

Health Care Systems Research Collaboratory

The NIH Collaboratory Distributed Research Network

Millions of people. Strong collaborations. Privacy first.

Steering Committee Meeting February 24, 2014

A Vision For A National Patient-Centered Research Network Francis S. Collins, M.D., Ph.D.

Director, National Institutes of Health

National Workshop to Advance the Use of Electronic Data in Patient-Centered Outcomes Research



Imagine ...

A National Patient-Centered Research Network

- Bringing together 20–30 million covered lives, with
 - Good representation of gender, geographic, ethnic, age, educational level, and socioeconomic diversity
 - Broad opt-in consents from 80 90% of participants
 - Longitudinal follow up over many years
- Offering a stable research infrastructure
 - Including trained personnel in each of the participating health services organizations
 - Making it possible to run protocols with low marginal cost



What Could We Do With a National Patient-Centered Research Network?

- Rapidly design and implement observational trials
 - At very low cost
- Quickly and affordably conduct randomized studies
 - Using individual or cluster design
 - In diverse populations and real-world practice settings
- Significantly reduce usual expenses associated with start-up and shut-down of clinical research studies



Why Now?

- For the first time in the U.S., health services organizations with EHRs have reached the point of making this network feasible on a large scale
- Scientific opportunities and the urgency of getting answers to clinical questions have never been greater
- If we are ever to engage a larger proportion of the American public in medical research, we need to come to them – in partnership
- General feasibility has been demonstrated through modest prior efforts (e.g. HMORN, eMERGE, etc.)
- PCORI has arrived on the scene and successful establishment of this Network, potentially with NIH and AHRQ as partners, could be PCORI's most significant contribution and enduring legacy



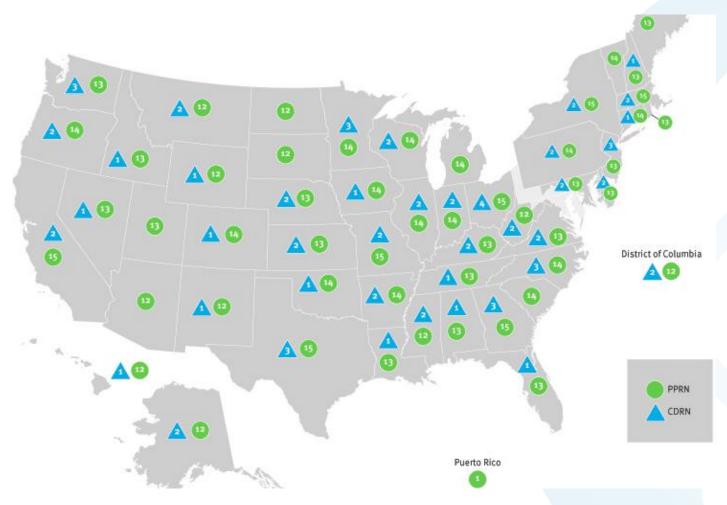
PCORnet: the National Patient-Centered Clinical Research Network



The goal: improve the nation's capacity to conduct rapid, efficient, economical comparative effectiveness research that is beyond existing capabilities



11 Clinical Data Research Networks and 18 Patient Powered Research Networks





11 Clinical Data Research Networks

CDRN Name	Lead Organization
ADVANCE	Oregon Community Health Information Network
CAPriCORN	The Chicago Community Trust
Great Plains Collaborative	University of Kansas Medical Center
Louisiana Clinical Data Research Network	Louisiana Public Health Institute
Mid-South CDRN	Vanderbilt University
NYC-CDRN	Weill Medical College of Cornell University
PEDSNet	The Children's Hospital of Philadelphia
PORTAL	Kaiser Foundation Research Ins
pSCANNER	University of California, San Diego
P2ATH	University of Pittsburgh
SCIHLS	Harvard University

Clinical Data Research Networks' Highlights

- Networks of academic medical centers, hospitals and physician practices
- Networks of non-profit integrated health systems
- Networks of low income clinics
- Networks leveraging AHRQ investments and NIH investments (CTSAs)
- Inclusion of Health Information Exchanges
- Wide geographical spread
- Inclusion of underserved populations



Patient Powered Research Networks

- Target size of **0.5% of U.S population** with condition: > 50 patients for rarest diseases; 50,000 for most common
- Patient-reported data collected for at least 80% of cohort
- Patients involved in governance
- Standardized data suitable for sharing with other infrastructure members and successfully responds to queries



