

# Lumbar Spine Imaging: When to Order and What the Results Mean

Jeffrey (Jerry) Jarvik, MD, MPH

Comparative Effectiveness, Cost and Outcomes  
Research Center (CECORC)

Depts. of Radiology, Health Services and Neurological Surgery

University of WA

PM&R 2/15/18



# Acknowledgements

- **NIH:** UH2 AT007766-01; UH3 AT007766; P30 AR072572
- **AHRQ:** R01HS019222-01; 1R01HS022972-01
- **PCORI:** CE-12-11-4469

## Disclosures (Jarvik)

- **Physiosonix:** ultrasound company, Founder/stockholder
- **Healthhelp:** utilization review, consultant
- **UpToDate:** Section Editor
- **Evidence Based Neuroimaging Diagnosis and Treatment:** Springer, Co-Editor





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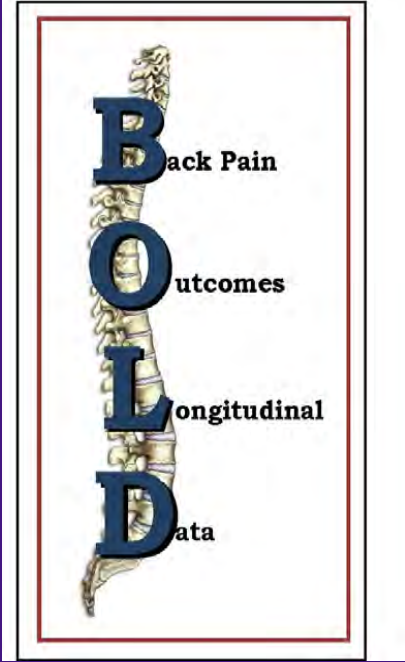
*excellent science, shared passion, enduring impact*



# UW Medicine

UW SCHOOL  
OF MEDICINE

DEPARTMENT OF RADIOLOGY



# NIH Collaboratory

*Rethinking Clinical Trials®*

Health Care Systems Research Collaboratory

# Talk Outline

- When to image: guideline review
- What we say:
  - Nomenclature: Classifying Findings
  - Degeneration: The Spectrum of Normal
- Summary



# Talk Outline

- When to image: guideline review
- - Nomenclature: Classifying Findings
  - Degeneration: The Spectrum of Normal
- 



# AHCPR Guidelines

- 1991
- Addressed acute LBP
- Established red flag concept
  - No imaging before 4 wks unless red flag was present



# Red Flags for Early Imaging

- Sig trauma
- Mild trauma age >50
- h/o cancer
- Unexplained wt loss/fever
- Immunocompromised
- IVDA/steroids
- Osteoporosis
- Cauda equina
  - Bilat leg symptoms
  - Bowel/bladder symptoms
- Age <20 or >70

# Re-affirmation of Guidelines in 2009 Review

Articles

Lancet Chou et al 2009: 373: 463

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## Imaging strategies for low-back pain: systematic review and meta-analysis



*Roger Chou, Rongwei Fu, John A Carrino, Richard A Deyo*

### Summary

**Background** Some clinicians do lumbar imaging routinely or in the absence of historical or clinical features suggestive of serious low-back problems. We investigated the effects of routine, immediate lumbar imaging versus usual clinical

*Lancet 2009; 373: 463-72*

See [Comment](#) page 436



# Why Not Image Early?

- Multiple studies have failed to show benefit
- Increased cost
- Potential for worse outcomes



# Lack of Benefit of Early MR

*JAMA 2003; 289; 2810*

## Rapid Magnetic Resonance Imaging vs Radiographs for Patients With Low Back Pain

A Randomized Controlled Trial

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Jeffrey G. Jarvik, MD, MPH

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William Hollingworth, PhD

---

Brook Martin, BS

---

Scott S. Emerson, MD, PhD

---

Darryl T. Gray, MD, ScD

---

Steven Overman, MD, MPH

---

David Robinson, MD

---

Thomas Staiger, MD

---

Frank Wessbecher, MD

---

Sean D. Sullivan, PhD

---

William Kreuter, MPA

---

Richard A. Deyo, MD, MPH

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**Context** Faster magnetic resonance imaging (MRI) scanning has made MRI a potential cost-effective replacement for radiographs for patients with low back pain. However, whether rapid MRI scanning results in better patient outcomes than radiographic evaluation or a cost-effective alternative is unknown.

**Objective** To determine the clinical and economic consequences of replacing spine radiographs with rapid MRI for primary care patients.

**Design, Setting, and Patients** Randomized controlled trial of 380 patients aged 18 years or older whose primary physicians had ordered that their low back pain be evaluated by radiographs. The patients were recruited between November 1998 and June 2000 from 1 of 4 imaging centers in the Seattle, Wash, area: a university-based teaching program, a nonuniversity-based teaching program, and 2 private clinics.

**Intervention** Patients were randomly assigned to receive lumbar spine evaluation by rapid MRI or by radiograph.

**Main Outcome Measures** Back-related disability measured by the modified Roland questionnaire. Secondary outcomes included Medical Outcomes Study 36-Item Short Form Health Survey (SF-36), pain, preference scores, satisfaction, and costs.

# Lack of Benefit of Early MR vs. Xray

- No difference disability/pain
- Increased surgeries & cost
- Concern about cascade of interventions triggered by imaging

# Why not image early?

Spine

SPINE Volume 37, Number 18, pp 1617-1627  
©2012, Lippincott Williams & Wilkins

OCCUPATIONAL HEALTH/ERGONOMICS

## Early Imaging for Acute Low Back Pain

*One-Year Health and Disability Outcomes Among Washington State Workers*

Janessa M. Graves, MPH, PhD,\* Deborah Fulton-Kehoe, MPH, PhD,† Jeffrey G. Jarvik, MD, MPH,‡ and Gary M. Franklin, MD, MPH§



# Lack of Benefit of Early MR

- WA State injured workers
- Compared those with vs. w/out early imaging
- 20% had early imaging without red flag



# Lack of Benefit of Early MR

- Similar 1-yr pt reported outcomes
- 2-fold likelihood of being on disability at 1 year
- Longer duration of disability
  - 121 days more for LBP only
  - 94 days more for radiculopathy

# What About Older Adults?





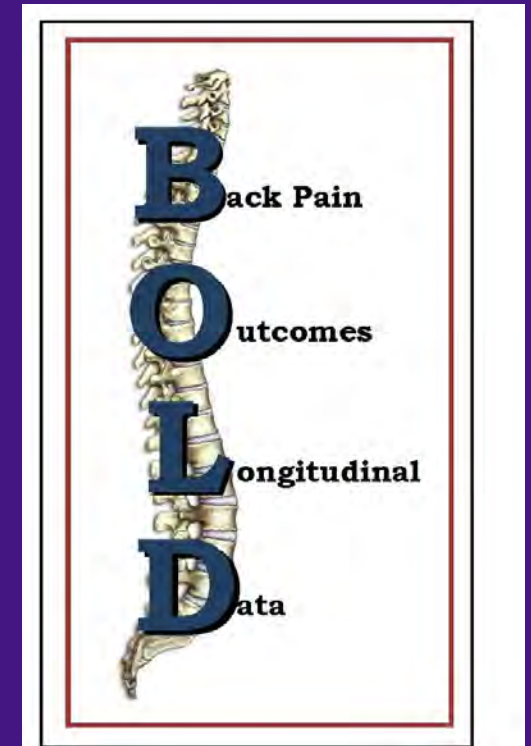


*"I recommend using your third wish to prevent joint pain in later years."*



Back pain  
Outcomes using  
Longitudinal  
Data

BOLD



# BOLD Registry

- 5,239 patients  $\geq 65$  with new primary care visits for back pain
- 3 integrated systems: Kaiser Perm N. CA, Henry Ford Health System, Harvard Vanguard/Harvard Pilgrim
- Identify patients using Health Care Information Systems
- Contacted at 3, 6, 12 months
- Asked about pain, disability, depression, anxiety



# Early Imaging Study- Key Aspects

- Design:
  - Prospective observational cohort study
  - Propensity score matching of demographic and clinical characteristics
- Exposure: Diagnostic imaging (plain films, CT or MR of lumbar or thoracic spine within 42 days of a new primary care visit for back pain.
- Primary Outcome: Roland-Morris Disability Questionnaire



# Early Imaging and Outcomes

Research

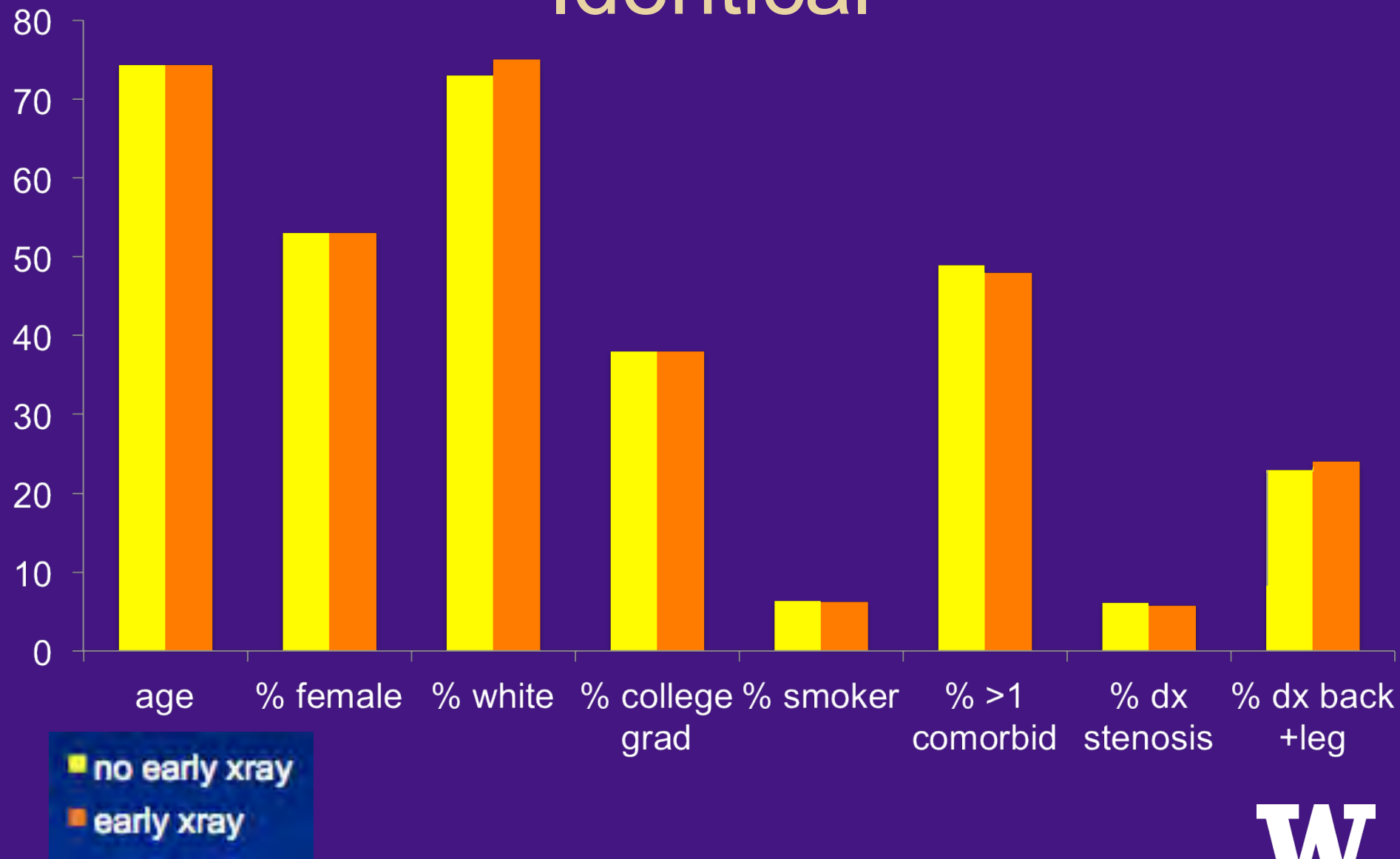
Original Investigation

## Association of Early Imaging for Back Pain With Clinical Outcomes in Older Adults

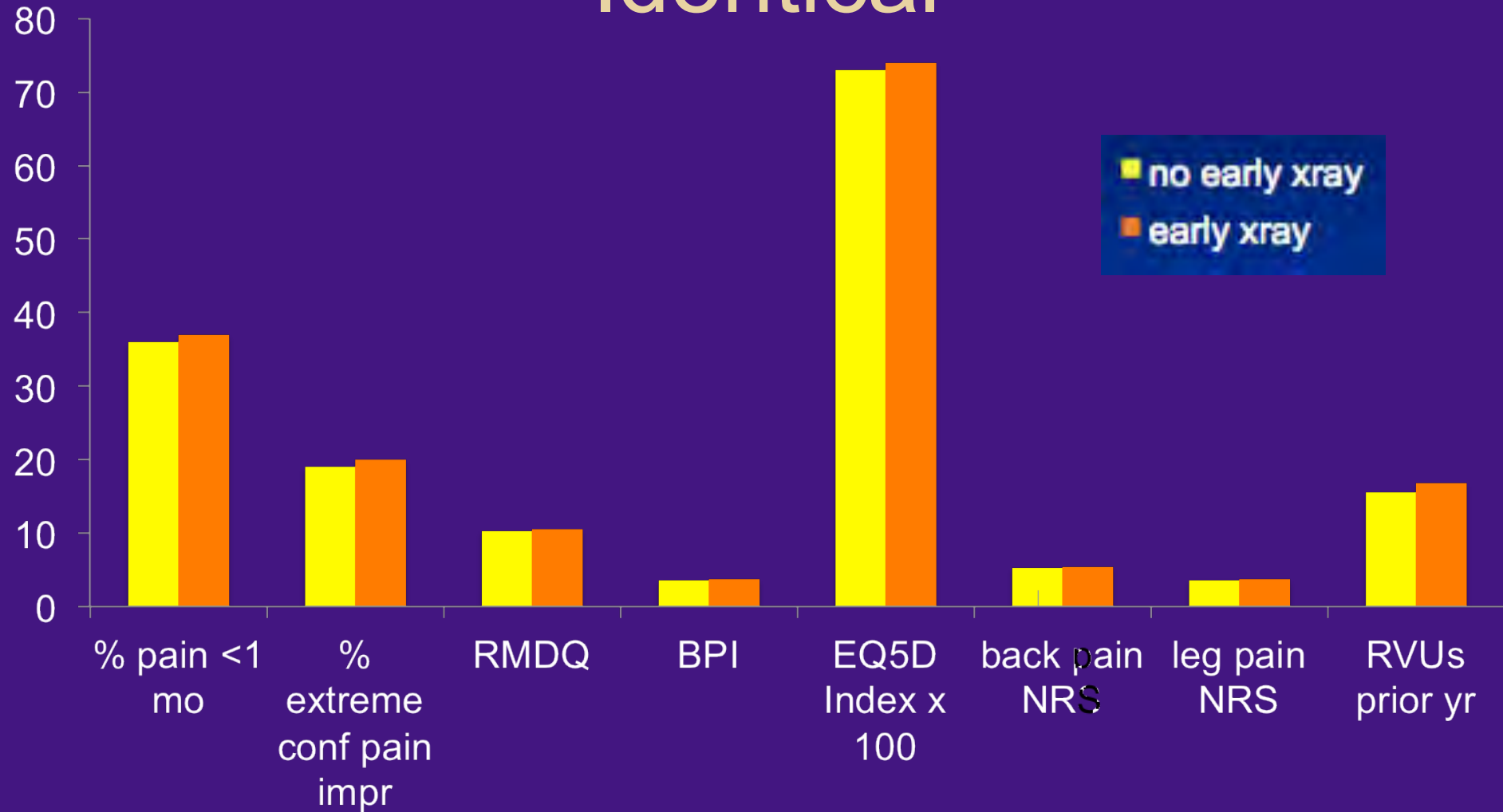
*JAMA*. 2015;313(11):1143-1153. doi:10.1001/jama.2015.1871



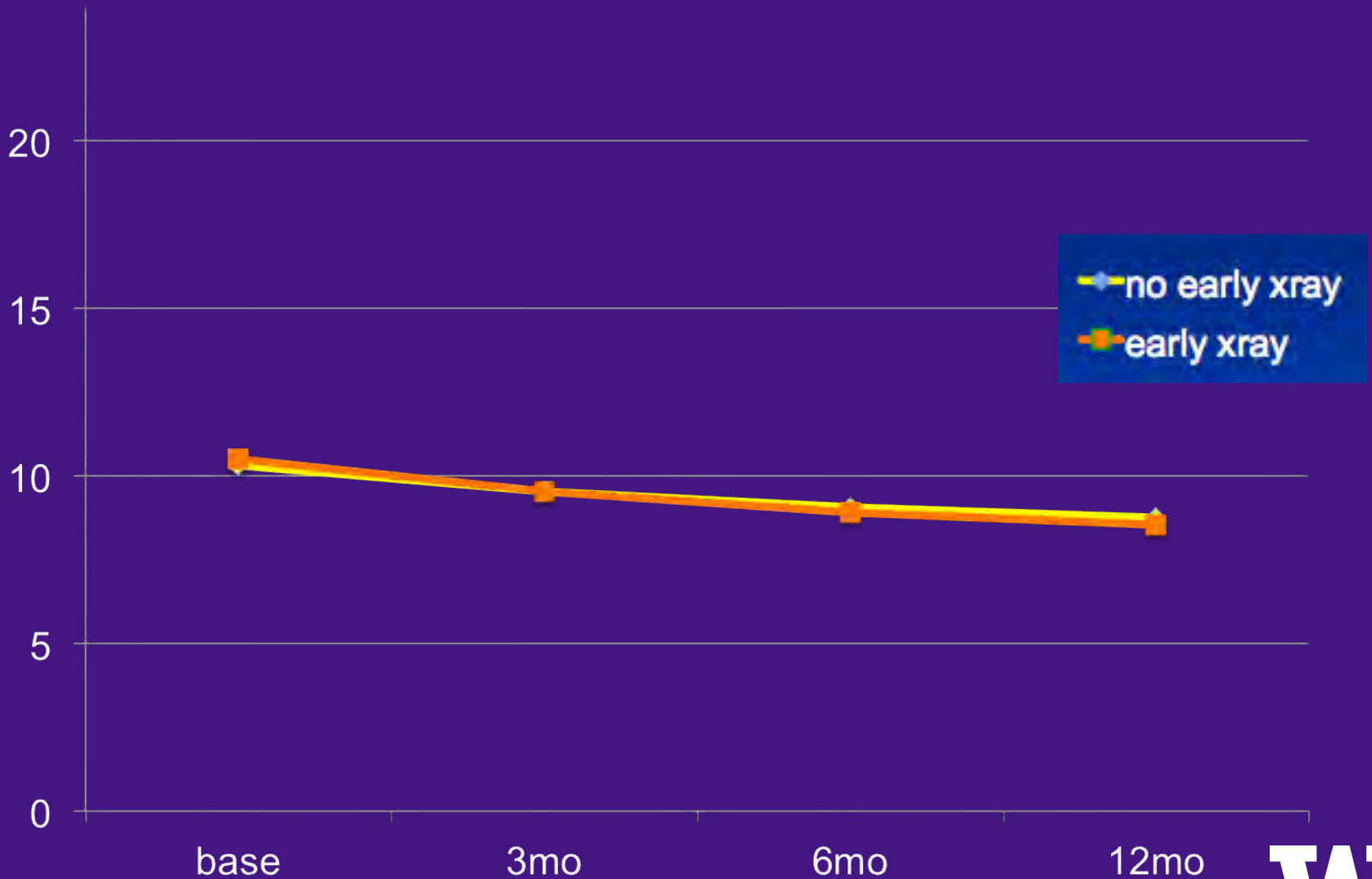
# Baseline Demographics Virtually Identical



