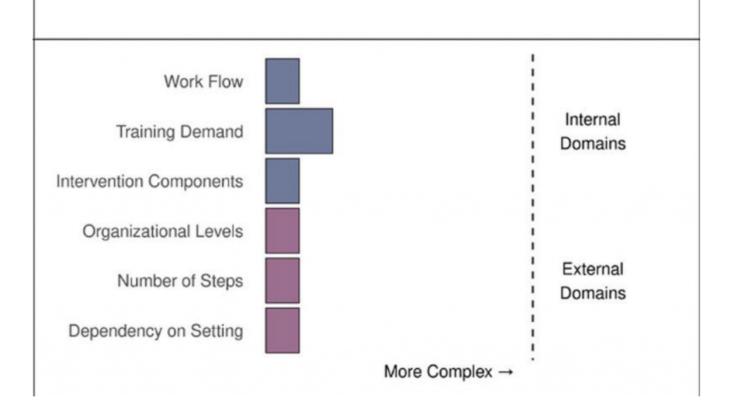


#### ACP COVID

Advance Care Planning: Communicating with Outpatients for Vital Informed Decisions

NCT04660422

Goal: Test whether clinician communication skills training and patient video decision aids increase completion of advance care planning Setting: Patients >65 years of age at risk for COVID at Northwell Health





## **Intervention Complexity Tool**

**Next Steps** 



### A bit about me...





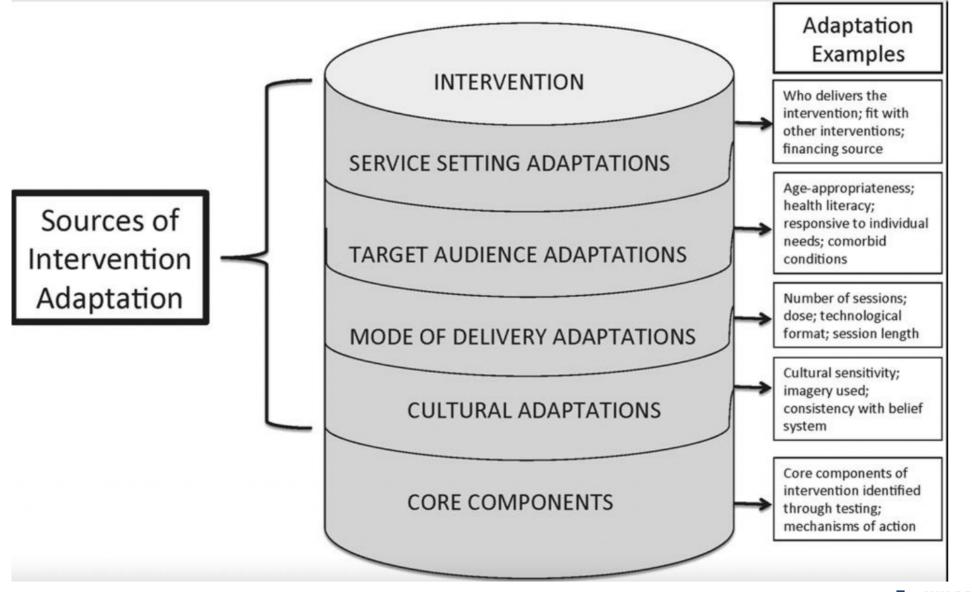
Duke University School of Medicine

### AIM

Investigate relationship between intervention complexity and adaptations to explore impact on implementation outcomes.