9 Patient Powered Research Networks in rare conditions

Organization	Condition	Proposed Pop
ALD Connect, Inc	Adrenoleukodystrophy	3,000
Arbor Research Collaborative for Health	Primary Nephrotic Syndrome (Focal Segmental Glomerulosclerosis], Minimal Change Disease, and Membranous Nephropathy, Multiple Sclerosis	1,250
Duke University	Juvenile Rheumatic Disease	9,000
Epilepsy Foundation	Aicardi Syndrome, Lennox-Gastaut Syndrome, Phelan- McDermid Syndrome, Hypothalamic Hamartoma, Dravet Syndrome, and Tuberous Sclerosis	1,500
Genetic Alliance, Inc	Alström syndrome, Dyskeratosis congenital, Gaucher disease, Hepatitis, Inflammatory breast cancer, Joubert syndrome, Klinefelter syndrome and associated conditions, Metachromatic leukodystrophy, Pseudoxanthoma elasticum (PXE), Psoriasis	50- 50,000
Immune Deficiency Foundation	Primary Immunodeficiency Diseases	1,250
Parent Project Muscular Dystrophy	Duchenne and Becker muscular dystrophy	4,000
Phelan-McDermid Syndrome Fndn	Phelan-McDermid Syndrome	737
University of Pennsylvania	Vasculitis	500



9 Patient Powered Research Networks in "non rare" conditions

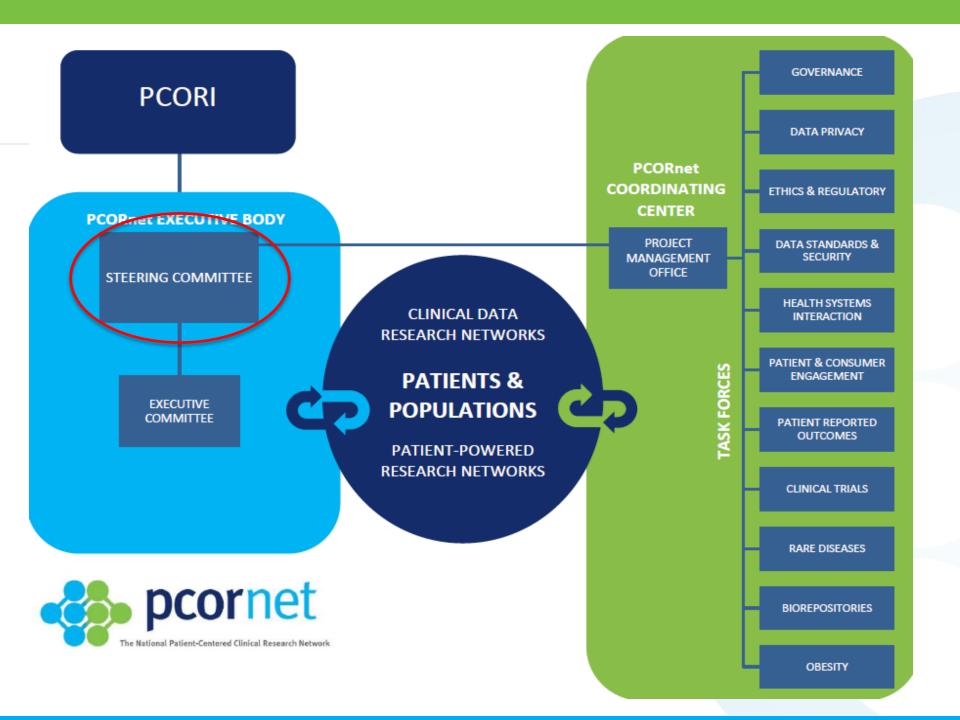
Organization	Condition	Proposed Population
Accelerated Cure Project for Multiple Sclerosis	Multiple Sclerosis	20,000
Amer Sleep Apnea Association	Sleep Apnea	50,000
Cincinnati Children's Hospital Medical Center	Pediatric Crohn's Disease and Ulcerative Colitis	15,000
COPD Foundation	Chronic Obstructive Pulmonary Disease	50,000
Crohn's and Colitis Foundation of America	Inflammatory Bowel Disease (Crohn's disease and ulcerative colitis)	30,000
Global Healthy Living Foundation	Arthritis (rheumatoid arthritis, spondyloarthritis), musculoskeletal disorders (osteoporosis), and inflammatory conditions (psoriasis)	50,000
Massachusetts General Hospital	Major Depressive Disorder (MDD) and Bipolar Disorder (BP)	50,000
Univ of California, San Francisco	Cardiovascular health	100,000
University of South Florida	Hereditary Breast and Ovarian Cancer (HBOC)	17,000