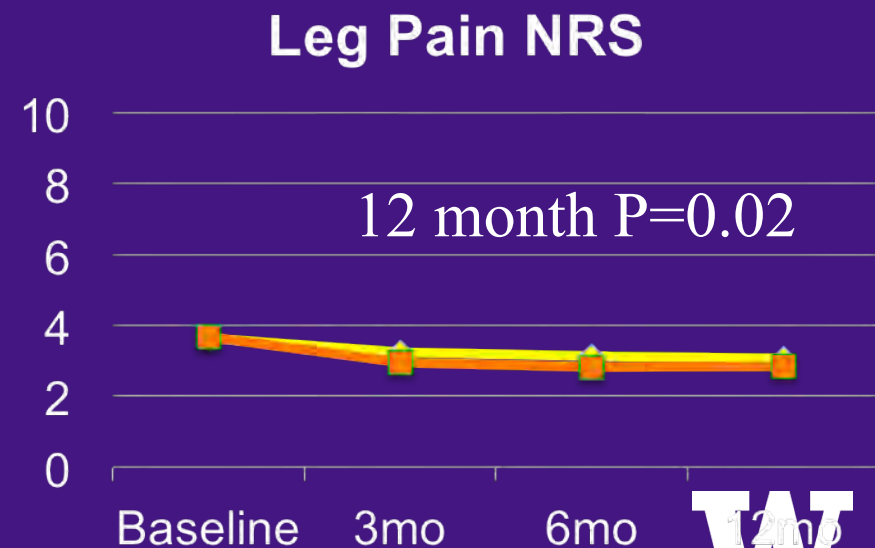
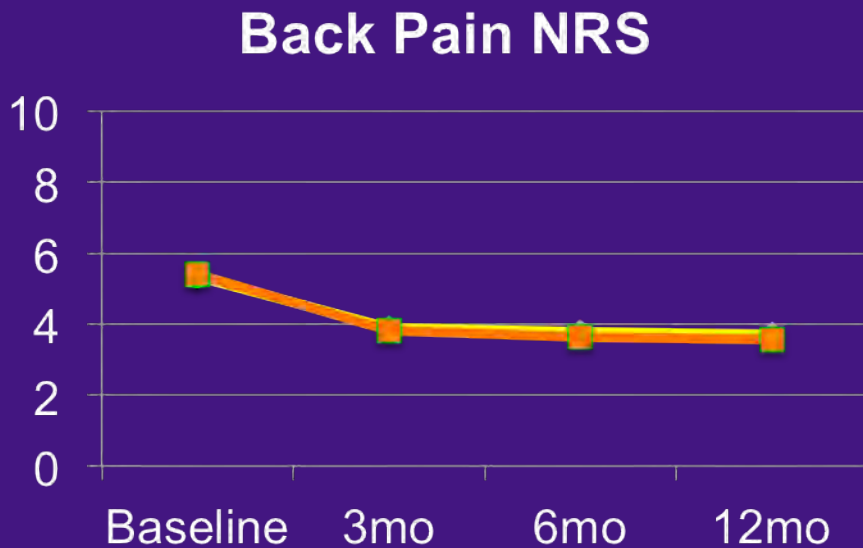
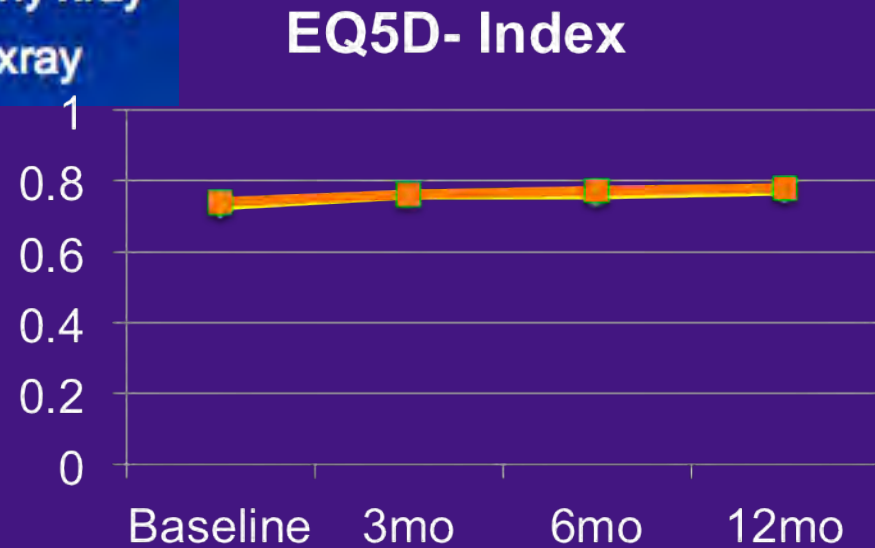
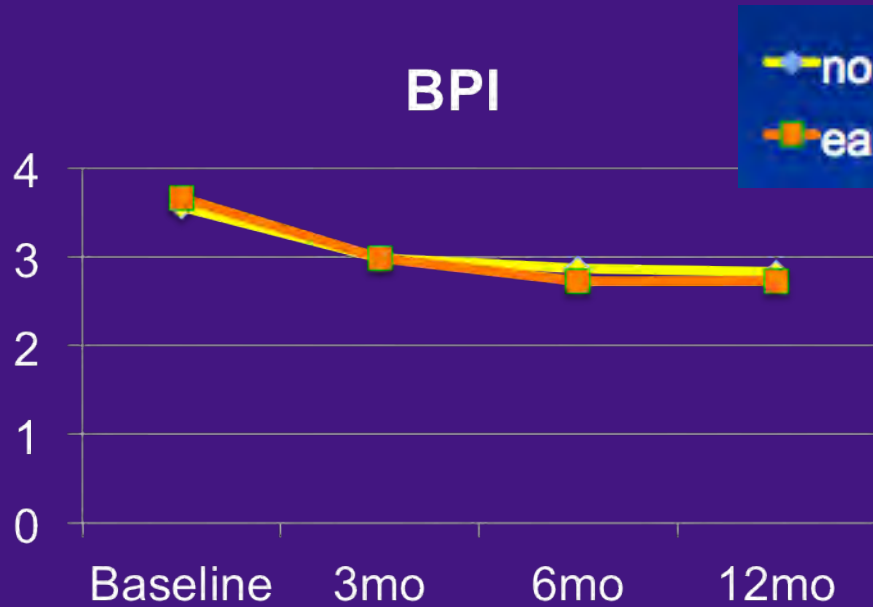
# Baseline Characteristics Virtually Identical



# No Difference in Primary Outcome (RMDQ) Over Time



# Secondary Measures Over Time

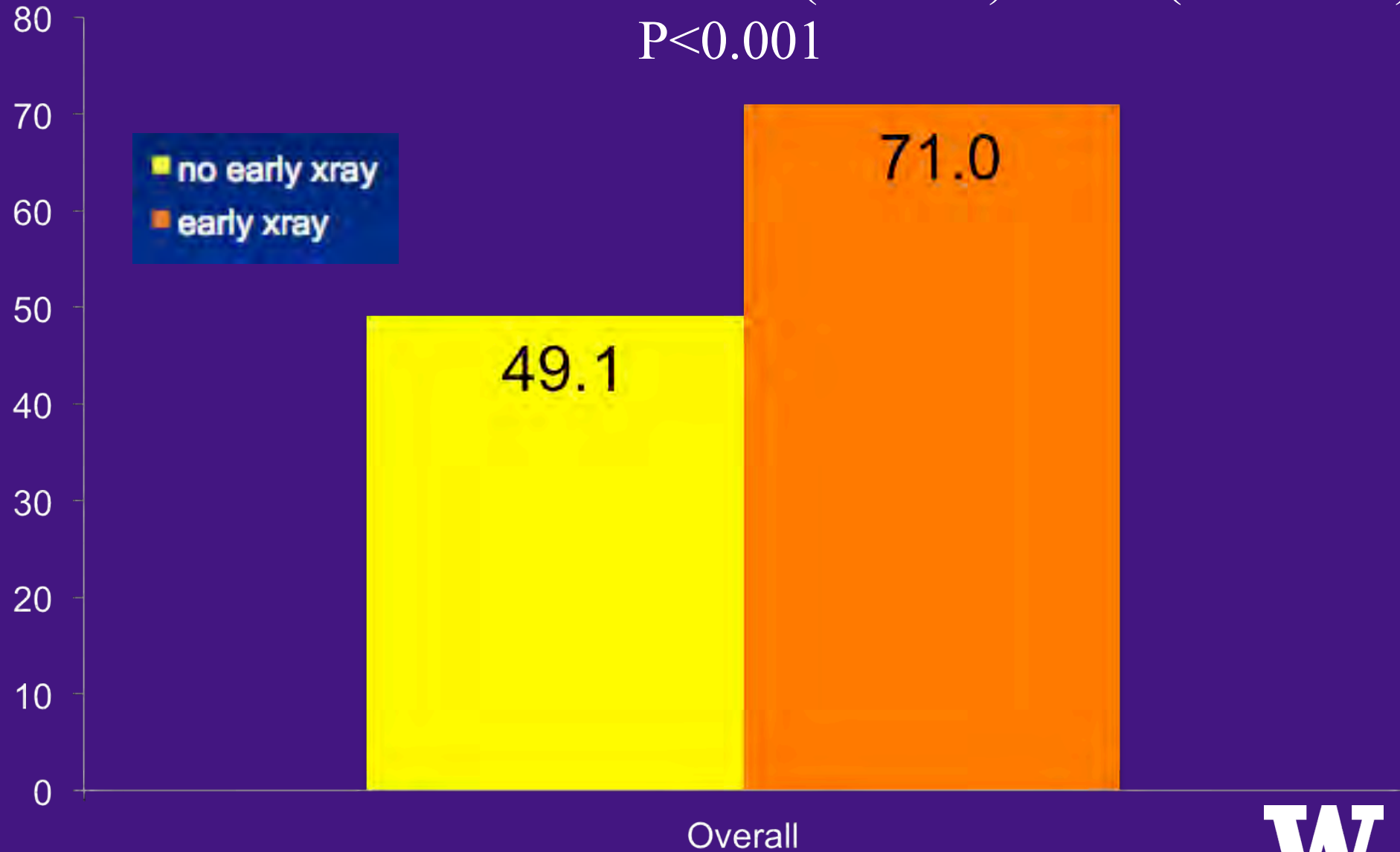




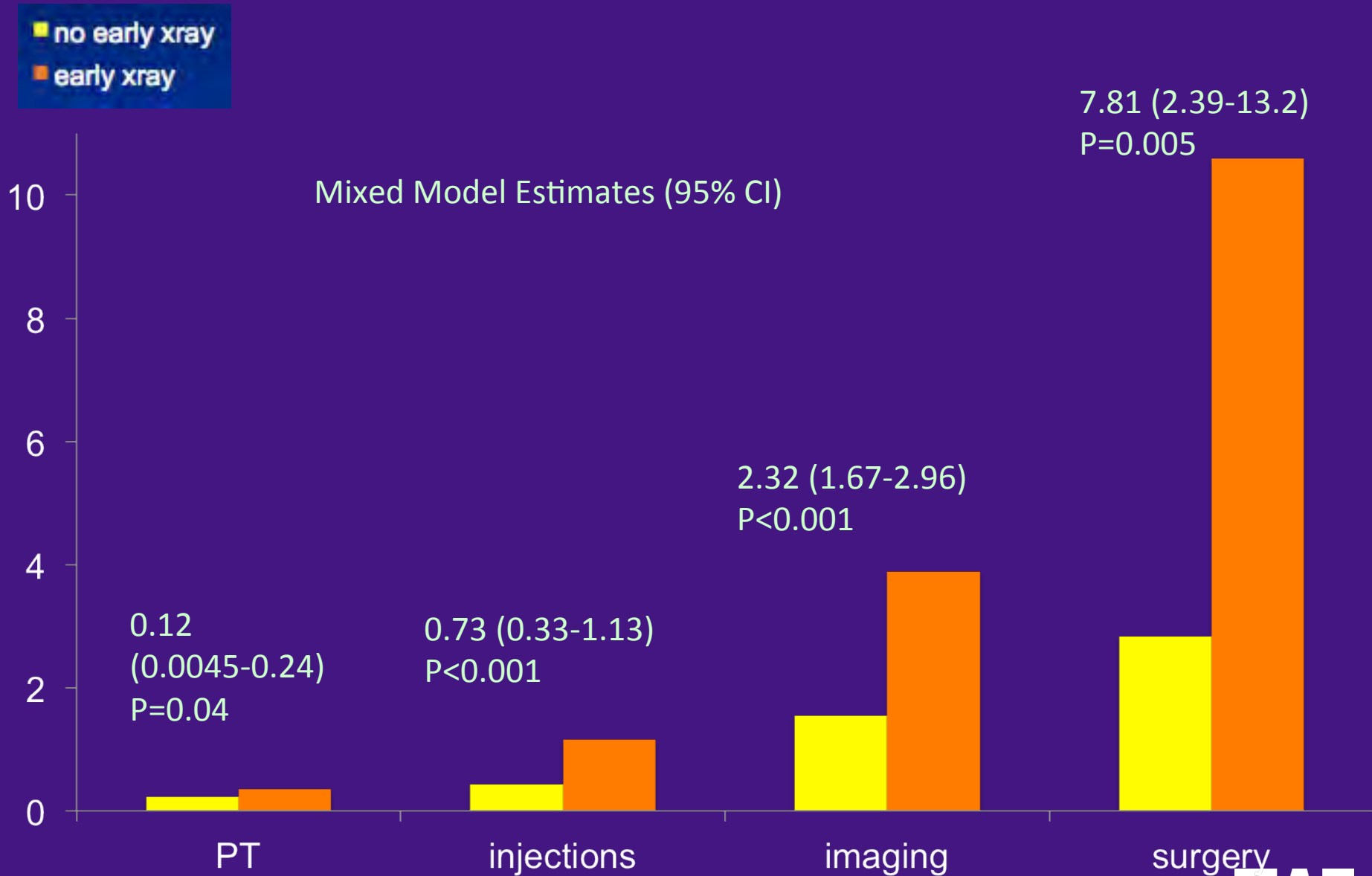
# Large Differences in 12 Month RVUs

Mixed model difference estimate (95% CI)= 22.3 (12.3-32.3)

P<0.001



# Large Differences in 12 Month RVUs/Pt



# RVU Differences Translated into \$\$

- Additional cost/pt
  - Early radiographs: \$953
  - Early MR/CT: \$1,395
- 44 million Medicare beneficiaries would result in an additional \$2 billion/yr



# BOLD Early Imaging Results

- Early imaging group no better outcomes than similar older adults who do not get early imaging.
- Early imaging group had greater use of healthcare services, such as visits, injections, etc.



# Summary Guideline from ACP and APS

CLINICAL GUIDELINES

*Annals of Int Med 2007: 147:478*

## Diagnosis and Treatment of Low Back Pain: A Joint Clinical Practice Guideline from the American College of Physicians and the American Pain Society

Roger Chou, MD; Amir Qaseem, MD, PhD, MHA; Vincenza Snow, MD; Donald Casey, MD, MPH, MBA; J. Thomas Cross Jr., MD, MPH; Paul Shekelle, MD, PhD; and Douglas K. Owens, MD, MS, for the Clinical Efficacy Assessment Subcommittee of the American College of Physicians and the American College of Physicians/American Pain Society Low Back Pain Guidelines Panel\*

# ACP and APS Recommendations

1. Use H&P to place pts into 3 categories
  - a) Non-specific LBP
  - b) Radiculopathy/spinal stenosis
  - c) Other specific causes

(strong recommendation, moderate evidence quality)



# ACP and APS Recommendations

2. No routine imaging or diagnostic tests in patients with non-specific LBP

(strong recommendation, moderate evidence quality)



# ACP and APS

## Recommendations

3. Perform diagnostic testing when patient has:
  - a) Progressive or severe neuro deficits
  - b) Has serious underlying condition  
(strong recommendation, moderate evidence quality)



# ACP and APS

## Recommendations

### 4. Diagnostic testing for spinal stenosis or radiculopathy

a) For pre-surgical eval

b) For pre-inj eval (for radic)

c) MR preferred over CT

(strong recommendation, moderate evidence quality)



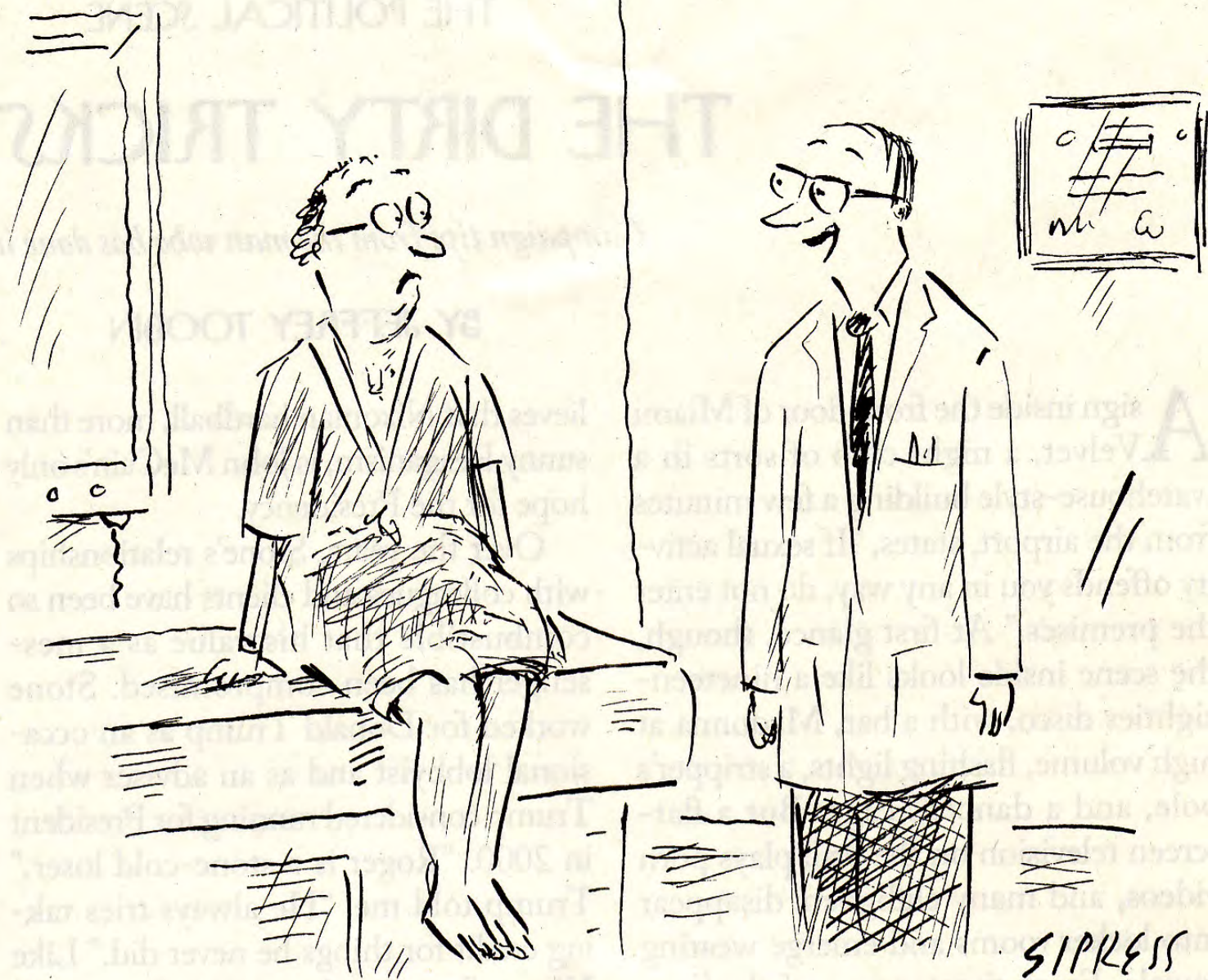
# 10 Month F/U Disc Extrusion



# Talk Outline

- When to image: guideline review
- What we say:
  - Nomenclature: Classifying Findings
  - Degeneration: The Spectrum of Normal



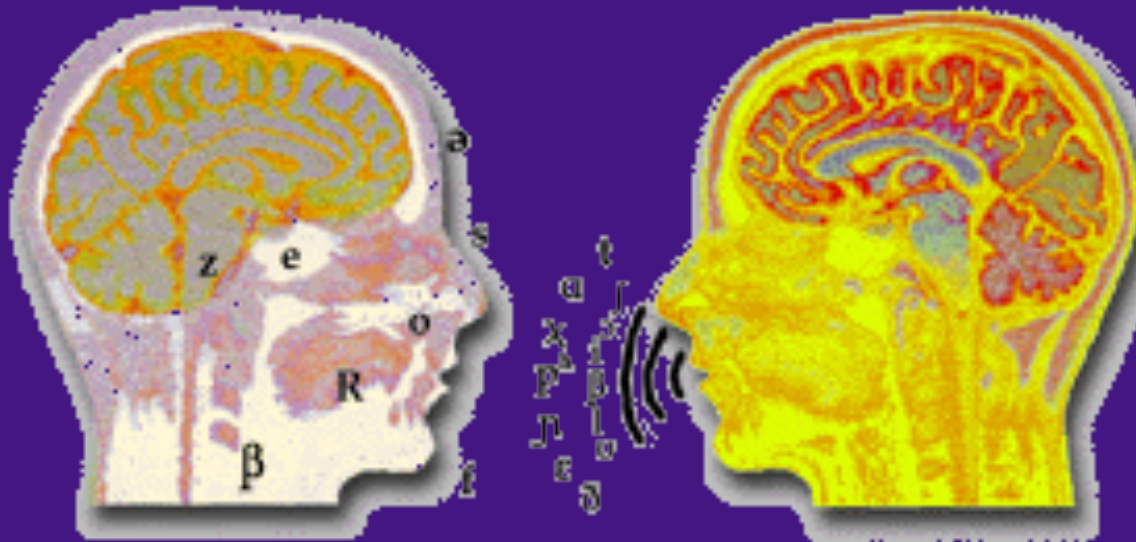


*"I can cure your back problem, but there's a risk that  
you'll be left with nothing to talk about."*