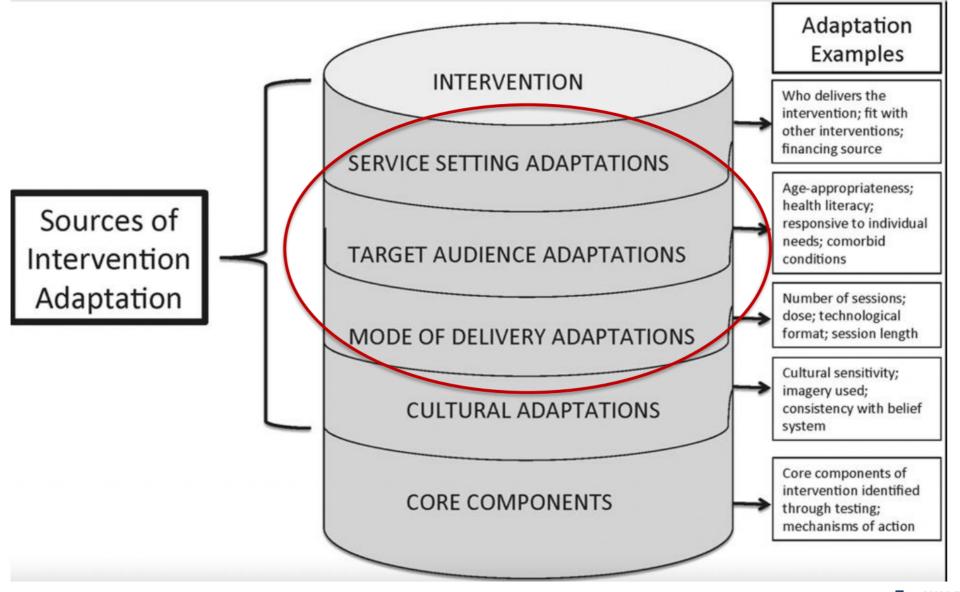
*Hypothesis*: More complex interventions require a greater number of adaptations to be implemented.





Chambers, David A., and Wynne E. Norton. "The adaptome: advancing the science of intervention adaptation." AJPM, 51.4 (2016): S124-S131





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### FRAME and FRAME-IS

Module 5, Part 1: WHEN is the	Module 6: WHO participates in the decision to	<ul> <li>Module 7: How WIDESPREAD is the modification?</li></ul>
modification initiated?	modify?	(i.e. for whom/what is the modification made?) <li>Individual patient or other recipient for whom the EBP is being implemented</li> <li>Group of patients or other recipients for whom the EBP is being implemented</li> <li>Patients or other recipients that share a particular characteristic (e.g. all patients from a specific language background)</li> <li>Individual clinician or teacher charged with implementing the EBP</li>
Module 5, Part 2: Is modification PLANNED?	□Optional: Indicate who makes the ultimate decision:	<ul> <li>Clinic/unit</li> <li>Organization</li> <li>Network system/community</li> <li>Specific implementer/facilitator</li> <li>Implementation/facilitation team</li> </ul>

Wiltsey Stirman S, Baumann AA, Miller CJ. The FRAME: an expanded framework for reporting adaptations and modifications to evidence-based interventions. *Implement Sci.* 2019;14(1):58.

Miller CJ, Barnett ML, Baumann AA, Gutner CA, Wiltsey-Stirman S. The FRAME-IS: a framework for documenting modifications to implementation strategies in healthcare. *Implement Sci.* 2021;16(1):36.



## Sample Survey Questions

- Based on the components of your intervention, please describe the adaptations that took place within your trial.
- Was intervention content modified? Yes/no. If yes, please answer the following questions.
- WHEN did the modification occur? Check all that apply.
  - Pre-implementation/planning/pilot phase
  - During implementation of the trial
  - During the scale-up phase
  - During maintenance/sustainment phase



### **Sample Survey Questions**

- WHAT was modified? Check all that apply.
  - Content (e.g., modified the content of the intervention itself or how it is being delivered)
  - Context (e.g., modified the way the overall treatment is delivered)
  - Format
  - Setting
  - Personnel
  - Population
  - Training/evaluation (e.g., modified the way staff are trained or how the intervention is evaluated)
  - Implementation and scale-up activities (e.g., modified the strategies used to implement or spread the intervention)



### Panelist Comments

- 1. Experiences from the NIA IMPACT Collaboratory (Mor)
- 2. When does adaptation become a different intervention? (Volandes)



# **Questions and Discussion**