Patient Powered Research Networks' Highlights

- Variety of stakeholders in leadership: patients, advocacy groups, physician organizations, academic centers, PBRNs etc.
- Strong understanding of patient engagement
- Significant range of conditions and diseases
- Variety in **populations** represented (pediatrics, underserved populations etc.)
- **⊕**50% rare diseases
- Significant range in the **maturity** of the group in terms of data available Several have capacity to work with **biospecimens**

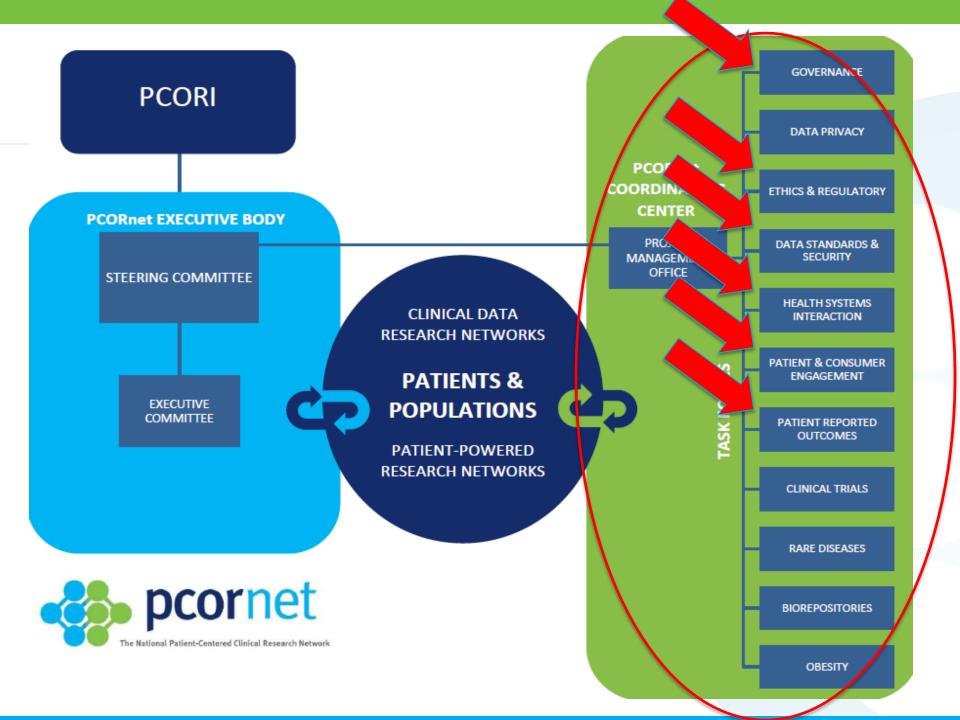




PCORnet Steering Committee

- Each Clinical Data Research Network
- Each Patient Powered Research Network
- HHS agencies
 - NIH
 - FDA
 - AHRQ
 - CDC
 - CMS
 - ONC
 - ASPE
- Medical product / device manufacturers
- PCORI and Coordinating Center