# Speaking the Same Language

Nomenclature for disc findings



# Consensus Nomenclature

Milette PC et al: Am J Neuroradiol 22: 429-430; 2001

Fardon DF et al The Spine J: 14; 2525-2545; 2014

[http://www.asnr.org/spine\\_nomenclature/](http://www.asnr.org/spine_nomenclature/)

American Academy of Orthopaedic Surgeons (AAOS)

American Academy of Physical Medicine and Rehabilitation (AAPM&R)

American College of Radiology (ACR)

American Society of Neuroradiology (ASNR)

American Society of Spine Radiology (ASSR)

Joint Section on Disorders of the Spine and Peripheral Nerves of the American

Association of Neurological Surgeons (AANS)

Congress of Neurological Surgeons (CNS)

European Society of Neuroradiology (ESNR)

North American Spine Society (NASS)

Physiatric Association of Spine, Sports and Occupational Rehabilitation (PASSOR)



# Goals of Nomenclature

Milette, AJNR; 26 2005

- Practical
- High interobserver agreement
- Simple



Leah

An insurmountable  
Amount of homework.  
(I would hate to have this mound)





# Nomenclature

- normal
- degeneration
- anular fissure
- herniation

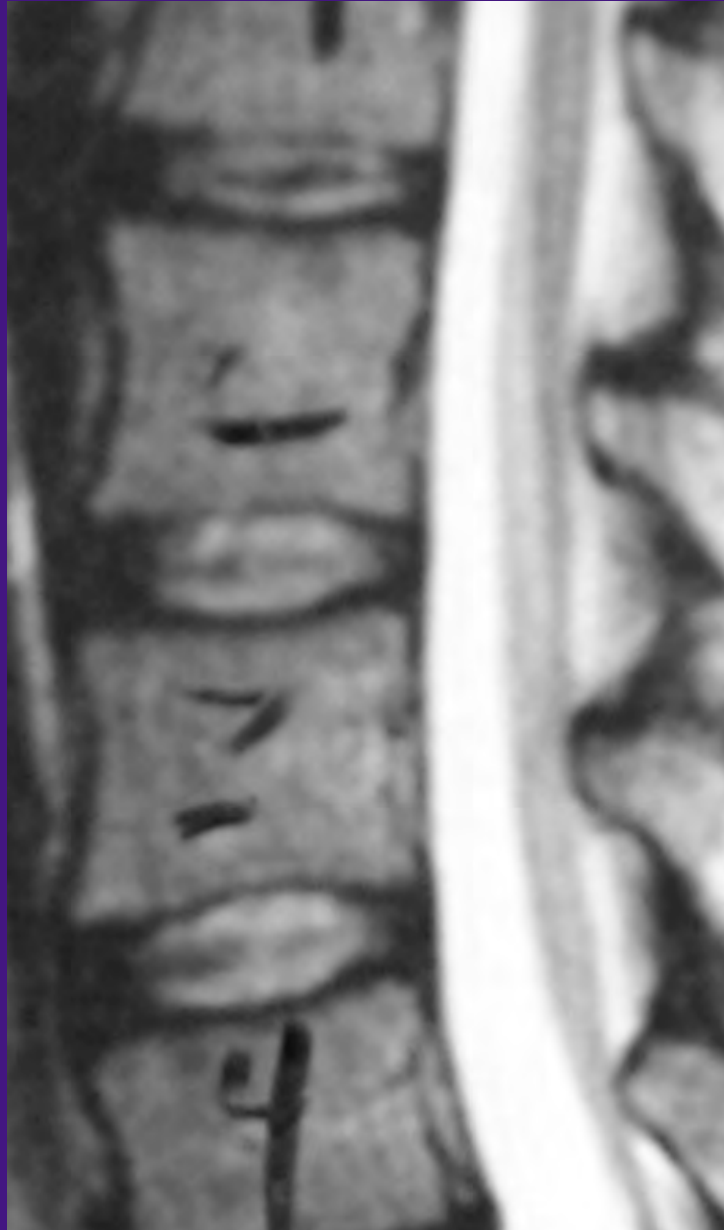


# Consensus Nomenclature

- normal
  - well hydrated disc
  - central dark band= central fibrosus

age-related changes=NOT normal

# Intranuclear Cleft



# Normal

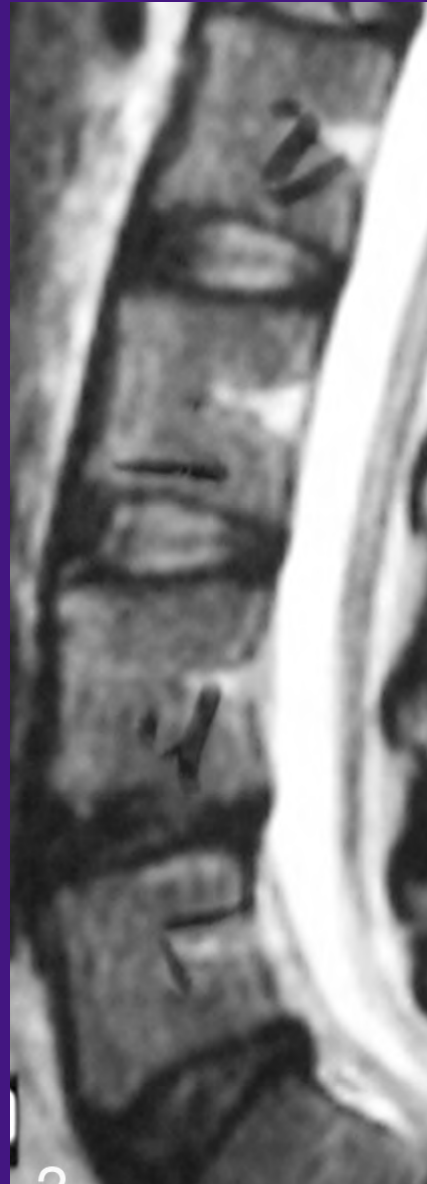


# Consensus Nomenclature

- normal
- degeneration
  - desiccation
  - narrowing
  - bulging
  - endplate changes
  - osteophytes



# Disc Degeneration



Desiccation

Narrowing

# Consensus Nomenclature

- normal
- degeneration
- anular tear=anular fissure (high intensity zones=HIZ)
- herniation

# Anular Fissures

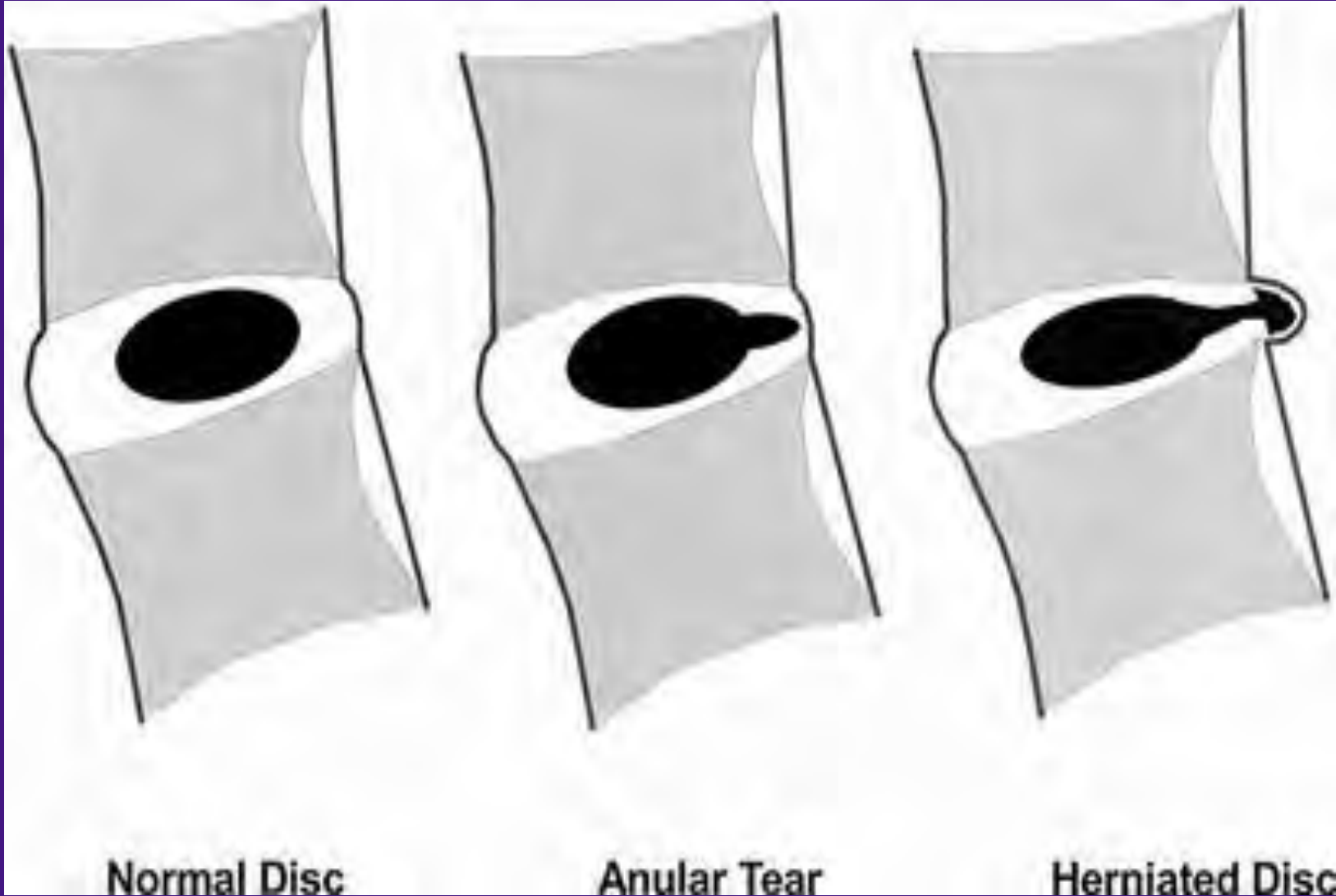
- Localized disruption of anulus without displacement of disc material beyond interspace



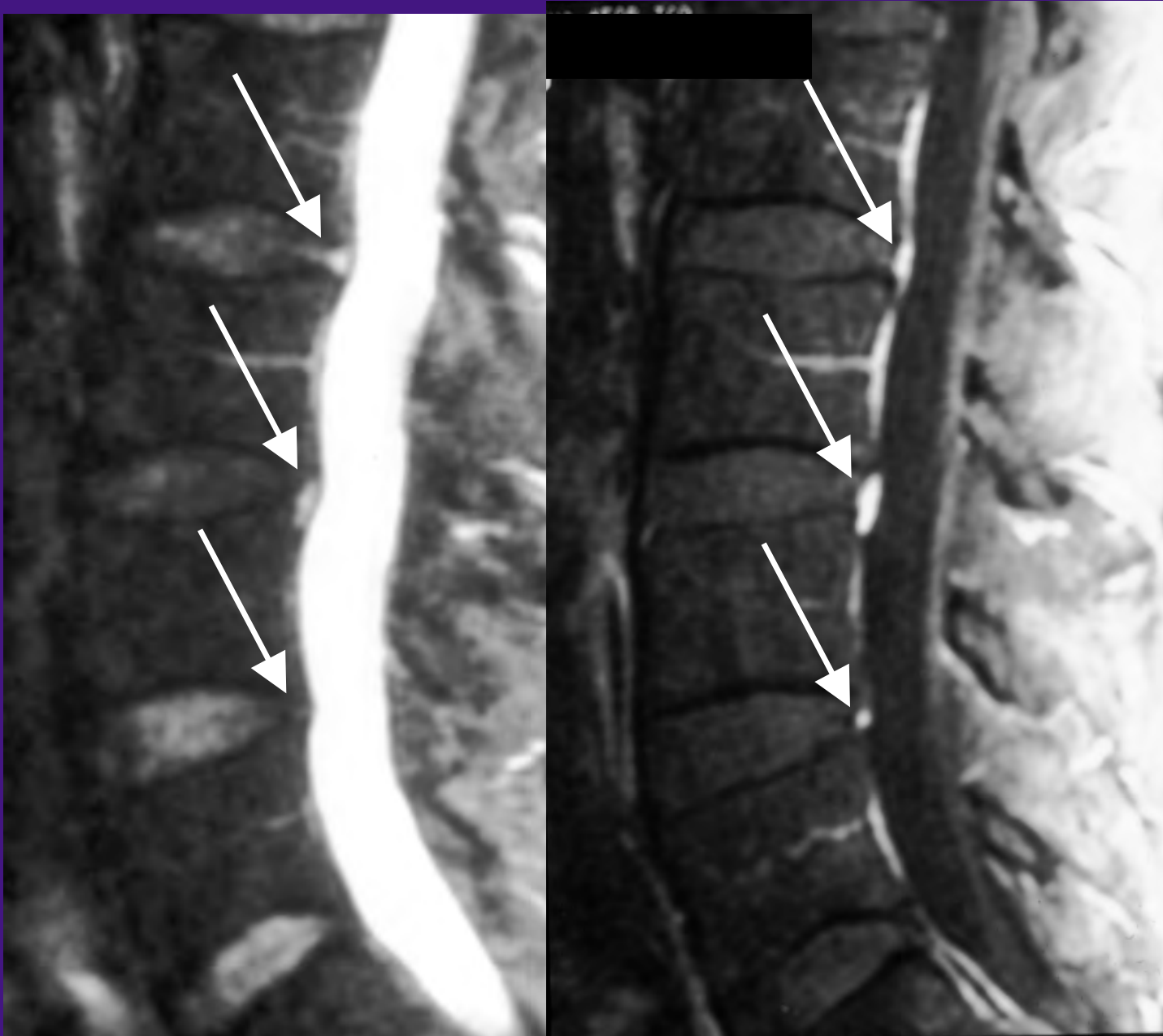


# Anular Fissures vs. Disc Herniation

(Fardon DF and Milette PC. Spine: 26 (5); E93-113; 2001)



# Degeneration and Fissures



# Anular Fissure (High Intensity Zone/HIZ)



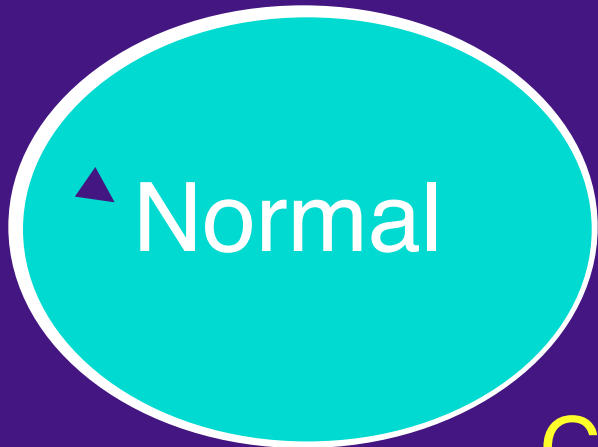
# Consensus Nomenclature

- normal
- degeneration
- herniation
  - protrusion
  - extrusion

# Consensus Nomenclature

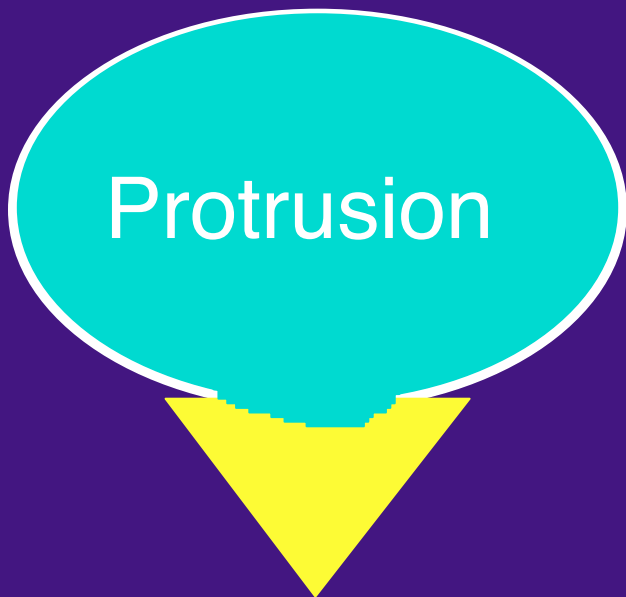
- Herniation
  - **localized** displacement of disc
    - $\geq 25\%$  ( $90^\circ$ ) = bulge
    - $< 25\%$  = herniation

Disc

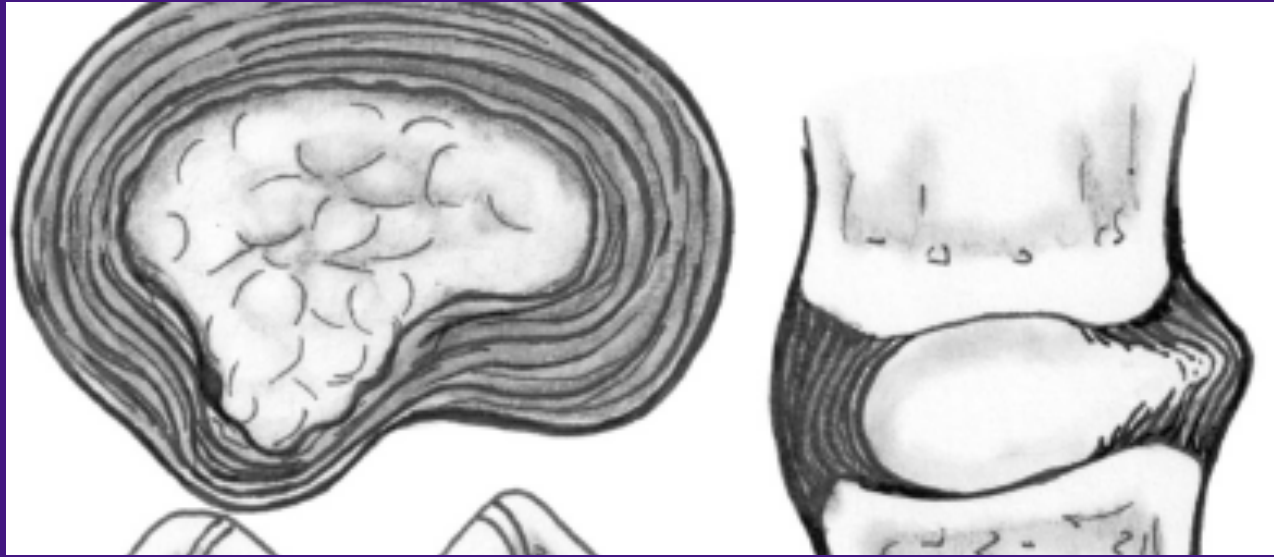


Bony  
Endplate

Canal



# Consensus Nomenclature



Protrusion

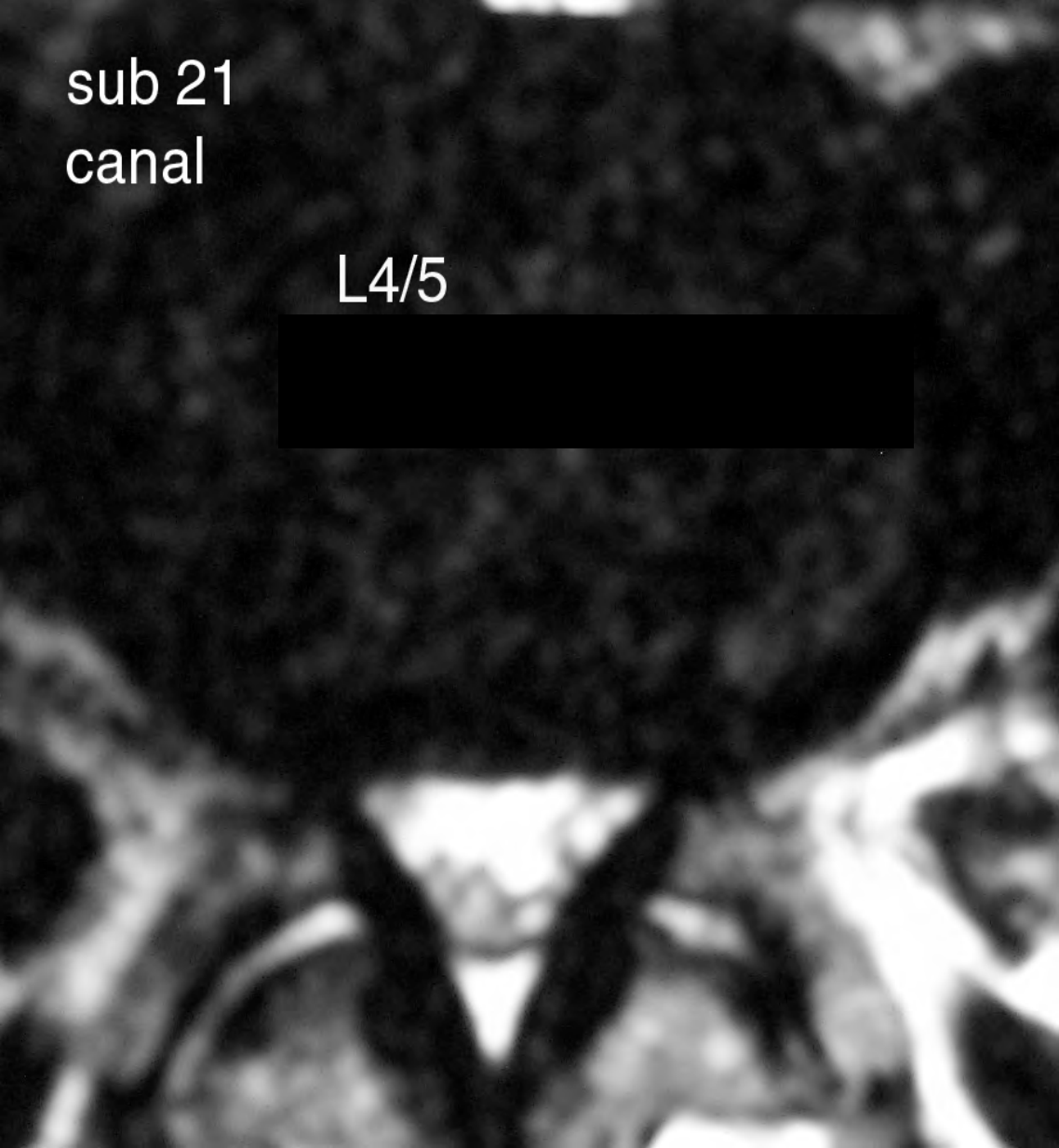


Extrusion

# Bulging

sub 21  
canal

L4/5

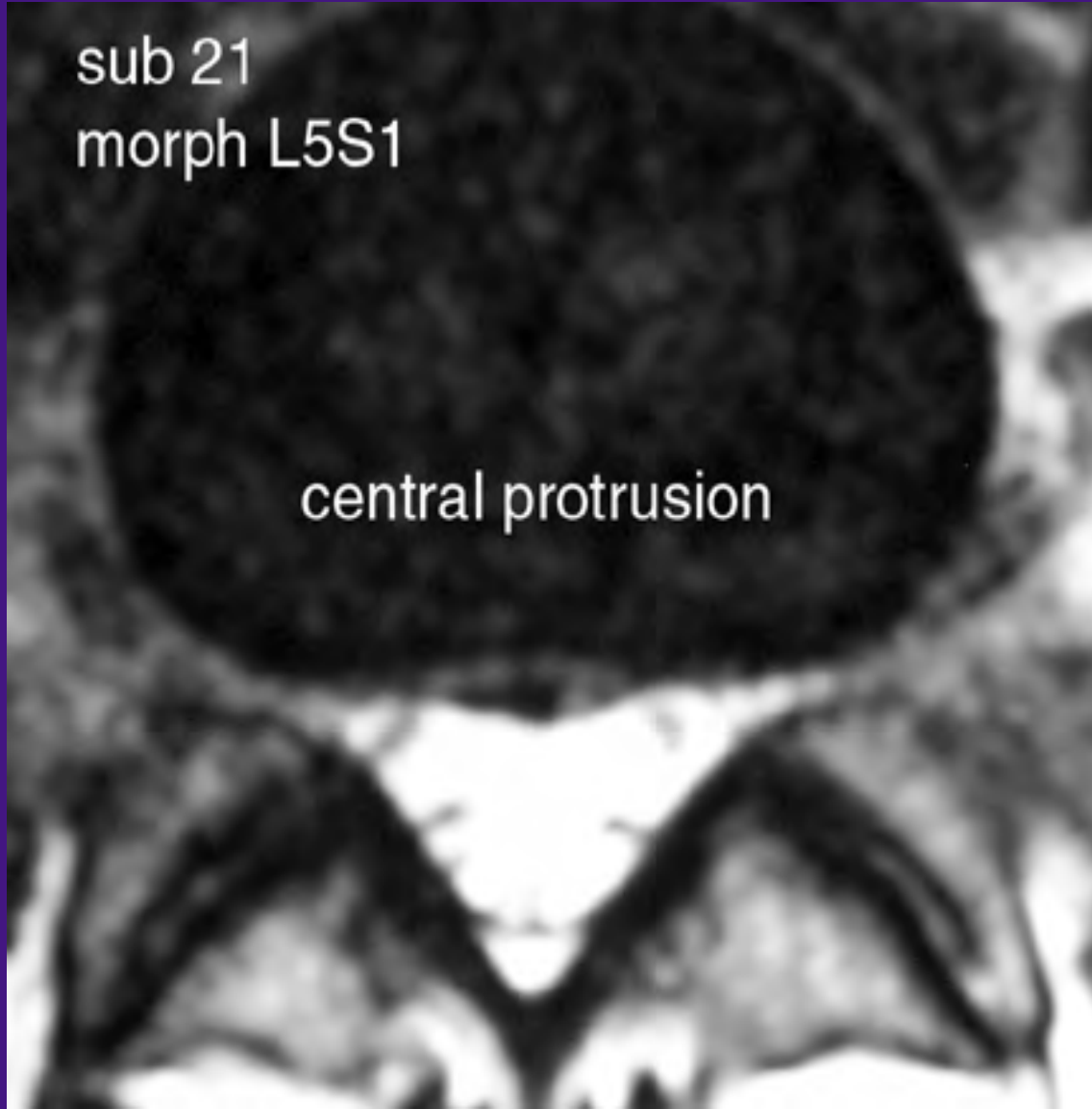
An axial MRI scan of the L4/5 vertebral level. The image shows a cross-section of the spine with a prominent bulging of the intervertebral disc. A black rectangular box is drawn over the central canal area, indicating a narrowing or 'sub 21 canal' bulging. The surrounding bony structures and soft tissue are visible in grayscale.