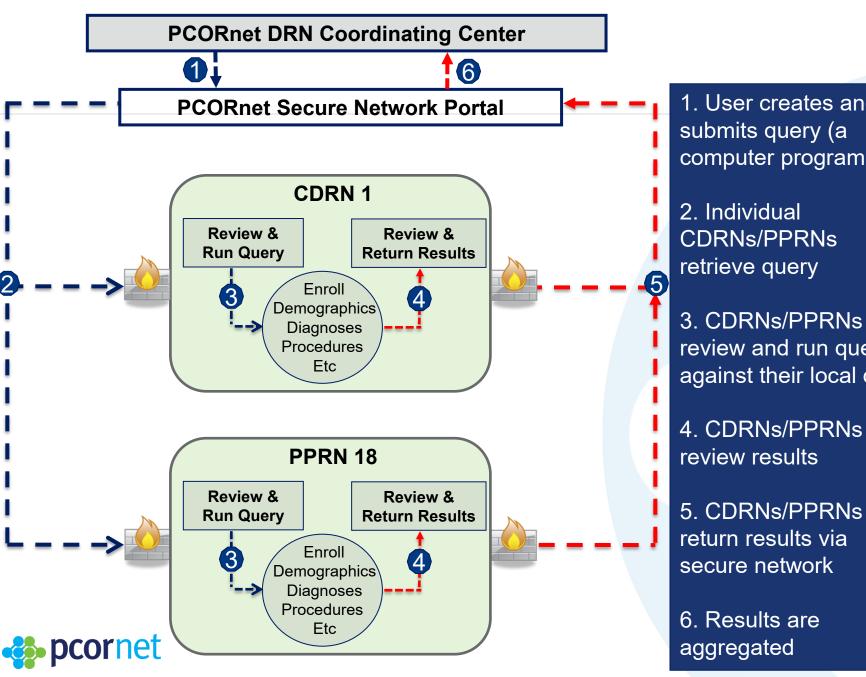




Distributed data / distributed analysis

- Data partners keep and analyze their own data
- Standardize the data using a common data model
- Distribute code to partners for local execution
- Provide results, not data, to requestor
- All activities <u>audited</u> and <u>secure</u>





1. User creates and computer program)

- review and run query against their local data
- return results via

PCORnet DRN Operations Center

Reporting

Project Management

Policies and Procedures

Query Fulfillment

ETC...

PCORnet DRN Secure Portal

Knowledge Management System
Cross project lessons learned, query tracking, meta-data capture, search functions, etc

Projects

Utilization trends

Observational studies

Pragmatic and clinical trials

Analytic Tools

Modular programs

Menu-driven quer

Summary tables

Query interface

Menu-driven query

Data checking tools

Reporting tools

Administration

Security \ Access control

File \ Query repository

User administration

Workflow management







PPRN 1

PPRN 2

PPRN 18

Other data resources





Mini-Sentinel Operations Center

Reporting

Project Management Policies and Procedures

Query Fulfillment

ETC...

Mini-Sentinel Secure Portal

Knowledge Management System
Cross project lessons learned, query tracking, meta-data capture, search functions, etc.

Projects

Protocol based assessment

Response to regulation

Methods evaluation

Analytic Tools

Modular programs

. . . .

Summary tables

Query interface

Administration

Security \ Access control

File \ Query repository

User administration

Workflow management

Mini-Sentinel
Site 1

Mini-Sentinel Site 2 Mini-Sentinel Site 3

Mini-Sentinel Site 4 Mini-Sentinel
Site 5

Mini-Sentinel Site 6 Mini-Sentinel
Site 7

Menu-driven query

Data checking tools

Reporting tools

Mini-Sentinel
Site 8

Mini-Sentinel Site 9

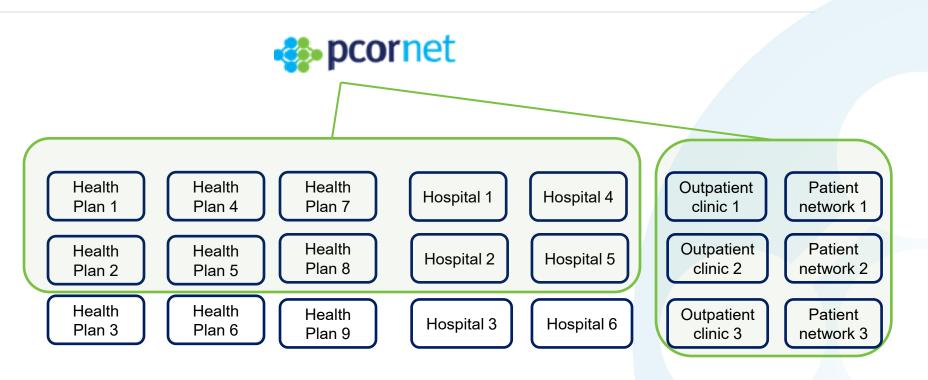
Mini-Sentinel
Site 10

Mini-Sentinel Site 17

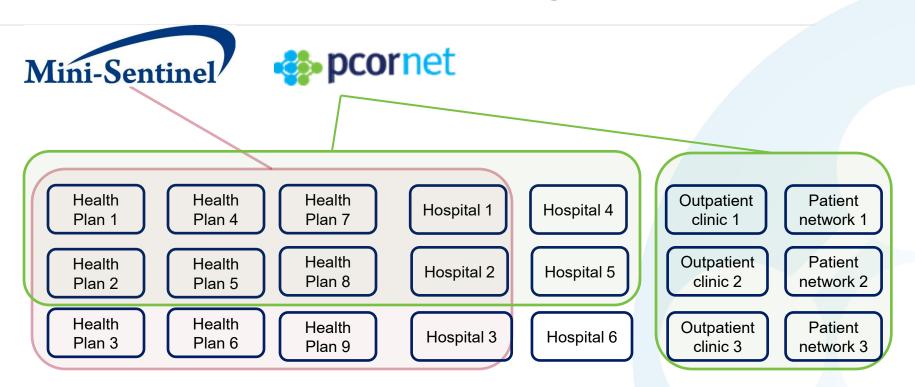
Mini-Sentinel Site 18

21

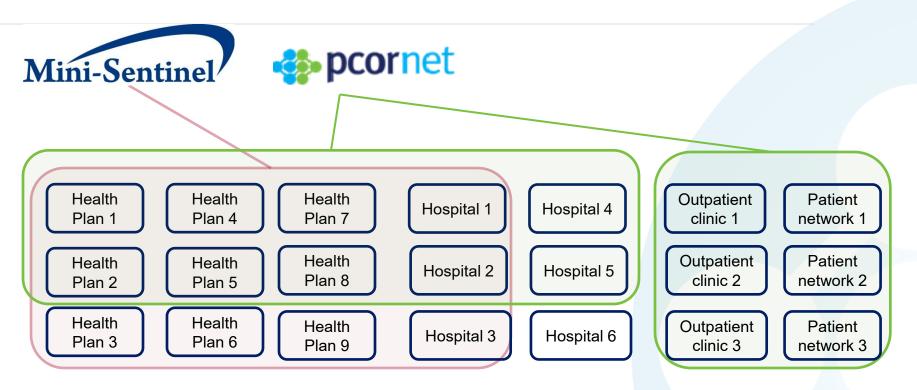
info@mini-sentinel.org











- Each organization can participate in multiple networks
- Networks share infrastructure, data curation, analytics, lessons, security, software development
- Each network controls its governance and coordination



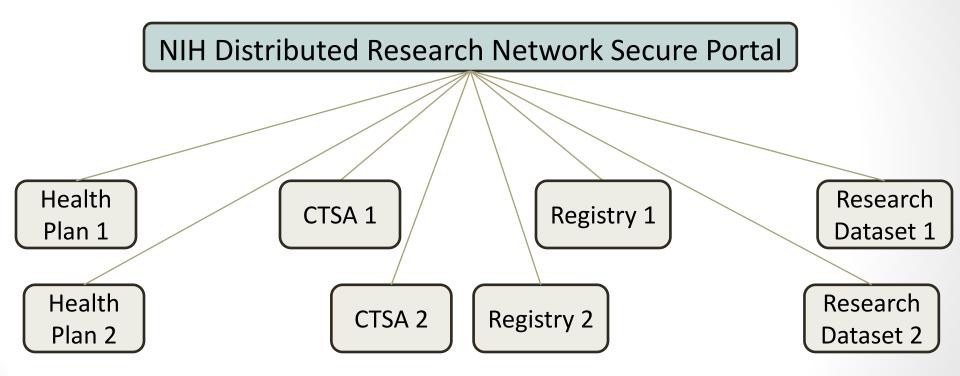


Health Care Systems Research Collaboratory

The NIH Collaboratory Distributed Research Network: Making NIH investigators PCORnet-ready

Millions of people. Strong collaborations. Privacy first.

Vision for the Network: Many types of organizations and data



Not the goal

We will <u>not</u> create a new stand-alone network with its own research agenda or content experts

Investigators will not have access to data without data partners' active engagement

NIH Distributed Research Network Coordinating Center

Network Management

> Research **Support**

Query Support

Query Tool Development Knowledge **Database**

Software Development

Project Management

Data Models & Standards

Consultation

Health System Expertise

NIH DRN Secure Portal

Knowledge Management System Cross project lessons learned, query tracking, meta-data capture, search functions, etc.