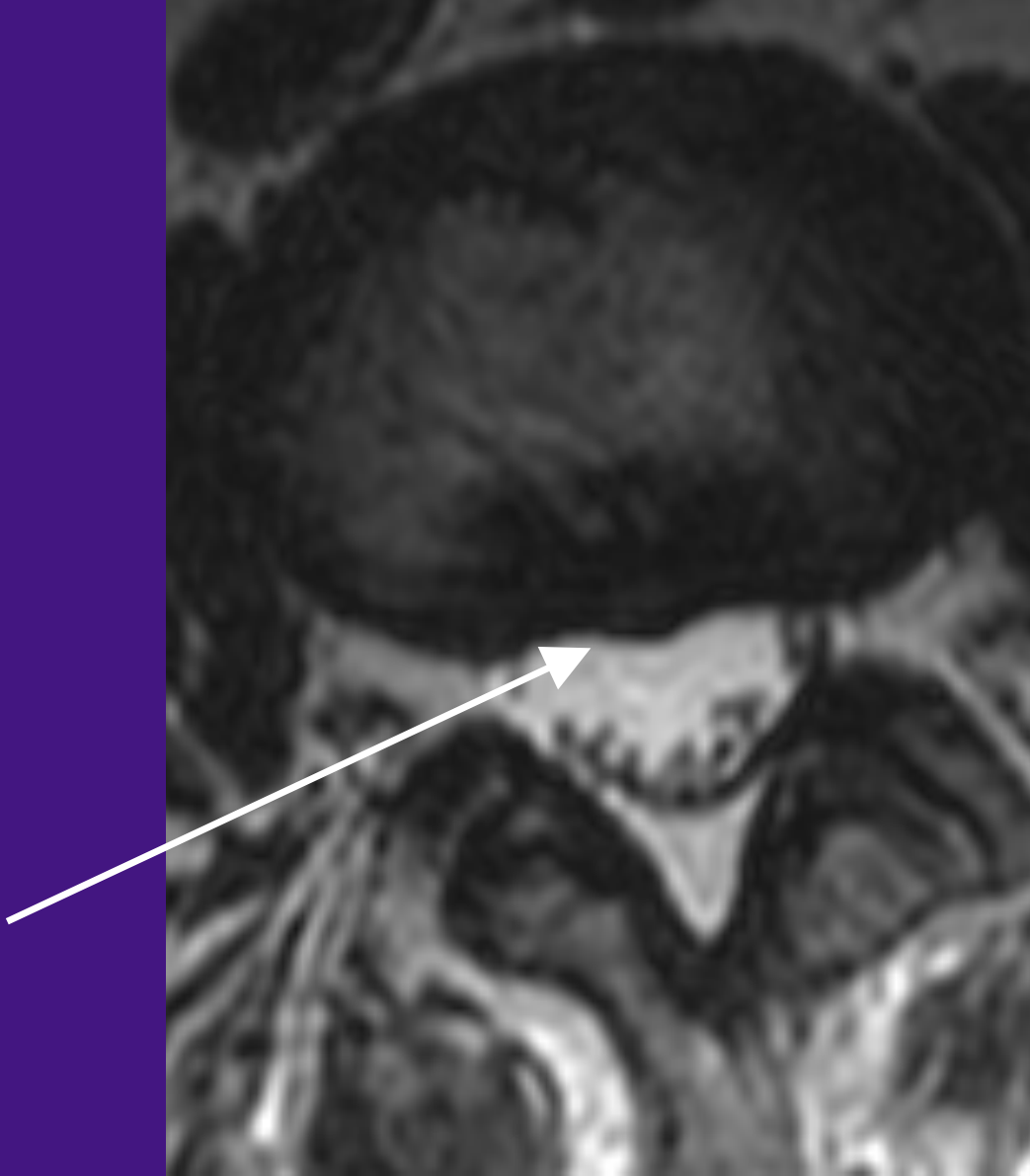
# Protrusion

sub 21  
morph L5S1

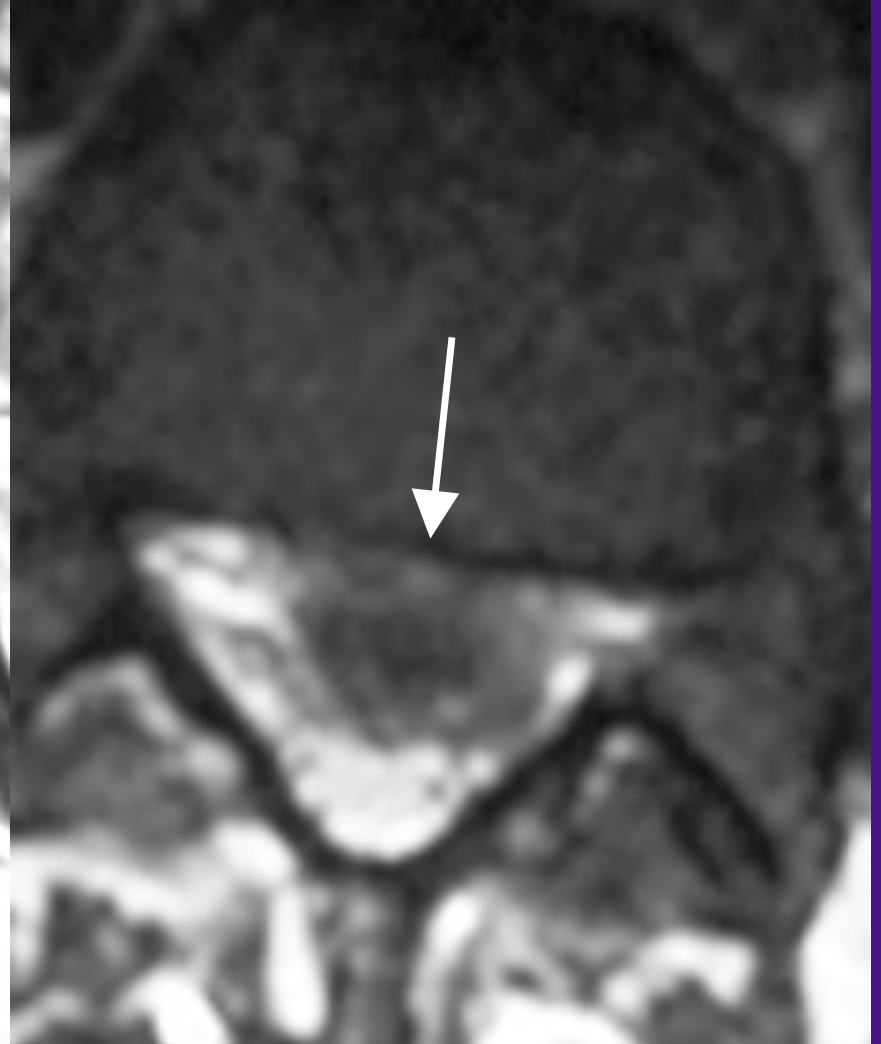
central protrusion



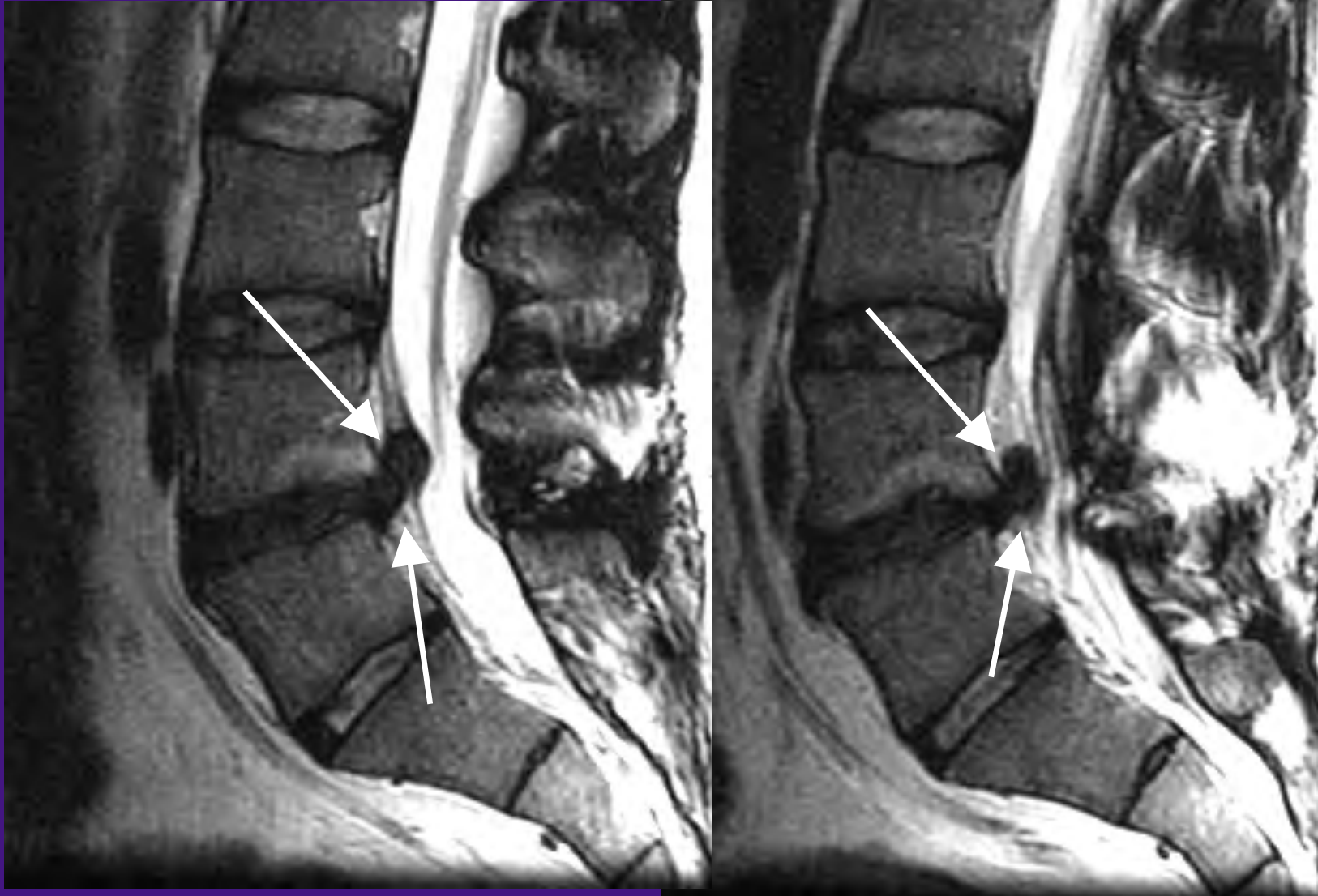
# Protrusion



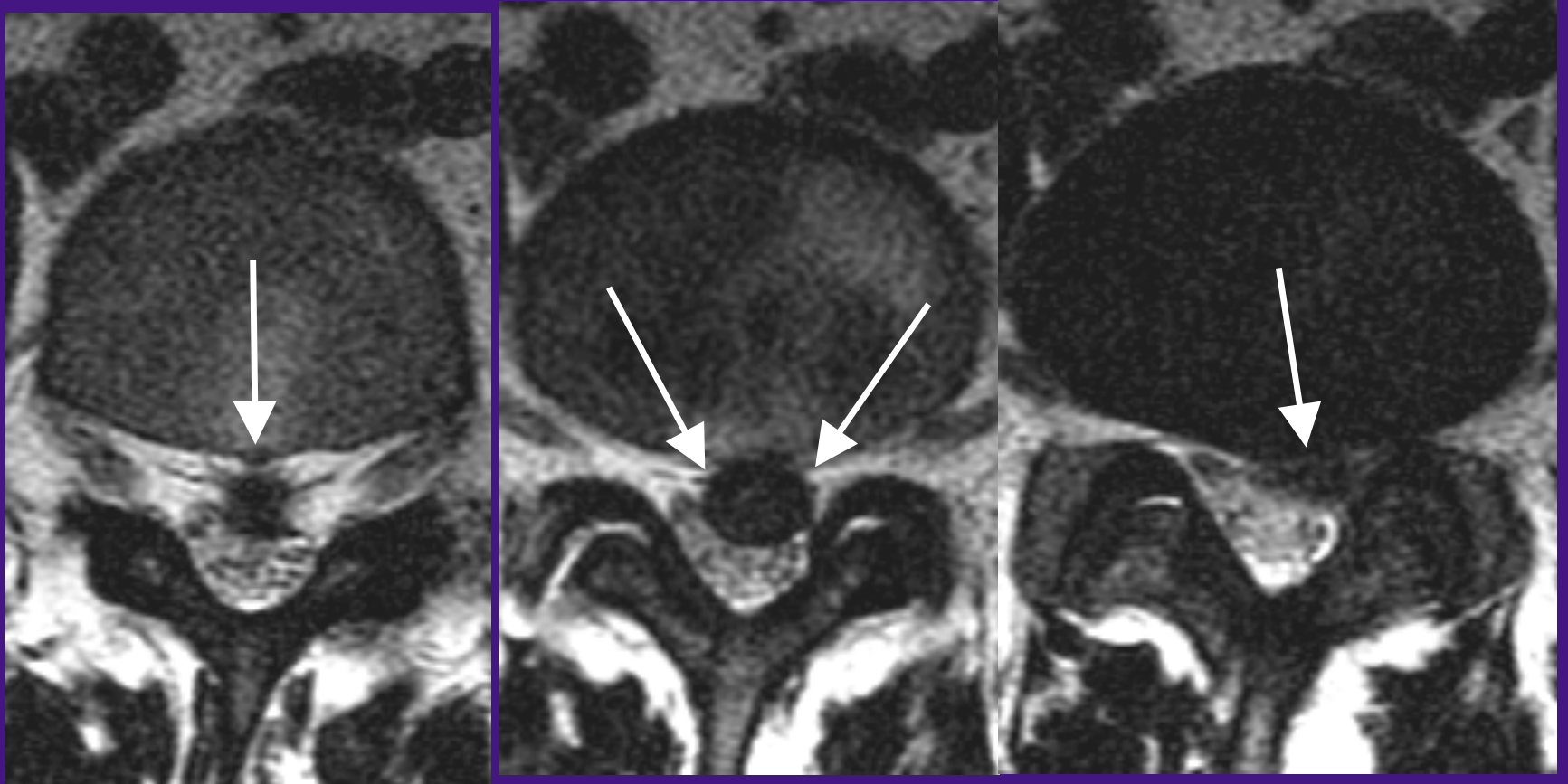
# Extrusion



# Extrusion



# Extrusion



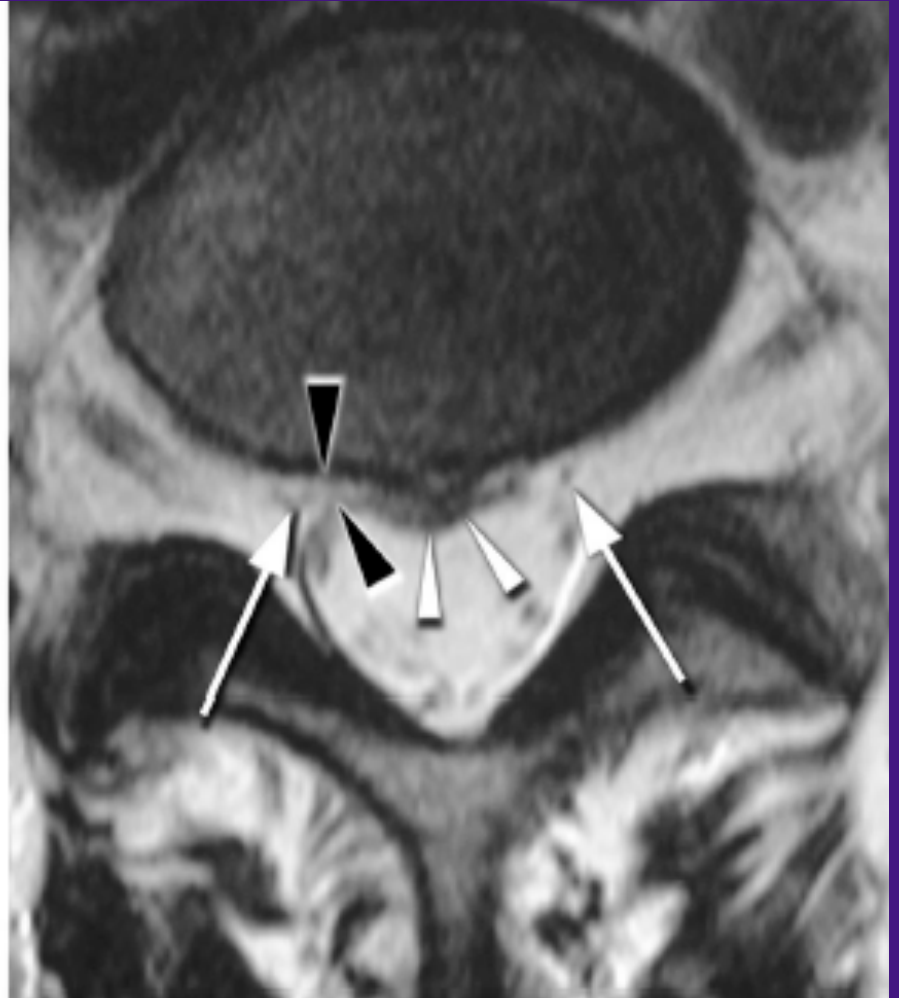
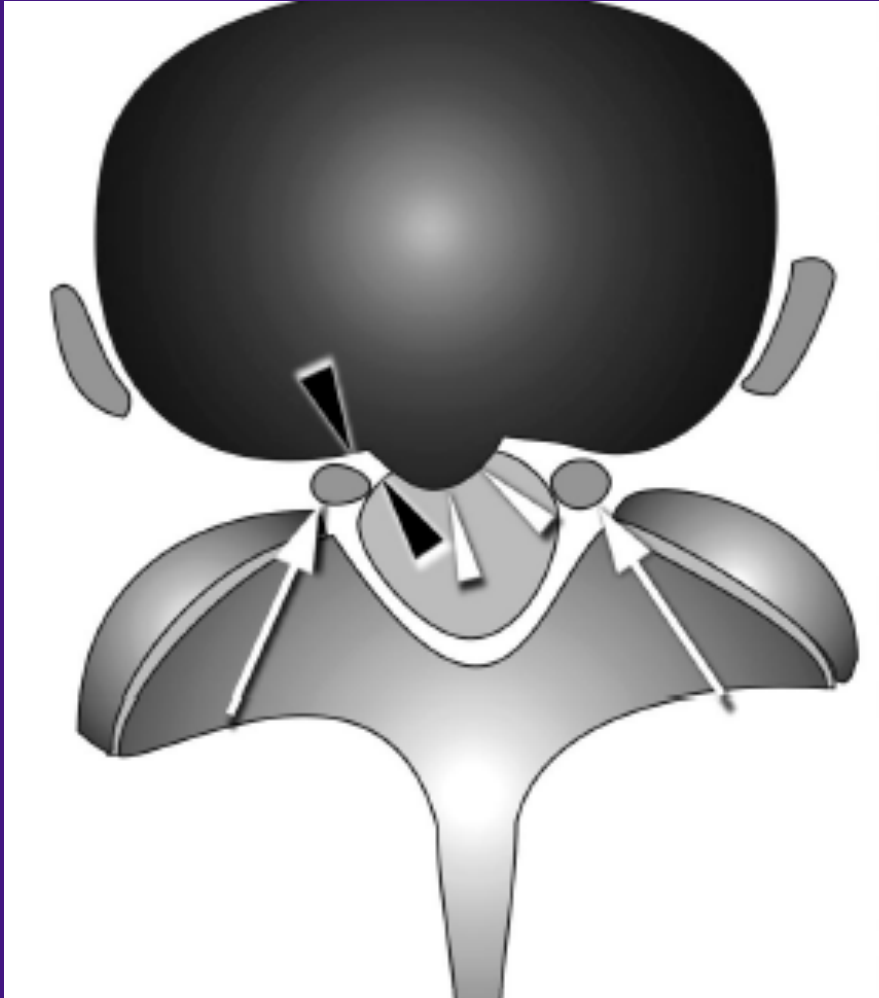
# Reliability of Pro/Ex Classification

- Intrareader  
kappa=.69-.72
- Interreader  
kappa=.57-.59

Kappa value	Degree of agreement
0-.2	Poor
.2-.4	Fair
.4-.6	Moderate
.6-.8	Substantial
.8-1.0	Near Perfect

# Normal Nerve Roots

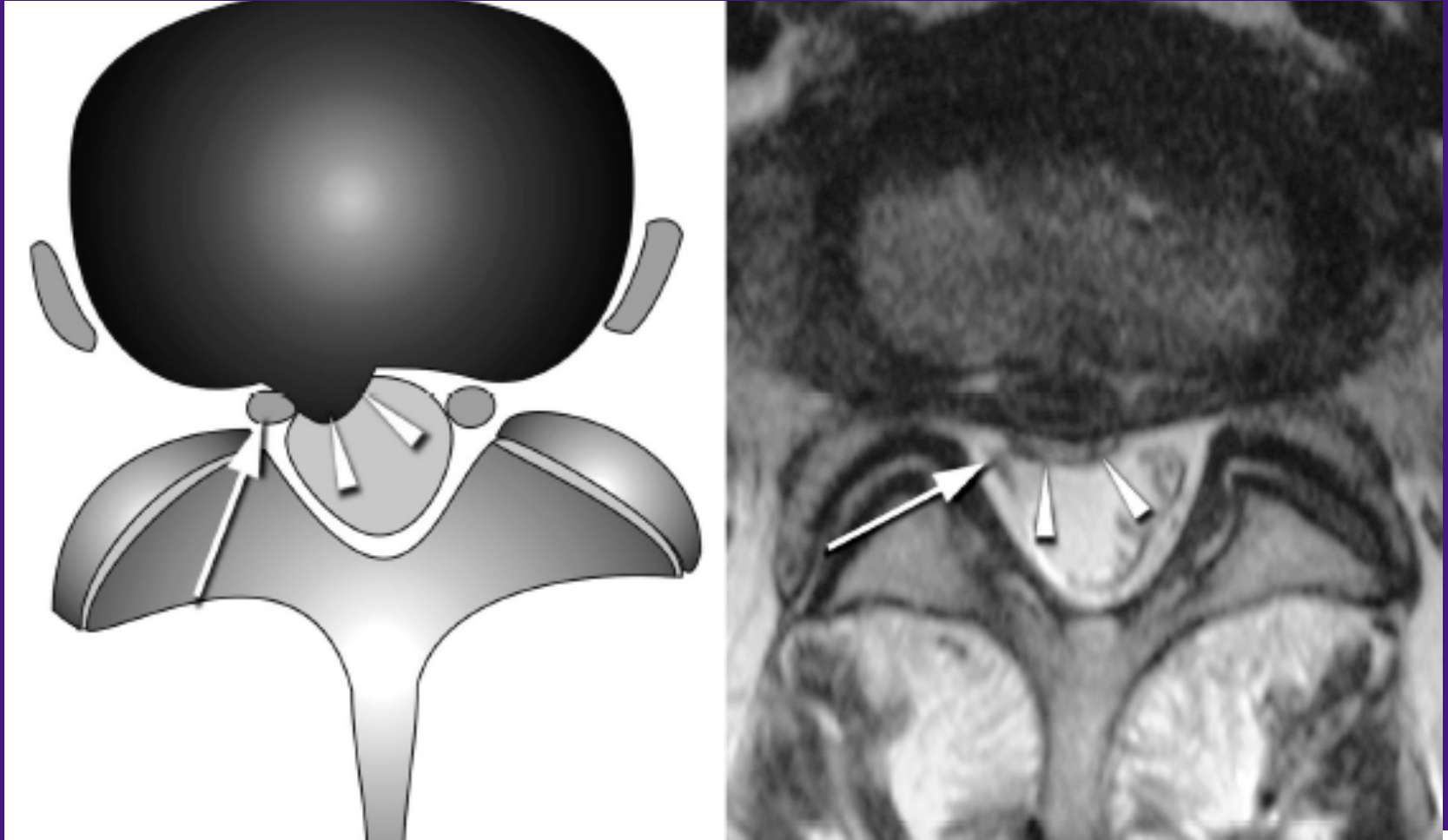
(Pfirrmann et al, Radiology 2004)





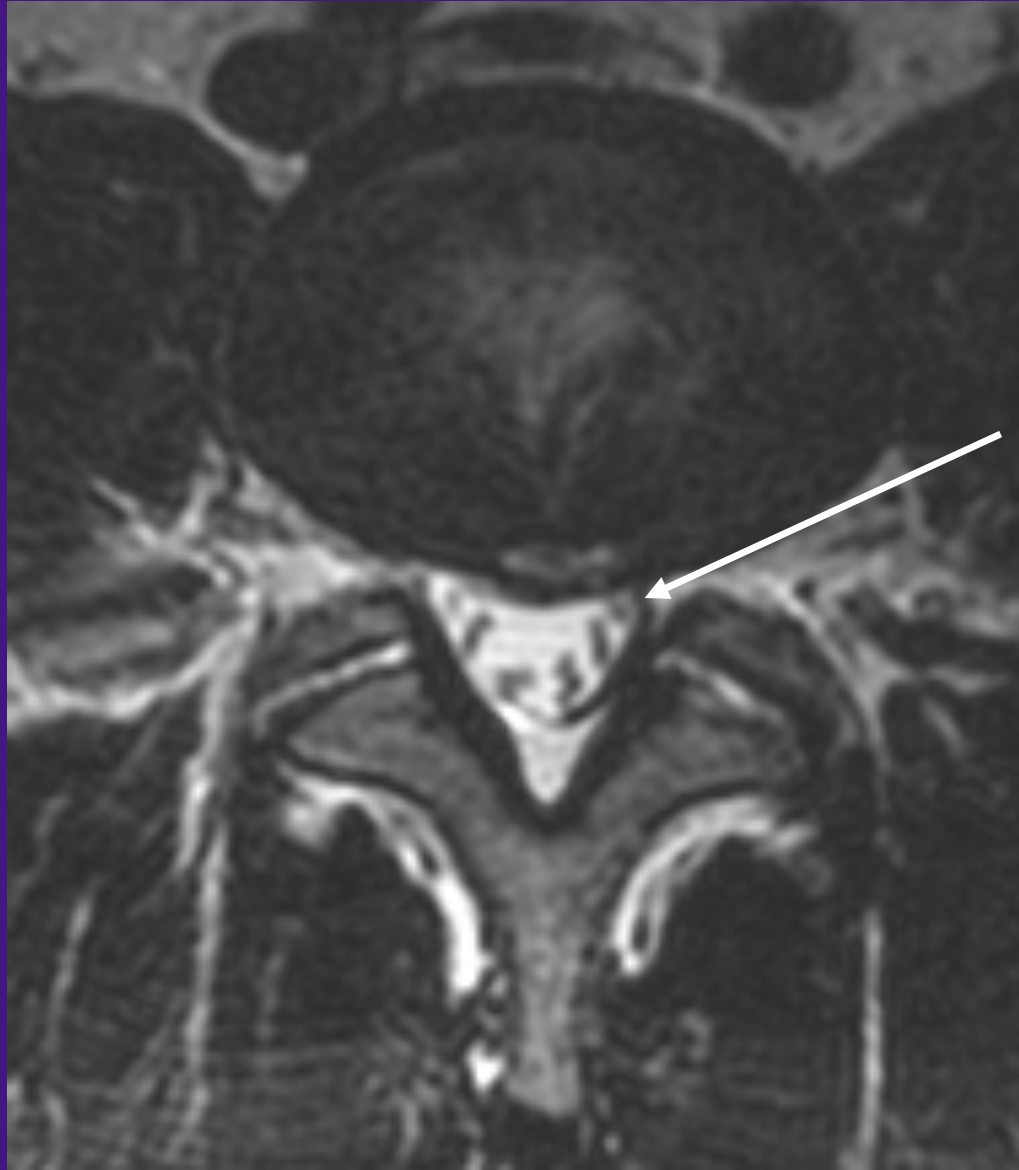
# Contacted Nerve Root

(Pfirrmann et al, Radiology 2004)



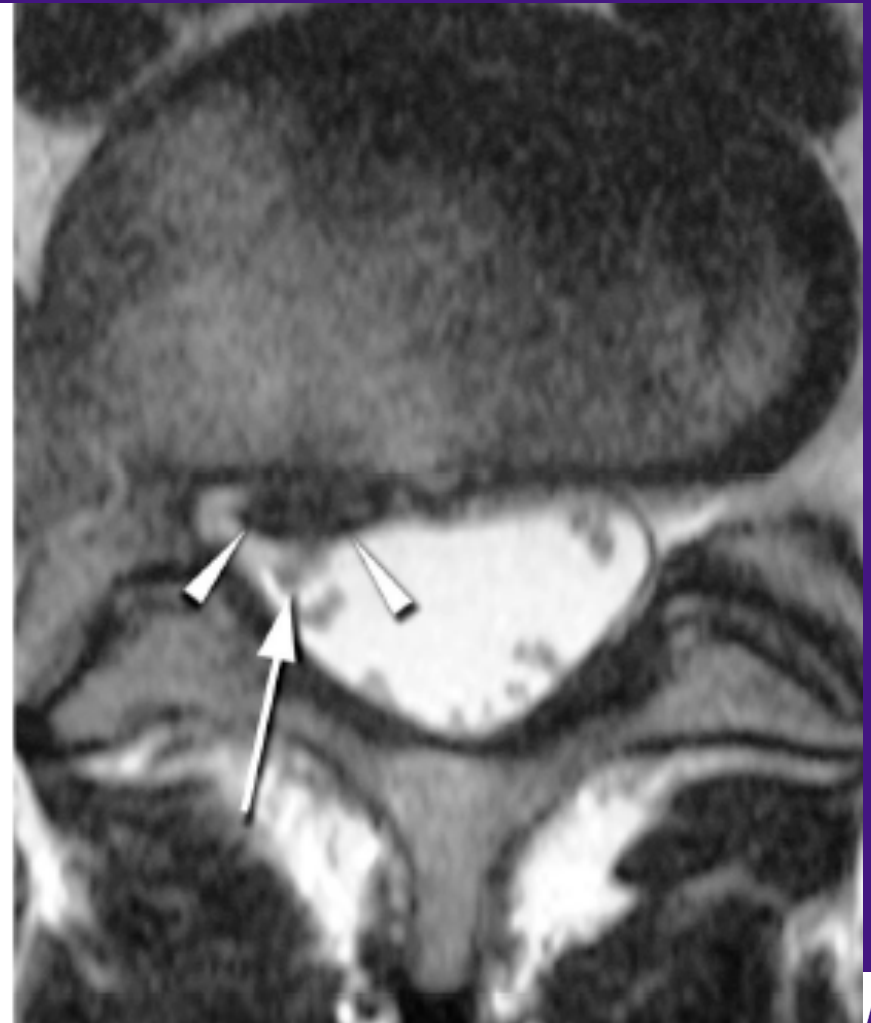
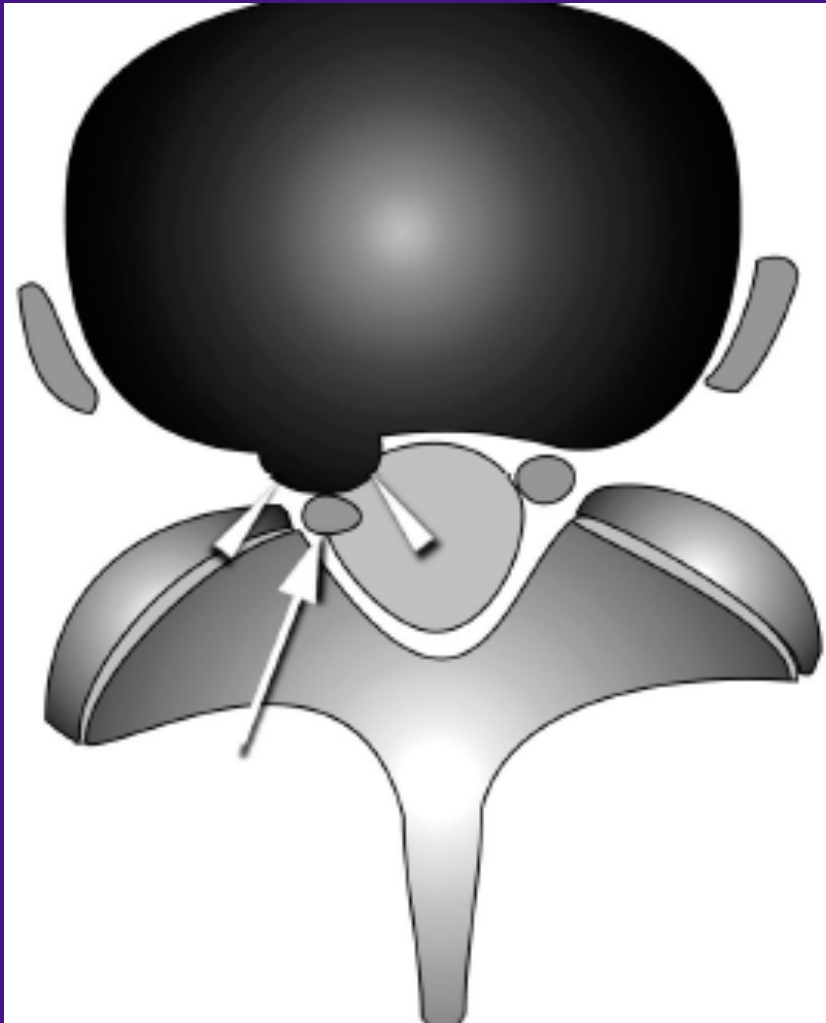