PROJECTS

Feasibility

LIRE

Other projects

Query Tools

Modular Programs

Summary Tables

Query Interface

SAS, SQL, menu-driven

Analytic Tools

Reporting Tools

Administration

Security & Access Control

File & Query Repository

User Administration

Workflow Management

Mini-Sentinel A

CTSA 1

Registry 1

Medical Practice 1

Medical Practice 2

Research dataset 1

Research



Mini-Sentinel B

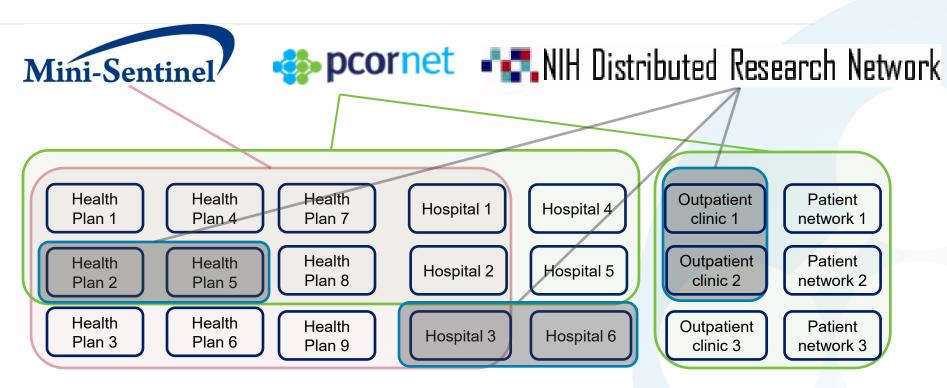
CTSA 2

Registry 2

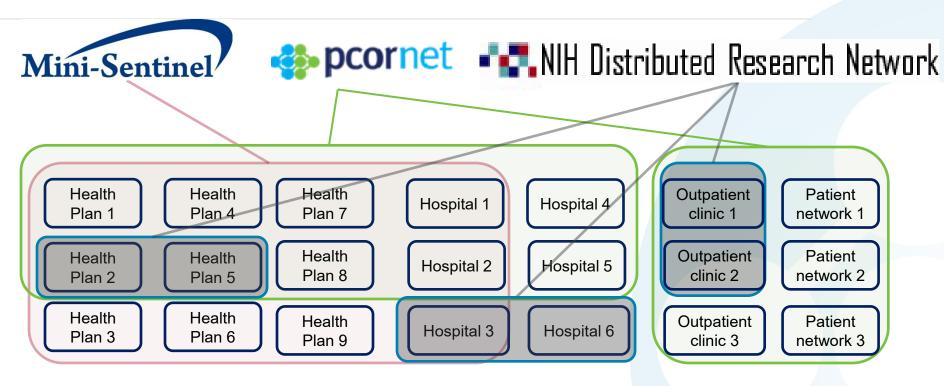
Hospital 1

Hospital 1

dataset 2







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- Each network controls its governance and coordination pcornet

NIH Collaboratory | About Us ▼ UH2 Demonstration Projects ▼ Cores ▼ News Living Textbook

NIH Collaboratory > NIH Distributed Research Network

INIH Distributed Research Network

Millions of people. Strong collaborations. Privacy first.

The NIH Distributed Research Network enables investigators to collaborate with each other in the use of electronic health data, while also safeguarding protected health information and proprietary data. It apports both single- and multisite research programs.

The Network's querying capabilities reduce the need to share confidential or proprietary data by enabling authorized researchers to send queries to collaborators holding data (i.e., data partners). In some cases, queries can take the form of computer programs that a data partner can execute on a preexisting dataset. The data partner can return the query result, typically aggregated (count) data, rather than the data itself. This form of remote querying reduces legal, regulatory, privacy, proprietary, and technical barriers associated with data sharing for

The network seeks to build strong and trusted collaborations to support the research that will lead to improved health for millions of people around the world.

What does the NIH Distributed Research Network do?