# Contacted Nerve Root



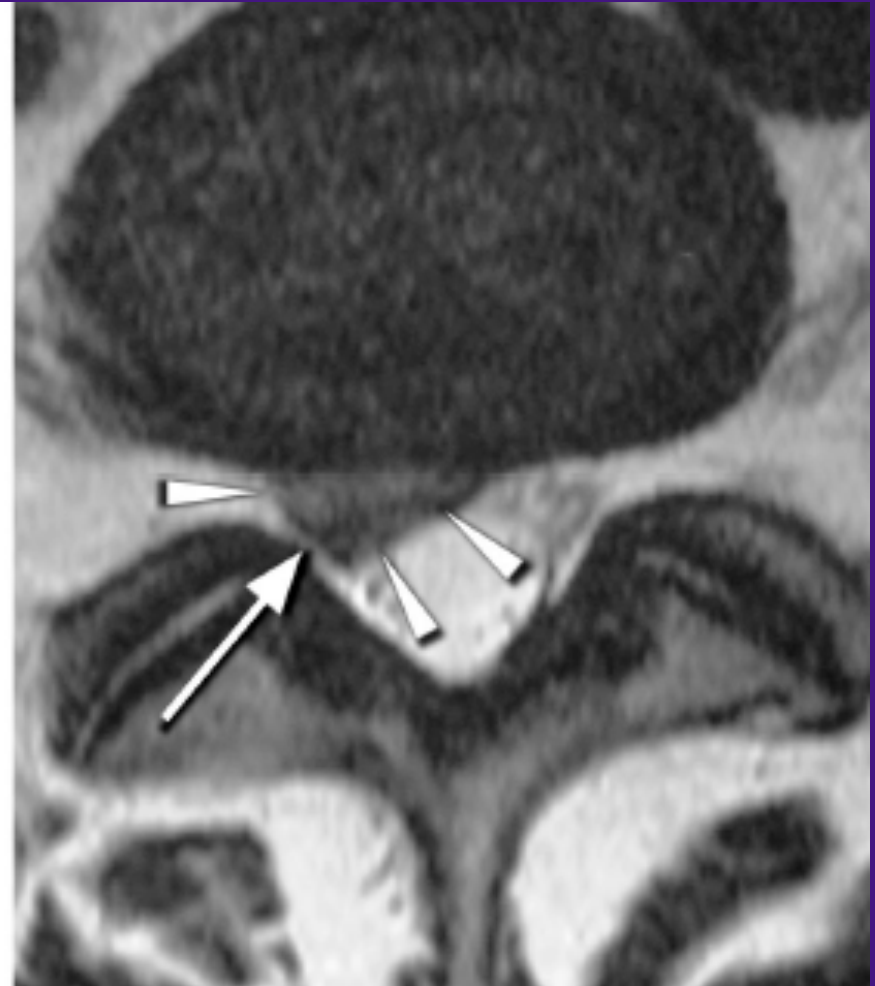
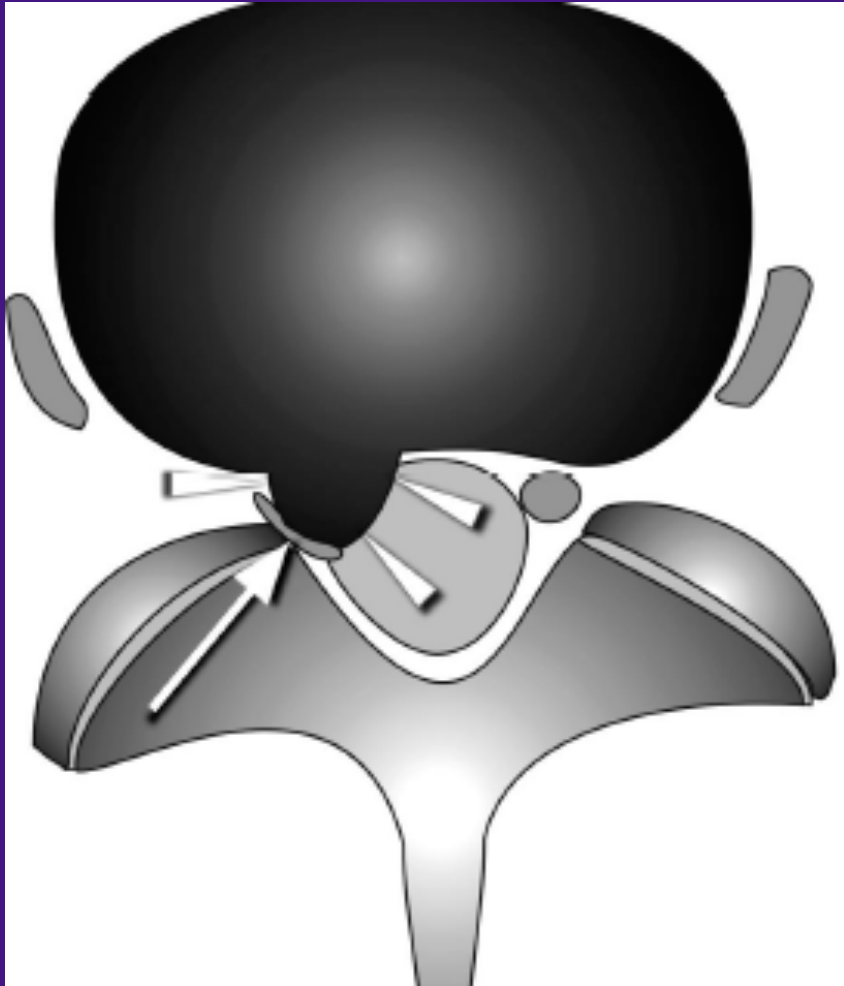
# Displaced Nerve Root

(Pfirrmann et al, Radiology 2004)

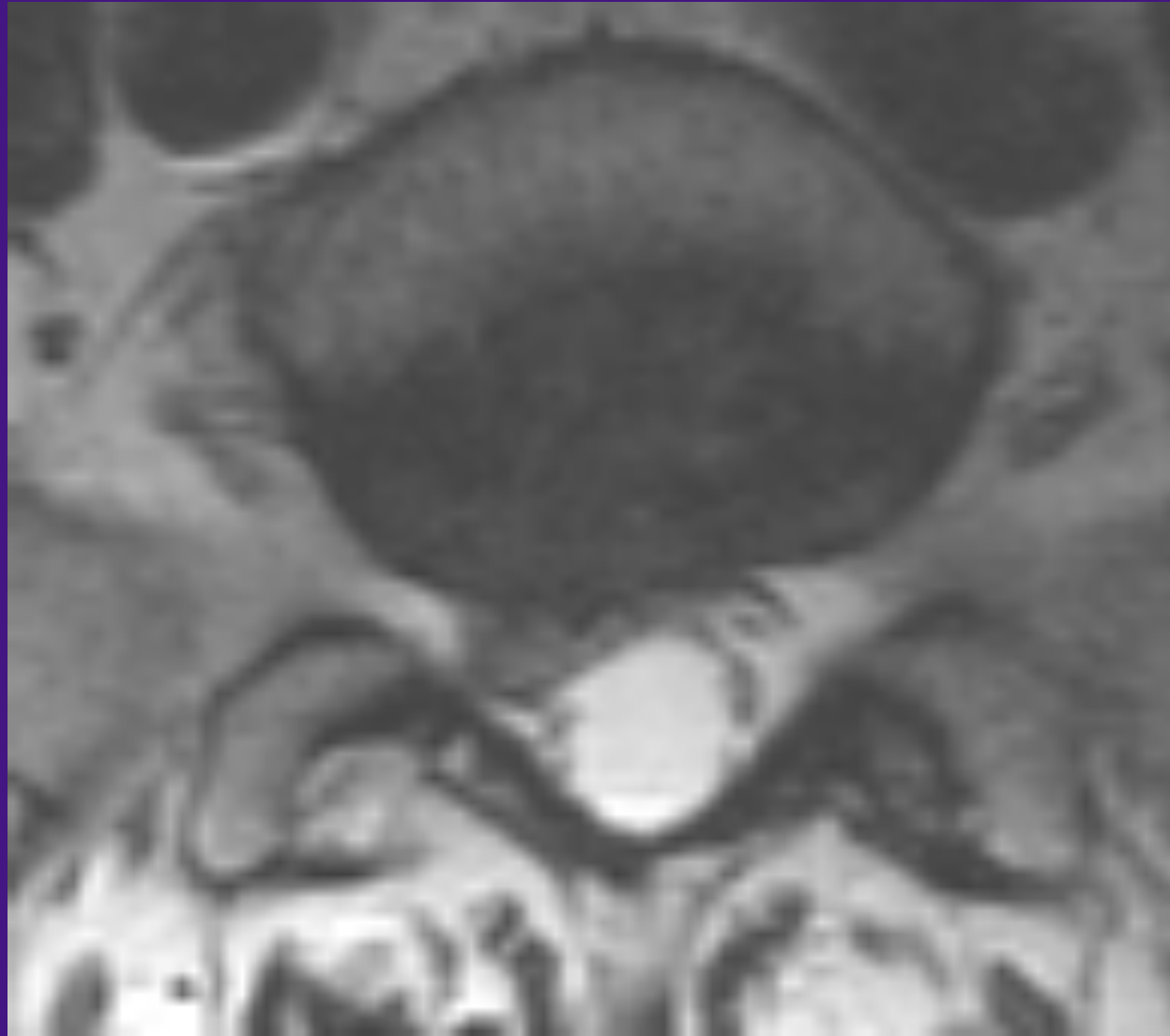


# Compressed Nerve Root

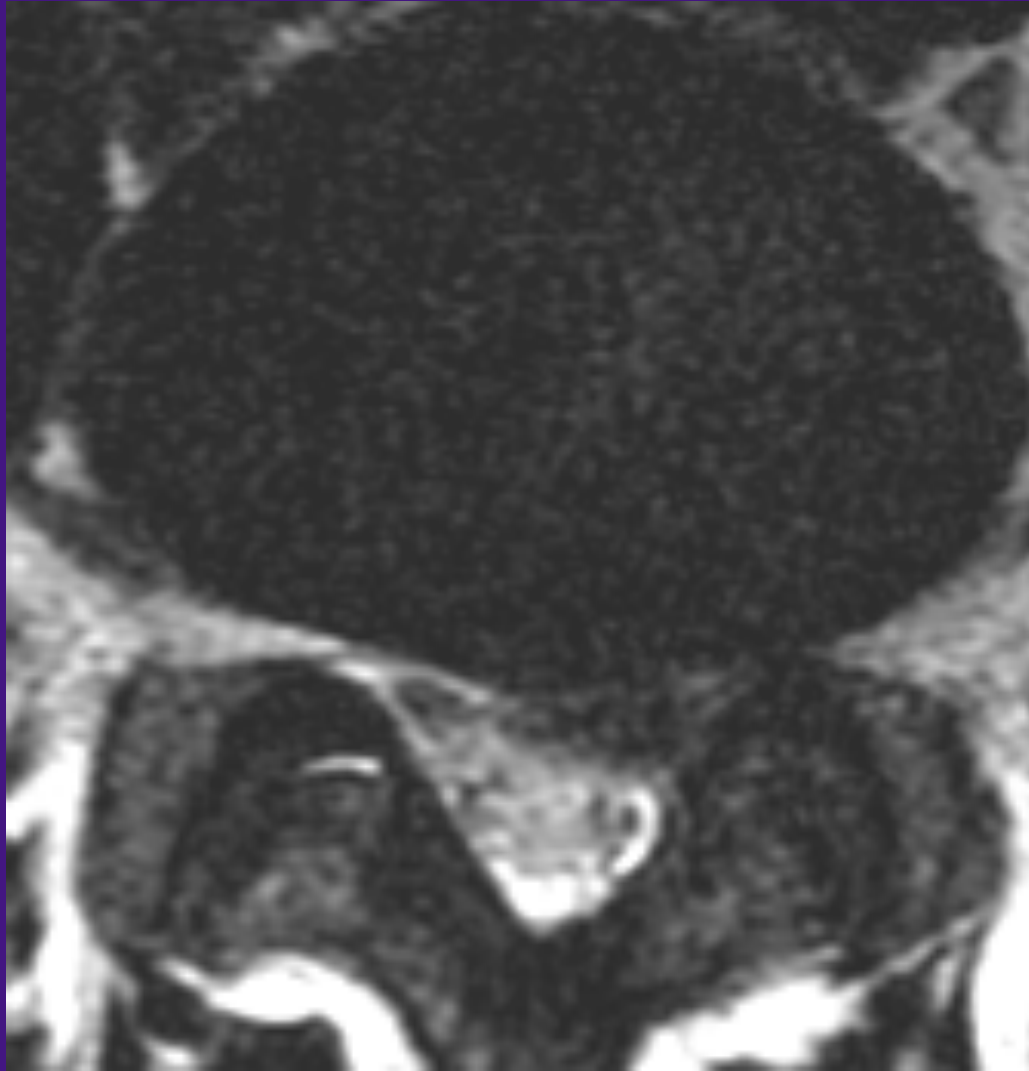
(Pfirrmann et al, Radiology 2004)



# Displaced and Compressed Nerve Root



# Displaced and Compressed Nerve Root



# Reliability of Pfirrmann Nerve Root Grade

- Intrareader  $\kappa$   
0.72-0.77

- Interreader  $\kappa$   
0.62-0.67

Kappa value	Degree of agreement
0-.2	Poor
.2-.4	Fair
.4-.6	Moderate
.6-.8	Substantial
.8-1.0	Near Perfect

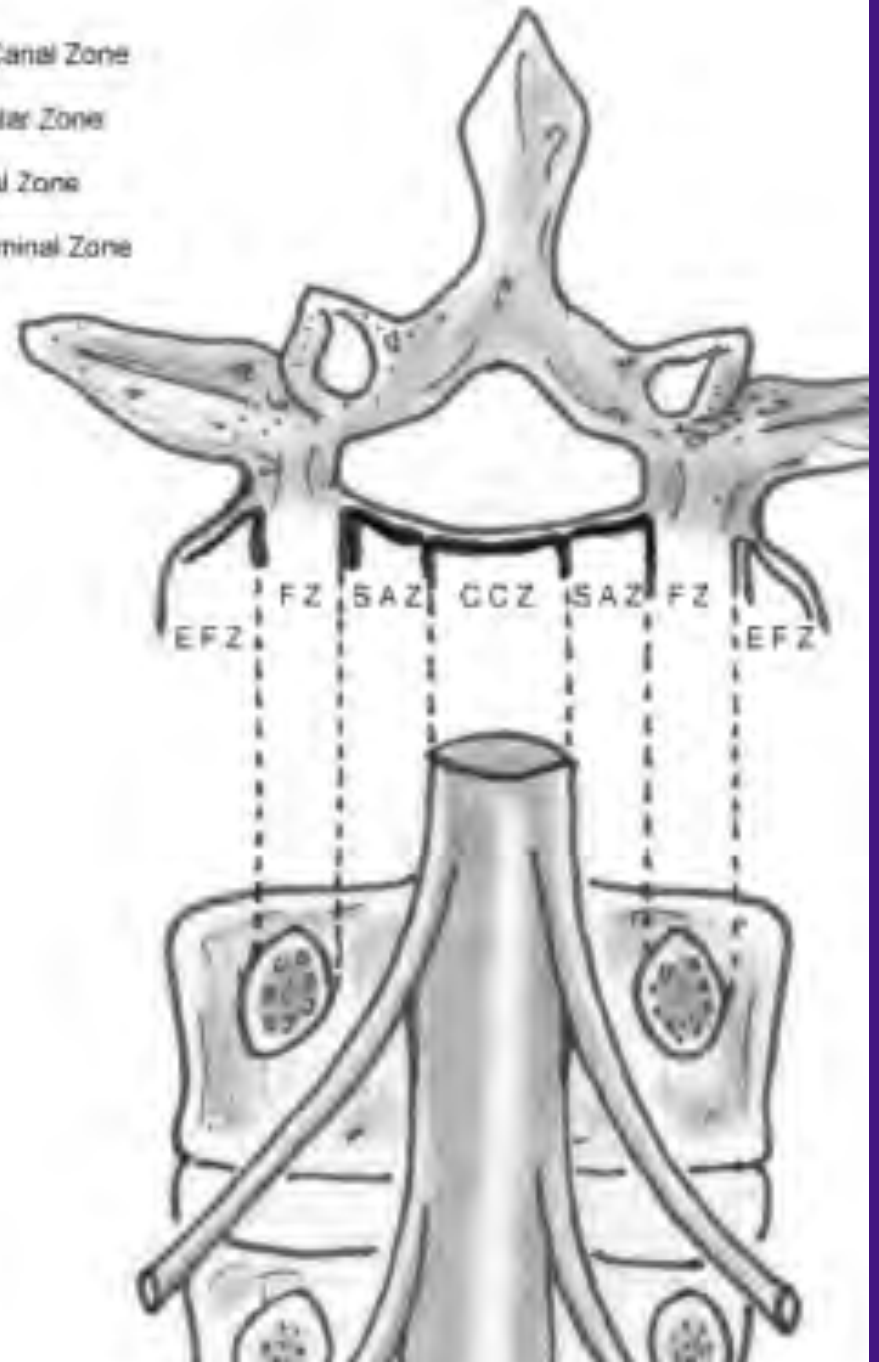
# Anatomic Zones

CCZ: Central Canal Zone

SAZ: Subarticular Zone

FZ: Foraminal Zone

EFZ: Extralaminar Zone



# Anatomic Levels

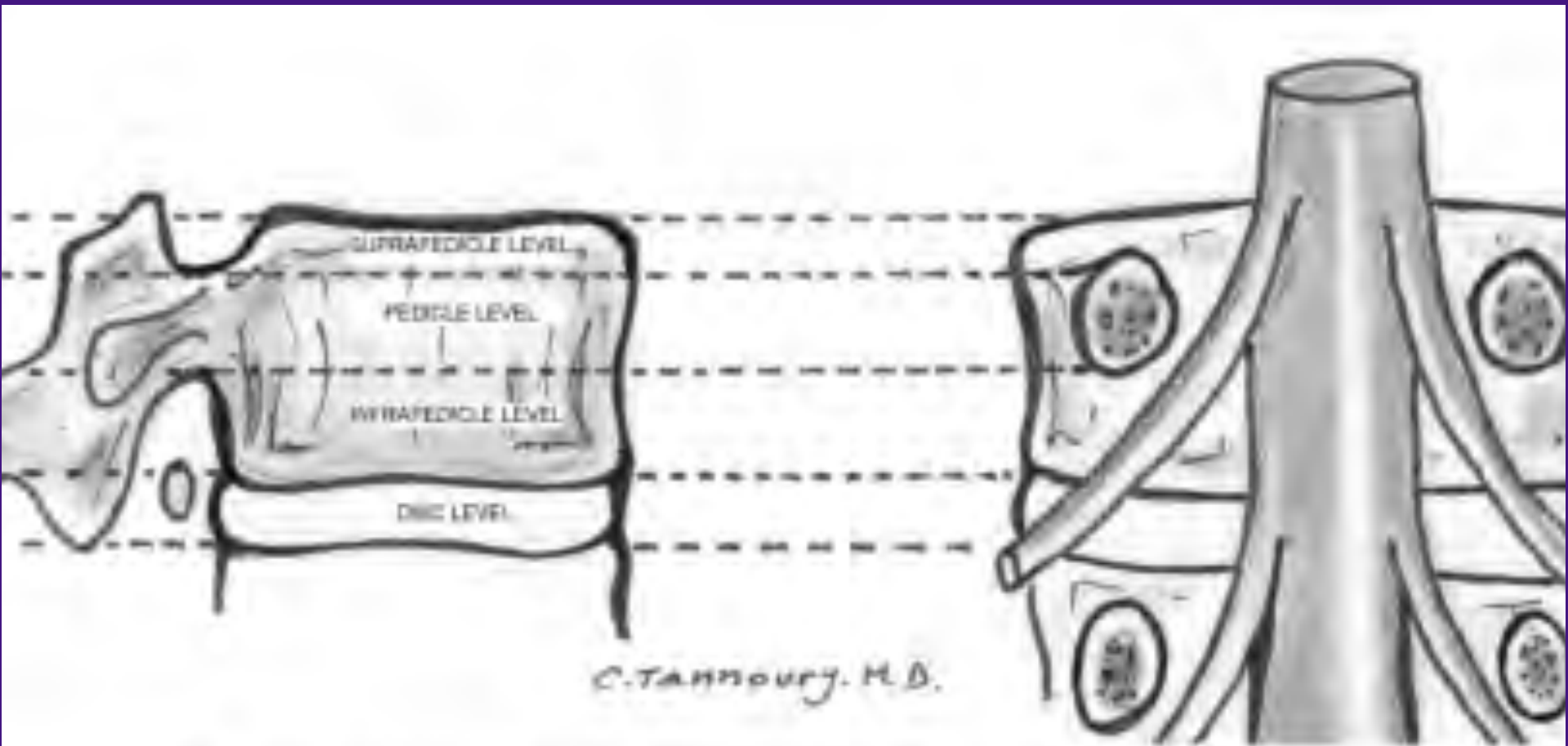


Fig. 12. Anatomic levels depicted in sagittal and coronal projections.



# Reporting of Radiologic Parameters in Patients with Central Lumbar Spinal Stenosis

Consensus Meeting Zurich, October 2012

Eur Radiol (2014) 24:3224–3232

DOI 10.1007/s00330-014-3346-z

MUSCULOSKELETAL

## **Consensus conference on core radiological parameters to describe lumbar stenosis - an initiative for structured reporting**

**Gustav Andreisek • Richard A. Deyo • Jeffrey G. Jarvik •  
Francois Porchet • Sebastian F. X. Winklhofer •  
Johann Steurer • On behalf of the LSOS working group**



# Imaging Parameters

- Qualitative
  1. Compromise of central zone
  2. Fluid around cauda equina
  3. Root compression in lat recess
- Quantitative
  - AP diameter of thecal sac

# Imaging Parameters

- Qualitative

1. Compromise of central zone relative to normal size

- Mild  $<1/3$

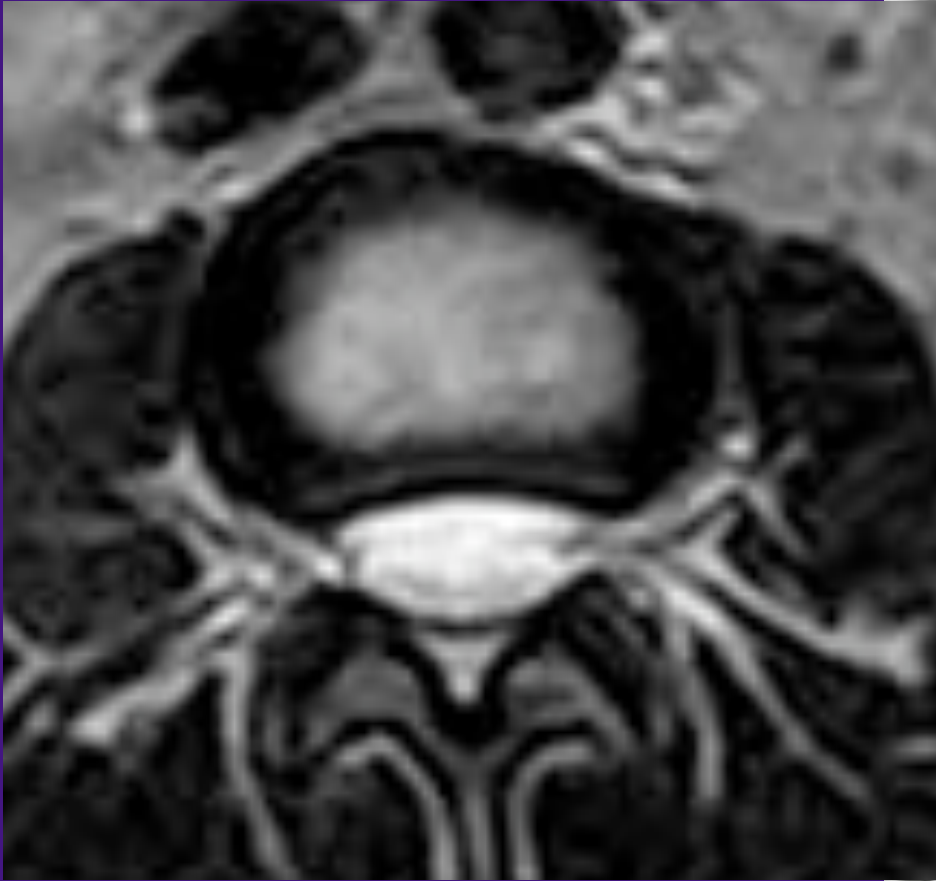
- Moderate  $1/3-2/3$

- Severe  $>2/3$

(Lurie Spine 2008)



# Spinal Stenosis



# Imaging Parameters

- Qualitative

2. Fluid around cauda equina

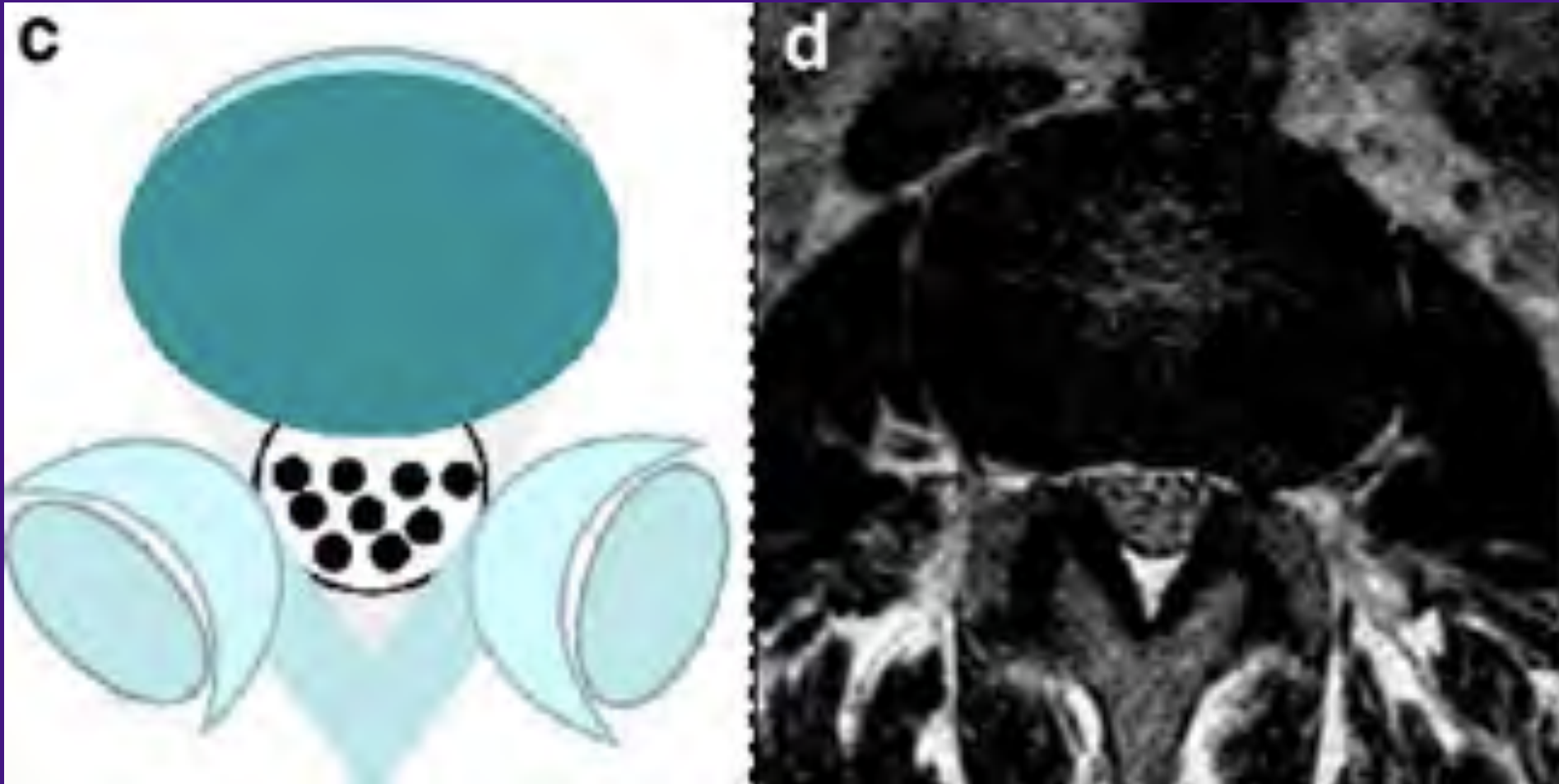
- Grade 0: no stenosis
- Grade 1: mild stenosis- can see individual roots
- Grade 2: some root aggregation
- Grade 3: no differentiation

(Guen Skel Radiol 2011)



# Stenosis Grade 1

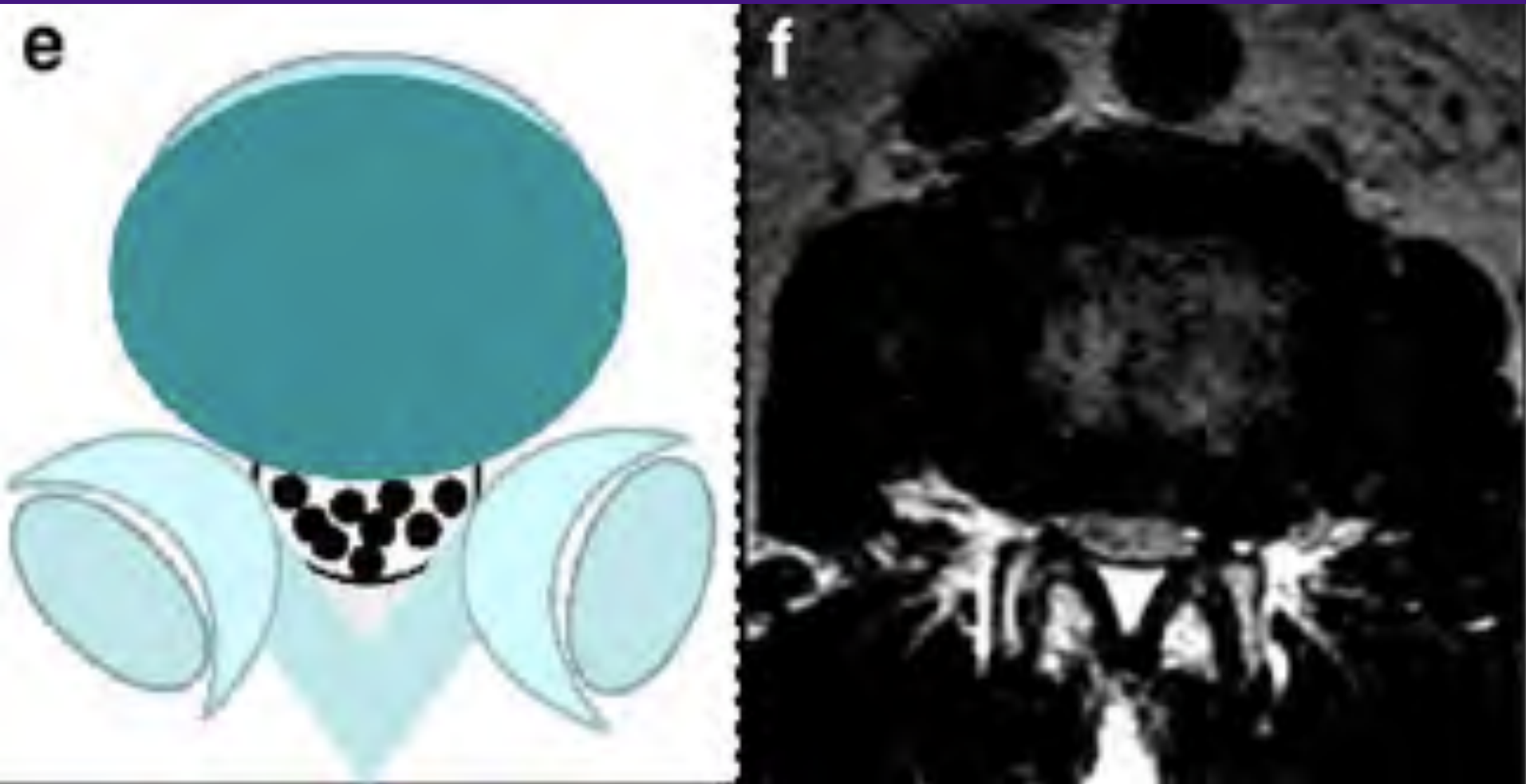
Still can see individual roots



(Guen Skel Radiol 2011)

# Stenosis Grade 2

Some root aggregation

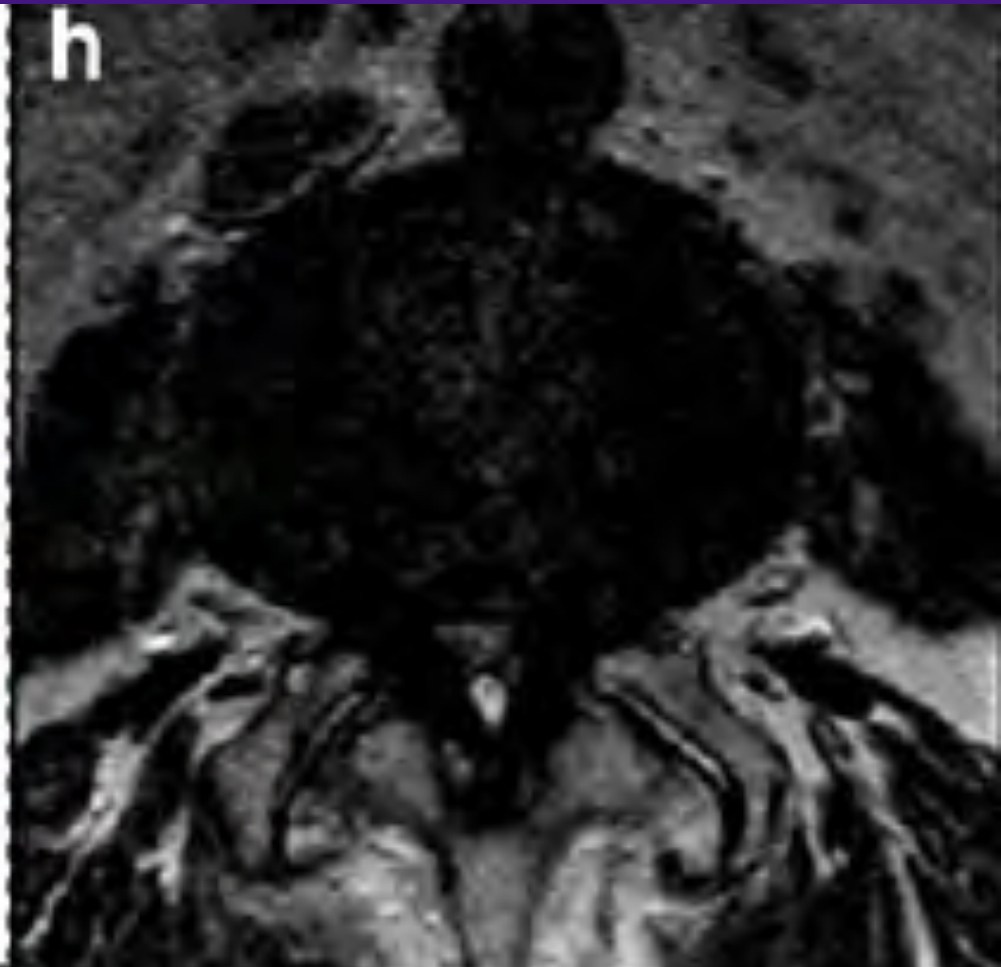
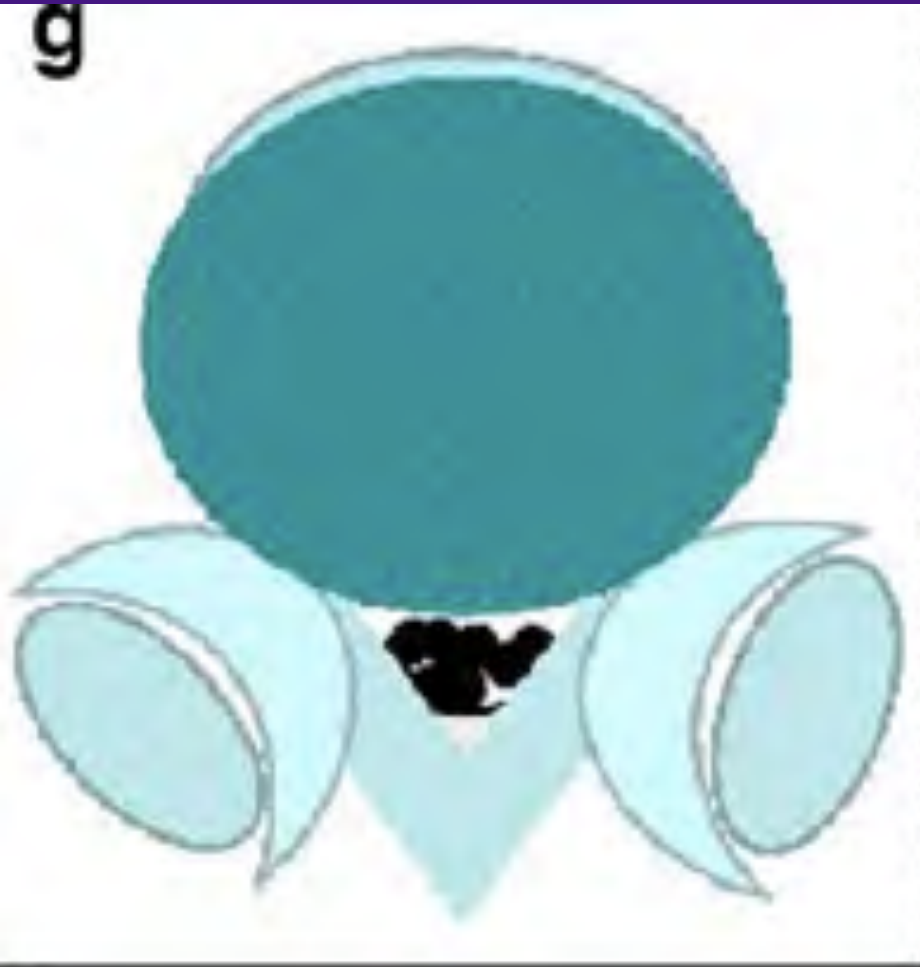


(Guen Skel Radiol 2011)



# Stenosis Grade 3

Entire cauda equina in a bundle



(Guen Skel Radiol 2011)





# Imaging Parameters

- Qualitative
  3. Root compression in lat recess
    - Grade 1: narrow LR w/o root comp
    - Grade 2: narrow LR w/root flat but preserved CSF
    - Grade 3: CSF oblit in LR and sev root compression

(Bartynski AJNR 2003)



# Bartynski Grading

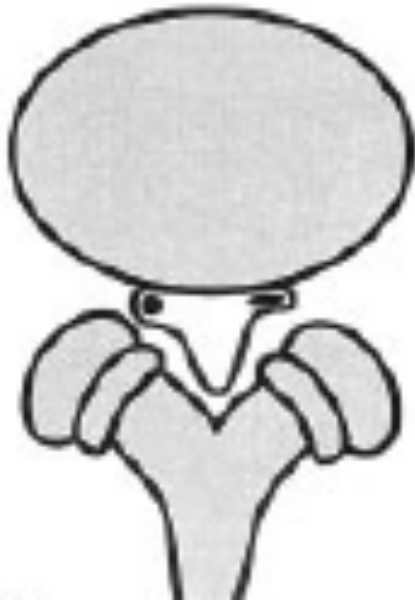
Grade 0



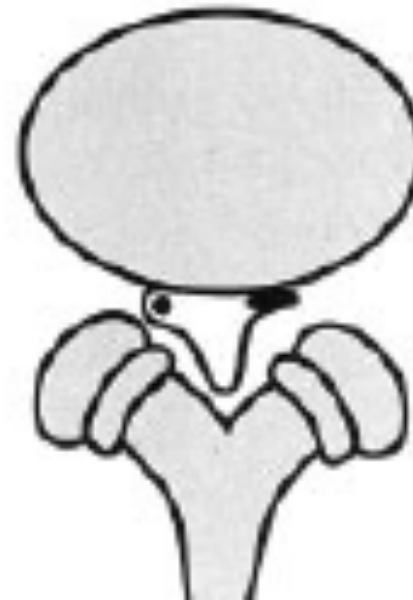
Grade 1



Grade 2



Grade 3



# Talk Outline

- When to image: guideline review
- What to say:
  - Nomenclature: Classifying Disc Findings
  - Degeneration: The Spectrum of Normal
- Summary



# Fundamental Problem

- Many findings
- Poor association with pain



# Prevalence of Annular Fissures in Normals

Modality	Author/ Year	Age Range	Prev
MR	Jensen/ 1994	20-80	14%
MR	Stadnik/ 1998	17-60 61-71	48% 100%
MR	Weishaupt/ 1998	20-50	33%
MR	Carragee/ 2000	22-57	24%
MR	Jarvik/ 2001	35-70	38%



# Carragee Spine 2001

- HIZ does not reliably indicate presence of symptomatic internal disc disruption
- prevalence of HIZ in asx' s too high (25%) for meaningful clinical use
- at discography, same % of asx and sx discs with an HIZ were painful



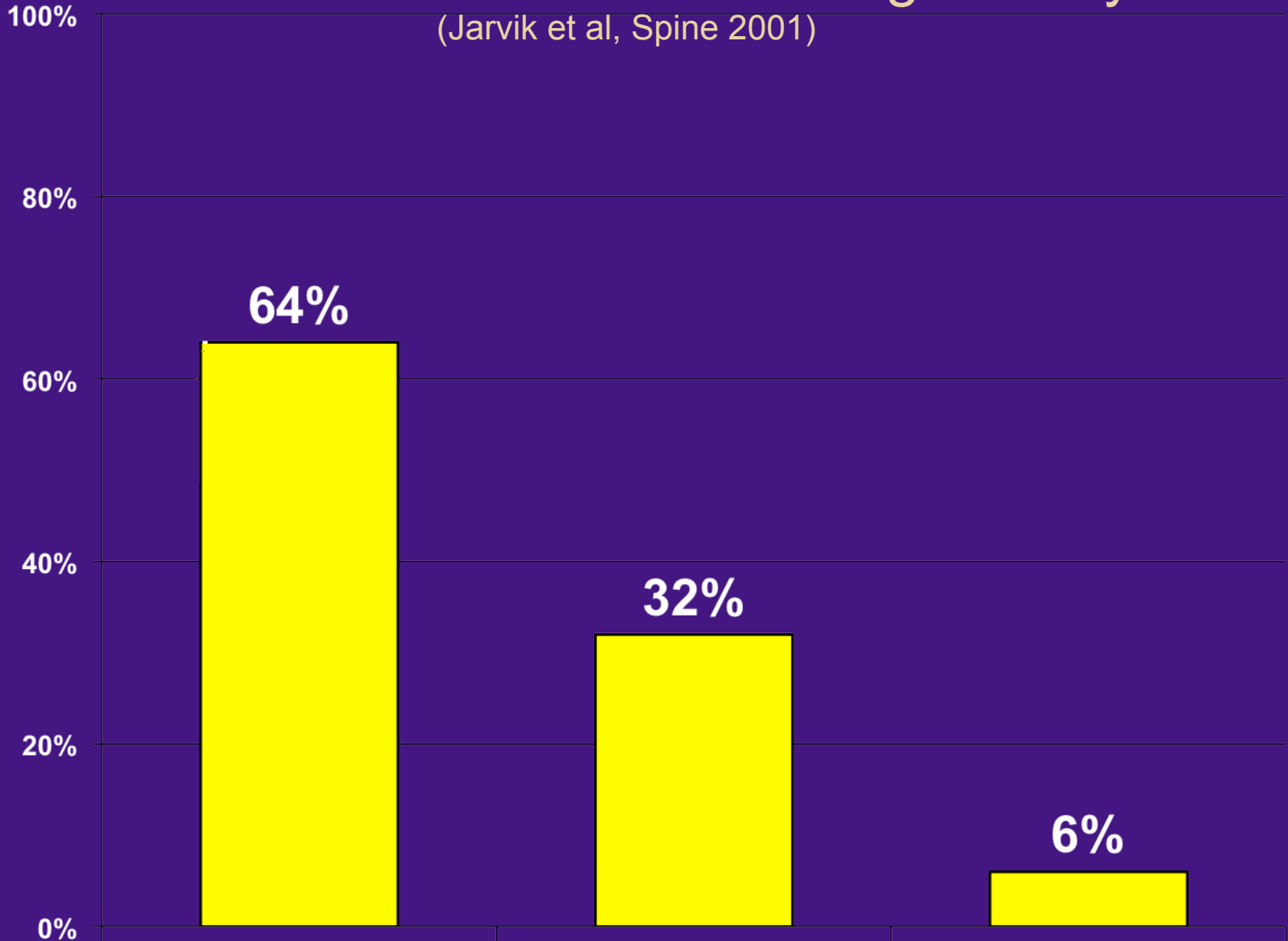
# Prevalence of Disc Herniations in Normals

Modality	Author/Yr	Finding	Prev
<b>Myelo</b>	<b>Hitsselberger/ 1968</b>	<b>Any</b>	<b>24%</b>
<b>CT</b>	<b>Wiesel/ 1983</b>	<b>HNP</b>	<b>20-27%</b>
<b>MR</b>	<b>Boden/ 1990</b>	<b>HNP</b>	<b>22-36%</b>
<b>MR</b>	<b>Jensen/ 1994</b>	<b>Protrusion</b> <b>Extrusion</b>	<b>20-60%</b> <b>1%</b>
<b>MR</b>	<b>Stadnik/ 1998</b>	<b>Protrusion</b> <b>Extrusion</b>	<b>26-80%</b> <b>0%</b>



# Baseline Prevalence of Disc Findings at Any Level

(Jarvik et al, Spine 2001)



bulge

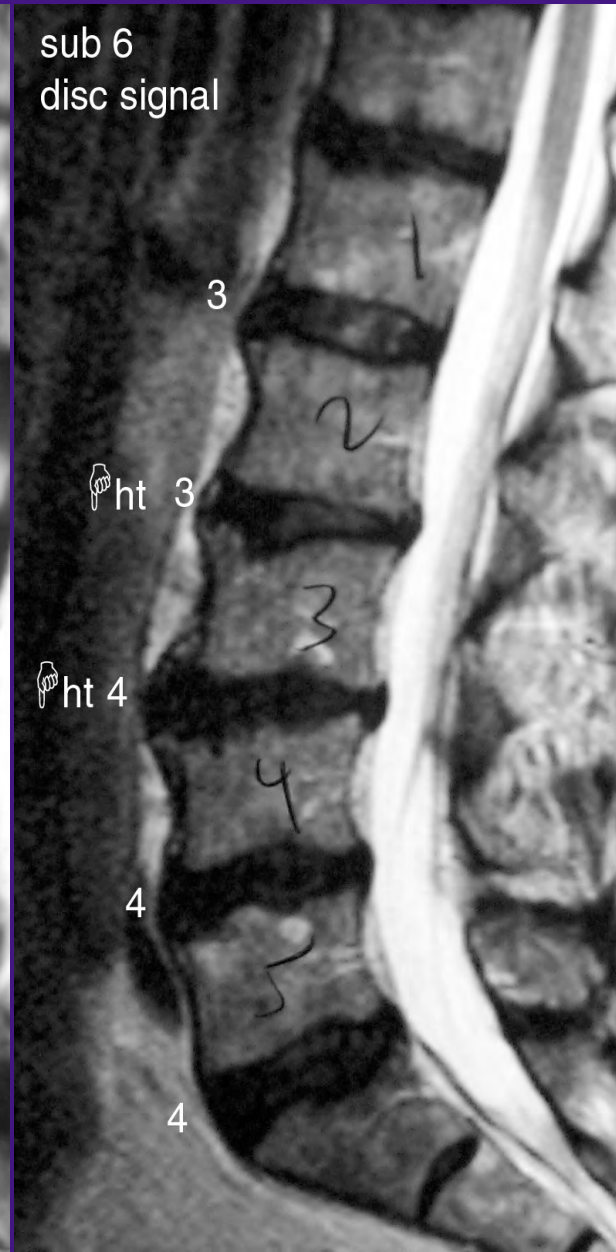
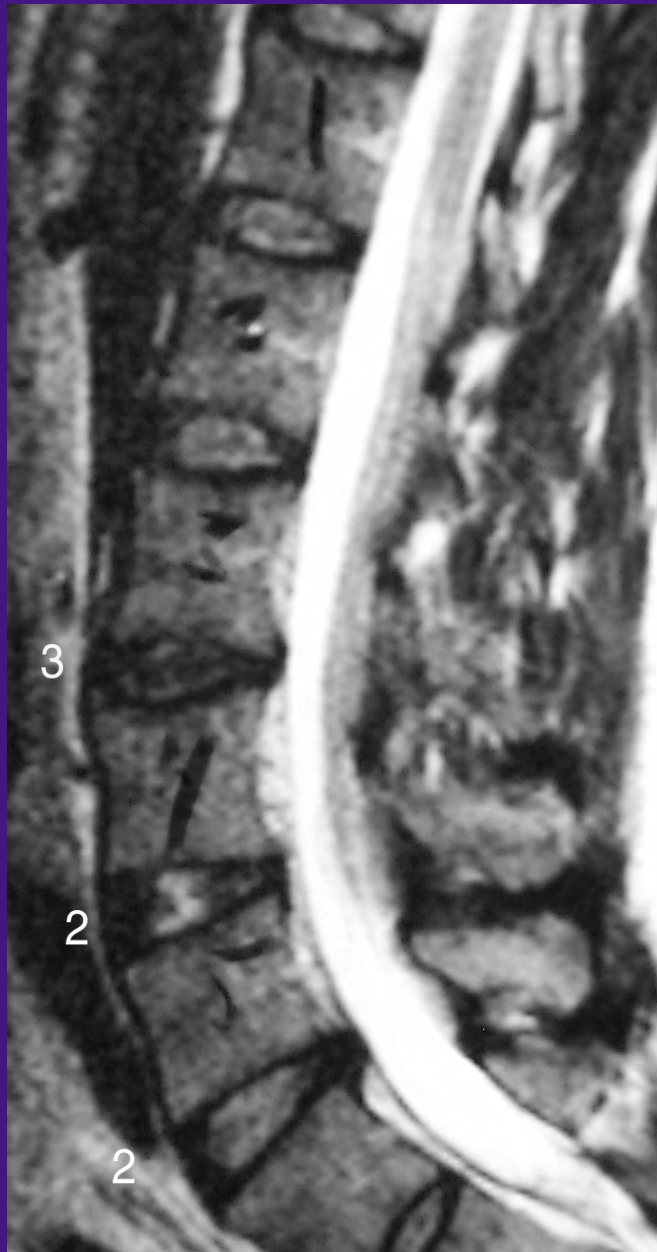
protrusion

extrusion





# Disc Degeneration



# Prevalence of Disc Degeneration in Normals

Modality	Author/ Year	Age Range	Prev
MR	Boden/ 1990	20-60 60-80	44% 93%
MR	Stadnik/ 1998	17-60 61-71	52% 80%
MR	Weishaupt/ 1998	20-50	72-100%
MR	Jarvik/ 2001	35-70	91%



Viewpoint

# THE LANCET

**Should radiologists change the way they report plain radiography of the spine?**

*The Lancet*; Jul 18, 1998; 352, 9123; 1  
pg. 229

Martin Roland, Maurits van Tulder



# Roland and van Tulder Recommended Reports

Disc degeneration:

Approximately 80%-100% of people without back pain have this, so finding may not be related to patient's pain

# Lumbar Spine Macro

The following findings are so common in people without low back pain that while we report their presence, they may have nothing to do with a patient's low back pain (Reference-Jarvik et al, Spine 2001):

Finding (prevalence in pts without low back pain)

Disc degeneration (91%)

Disc signal Loss (83%)

Disc height loss (56%)

Disc bulge(64%)

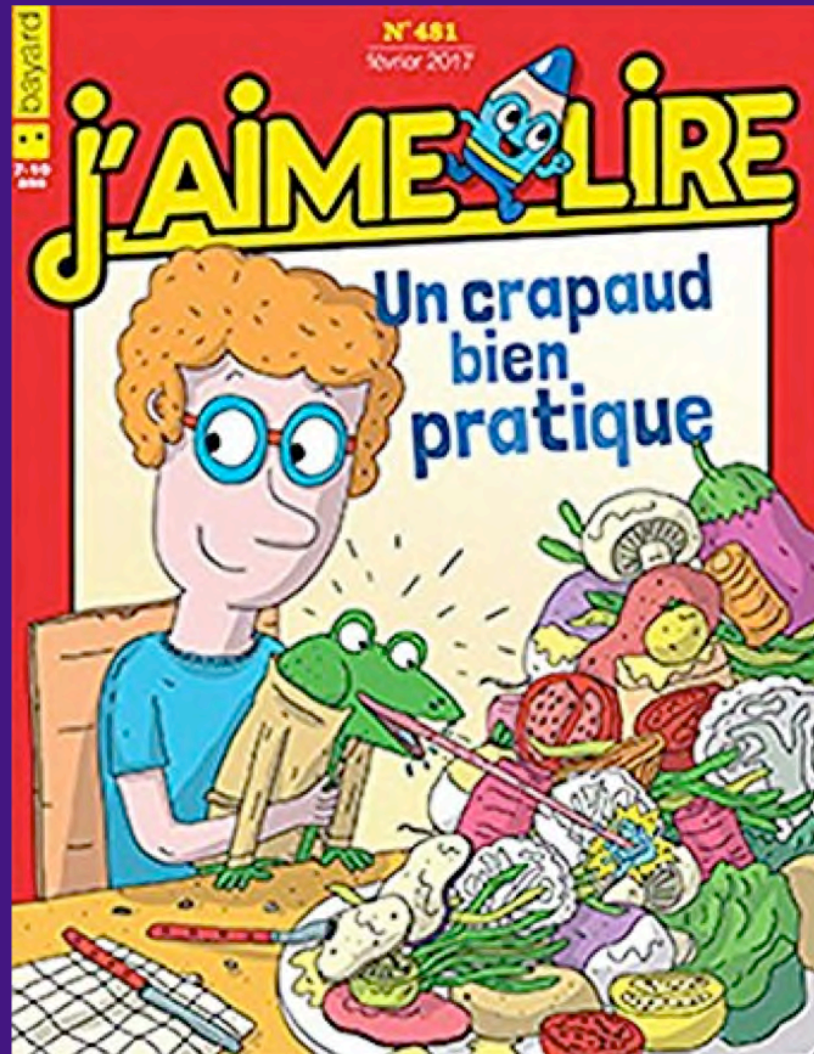
Disc protrusion (32%)

Annular fissure (38%)

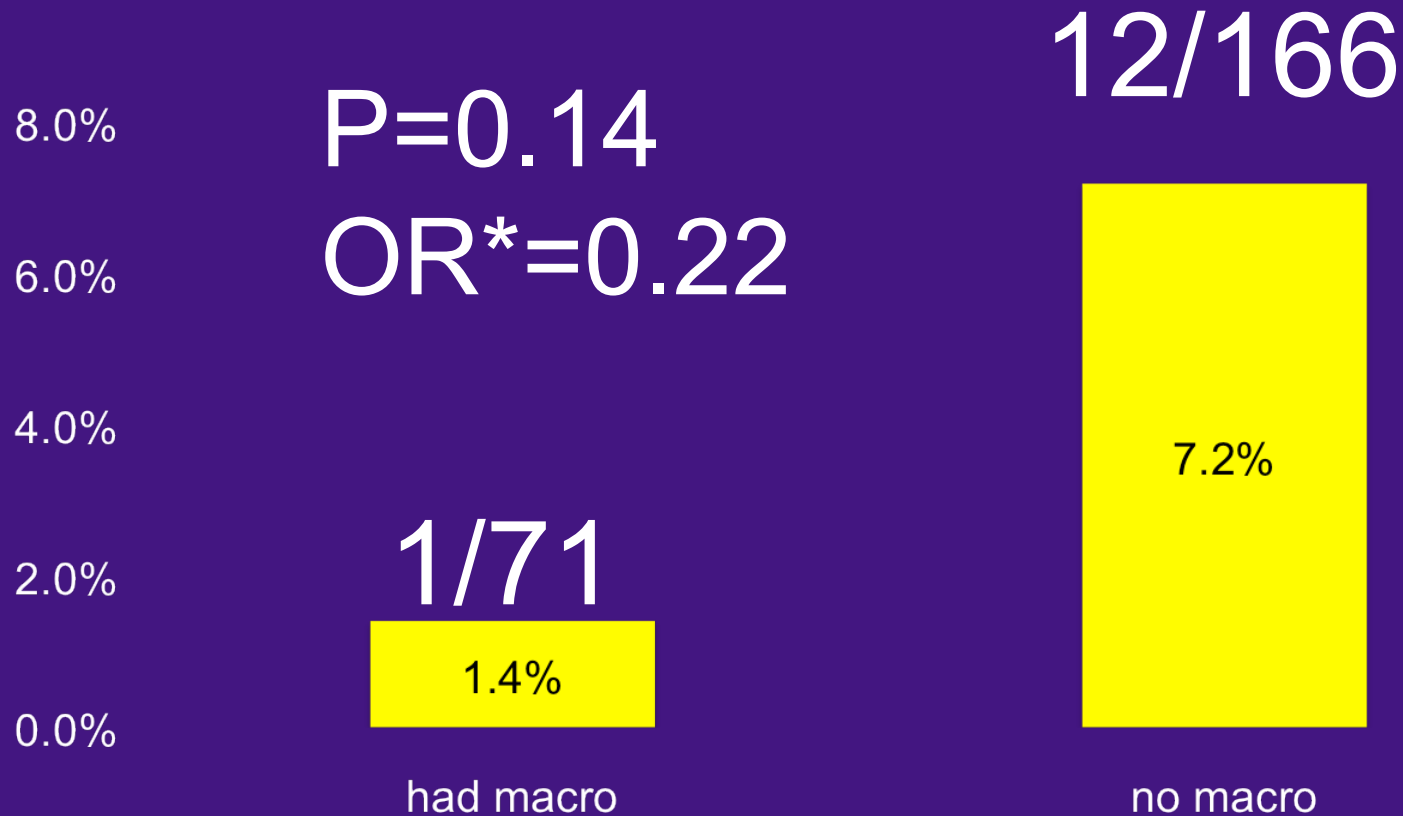
# Lumbar Imaging with Reporting of Epidemiology (LIRE)



LIRE (pronounced *leer*)  
from the French verb, 'to read'.



# Retrospective Pilot Results: Subsequent Imaging Within 1 Yr

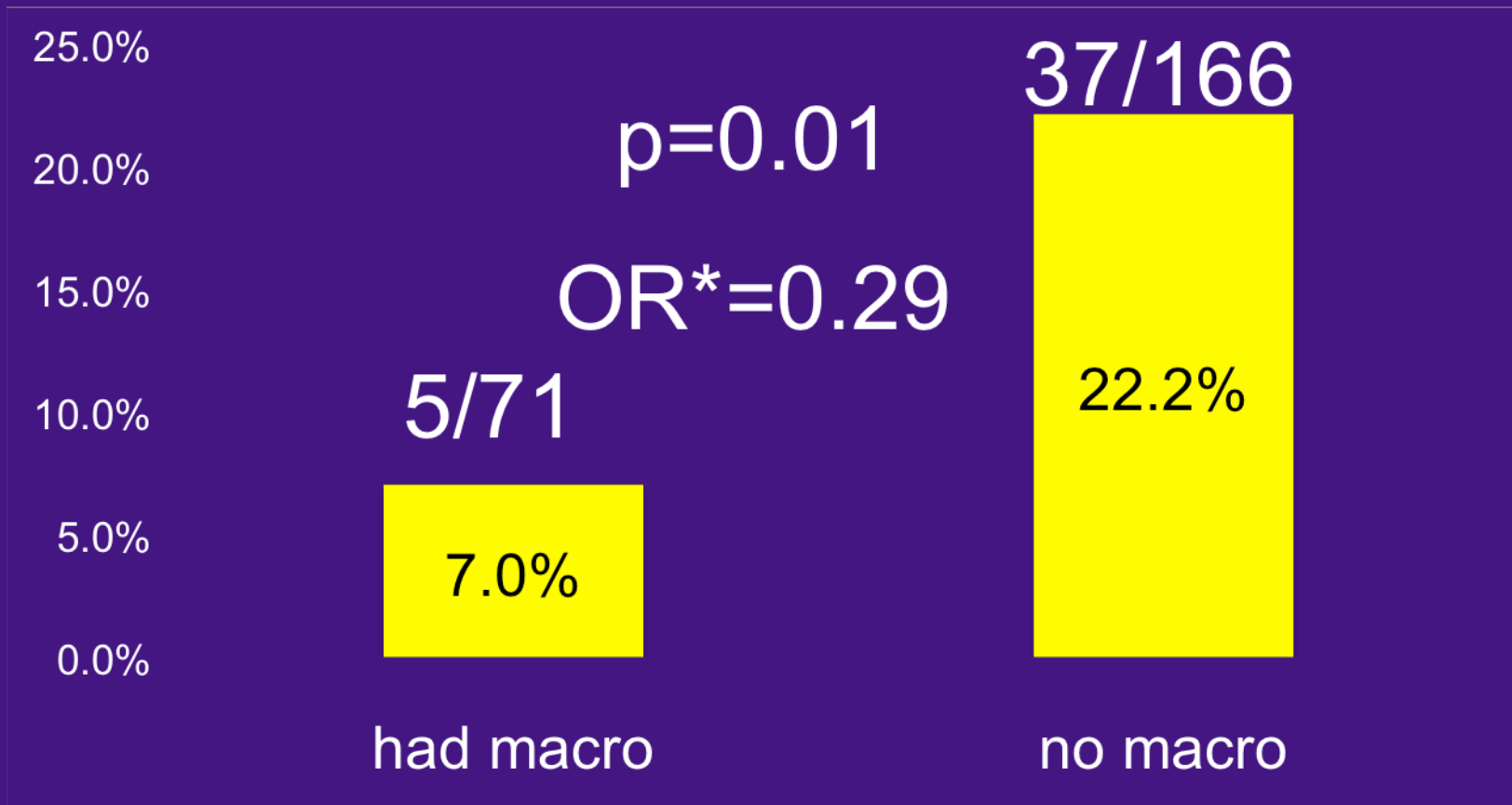


\* Adjusted for imaging severity





# Results: Subsequent Narcotic Rx Within 1 Yr



# Published last month...

Radiology

Jessica G. Fried, MD  
Angeline S. Andrew, PhD  
Natalie Y. Ring, BS  
David A. Pastel, MD

## Changes in Primary Care Health Care Utilization after Inclusion of Epidemiologic Data in Lumbar Spine MR Imaging Reports for Uncomplicated Low Back Pain<sup>1</sup>

### Results:

Patients in the statement group were 12% less likely to be referred to a spine specialist (137 of 187 [73%] vs 159 of 188 [85%];  $P = .007$ ) and were 7% less likely to undergo repeat imaging (seven of 187 [4%] vs 20 of 188 [11%],  $P = .01$ ) compared with patients in the nonstatement group. The intervention was not associated with any change in narcotic prescription (53 of 188 [28%] vs 54 of 187 [29%];  $P = .88$ ) or with the rate of low back surgery (24 of 188 [13%] vs 16 of 187 [9%];  $P = .19$ ).

### Conclusion:

In this study, inclusion of a simple epidemiologic statement in lumbar MR imaging reports was associated with decreased utilization in high-cost domains of low back pain management.



# Intervention Text

The following findings are so common in normal, pain-free volunteers, that while we report their presence, they must be interpreted with caution and in the context of the clinical situation. Among people between the age of 40 and 60 years, who do not have back pain, a plain film x-ray will find that about:

- 8 in 10 have disk degeneration
- 6 in 10 have disk height loss

Note that even 3 in 10 means that the finding is quite common in people without back pain.



# Talk Outline

- When to image: guideline review
- What to say:
  - Nomenclature: Classifying Disc Findings
  - Degeneration: The Spectrum of Normal
- Summary



# Classification of Imaging Findings

Related to aging

1. Bulge
2. Facet dx
3. Listhesis

Related to prior LBP

1. Extrusions
2. Root comp
3. Stenosis

1. Dessication
2. Anular Fissures

1. Protrusions

Common finding

# Take Home Points

- Don't image without a good clinical reason
- Don't image older adults early just because of their age



# Take Home Points: What We Say

- Standardized nomenclature whenever possible
  - Good for learning healthcare system
  - Good for training
  - Good for patients
- Many findings common in asx's
- 3 findings more likely to be clinically important
  - disc extrusions
  - root compression
  - central stenosis



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*Putting the Evidence in Evidence-based Medicine*





# UW Clinical Learning, Evidence And Research (CLEAR) Center for Musculoskeletal Disorders

- New UW NIH/NIAMS P30 Center
- Focused on transforming clinical → research data
- Data sets available for UW researchers
  - Claims (Marketscan, CMS)
  - Observational cohort (BOLD)
  - RCT (not yet...) LESS, LIRE
- Pilot \$\$ for faculty (\$20k/project)





PAUL  
KRASIK

*"Where's that damn 'escape' key?"*

• •