- Provides infrastructure and mechanisms to facilitate multicenter studies using electronic clinical, administrative, and research data
- Allows searchable discovery of available data resources, health systems, researchers, and re-usable analytic tools
- · Enables authorized investigators to identify clinical, administrative, and research datasets of interest
- · Facilitates multisite distributed querying of data resources, while allowing the data to remain in the control of the data owners
- Serves as a repository of tools to leverage EHRs to support clinical research across multiple health systems

"DRN Governance Document"

Search this site ...

DRN Governance Document, v1.0

To learn more about the NIH Distributed Research Network

info@NIHquery.org



The goal

Facilitate multi-site research collaborations between investigators and data partners by creating secure networking capabilities and analysis tools for electronic health data

Use cases

- Assess disease burden/outcomes
- Pragmatic clinical trial design
- Single study private network
- Pragmatic clinical trial follow up
- Reuse of research data

Use case: Assess disease burden/outcomes

- A program officer wants to characterize the use bisphosphonates and the occurrence of fractures
- The Collaboratory networking center uses pre-existing ("canned") programs to query electronic data from millions of people to assess:
 - Frequency of use
 - Characteristics of the users (age, sex, prior treatment history)
 - Frequency of selected outcomes before and after initiation of use

Use case: Pragmatic clinical trial design

- Investigators planning a multi-center pragmatic trial of bisphosphonate therapy want to assess the feasibility of embedding a clinical trial in care settings
- The Collaboratory networking center queries electronic health data to:
 - Assess baseline hospitalization rate fractures, and the rate of fractures among those patients, stratified by risk factors of interest.
 - If the trial involves two regimens already in use, create propensity score matched estimates of the outcomes of the two regimens.
 - Identify organizations with enough potential study participants
 - Identify potential study participants and their providers all identifiable information stays with the host organization

Use case: Pragmatic clinical trial follow up

- Investigators conducting a multi-center pragmatic trial of bisphosphonate treatment want to simplify follow up
- The Collaboratory networking center supports clinical organizations' periodic scans of their electronic data covering study participants to identify
 - Dispensing of prescription medications, including dates, names, and amounts dispensed
 - All inpatient and ambulatory medical encounters, with dates and diagnoses and procedures

Use case: Reuse of research data

- A clinically rich research dataset of patients with incident hypertension contains longitudinal records of all blood pressure measurements, BMI, medical utilization, diagnoses, treatments, and laboratory test results
- The data steward uses the Collaboratory's networking capability to allow an investigator at another organization to submit analytic programs
- The output does <u>not</u> contain direct identifiers

Use case: Single study private network

- A multi-center pragmatic trial team wants to create a pooled final analysis data file
- The Collaboratory networking center establishes a <u>private</u> distributed network
 - To distribute programs that create separate analysis files at each site
 - To securely transfer the analysis files to the analyst

Current partners

- Aetna
- Group Health Research Institute
- Harvard Pilgrim Health Care
- HealthCore
- Humana
- Optum
- HealthPartners Institute for Education and Research
- MURDOCK Study Registry and Biorepository

Approximately 40 million current members



Home

JOIN NOW!

About the Study

Current Studies

Services and Capabilities

Events

Volunteer

Meet the Team

Resources & News

FACES OF THE MURDOCK STUDY



Tony Nunes, avid supporter and participant of the MURDOCK Study, pictured above. Learn more about his experience with the MURDOCK Study...

Join us in improving the health of our community. More than 10,000 residents of Kannapolis, Cabarrus County and the surrounding region are already involved!

Click here to learn more!

Greetings! Welcome to the MURDOCK Study website!

We are excited to announce our extension of recruitment efforts for the MURDOCK
Study Multiple Sclerosis Cohort to individuals with Primary Progressive Multiple Sclerosis (PPMS). PPMS is a subtype of MS that accounts for about 10% of MS

Sclerosis (PPMS). PPMS is a subtype of MS that accounts for about 10% of MS diagnoses. Unlike other MS disease subtypes, known as Relapsing Remitting MS

(RRMS) or Secondary Progressive MS (SPMS), individuals with PPMS are affected by disability from the onset of their MS with no or minor remissions or improvement in symptoms. Unfortunately, no treatment has proven successful yet for PPMS patients. <u>Read more...</u>

Announcements

Now Hiring!

The MURDOCK Study is interested in hiring a data technician to be based in the Duke-Kannapolis office. **Please click here to read the job description.**

Physical Performance Study (PPS) Expands Its Eligibility

Dr. Newby discusses MURDOCK recruitment

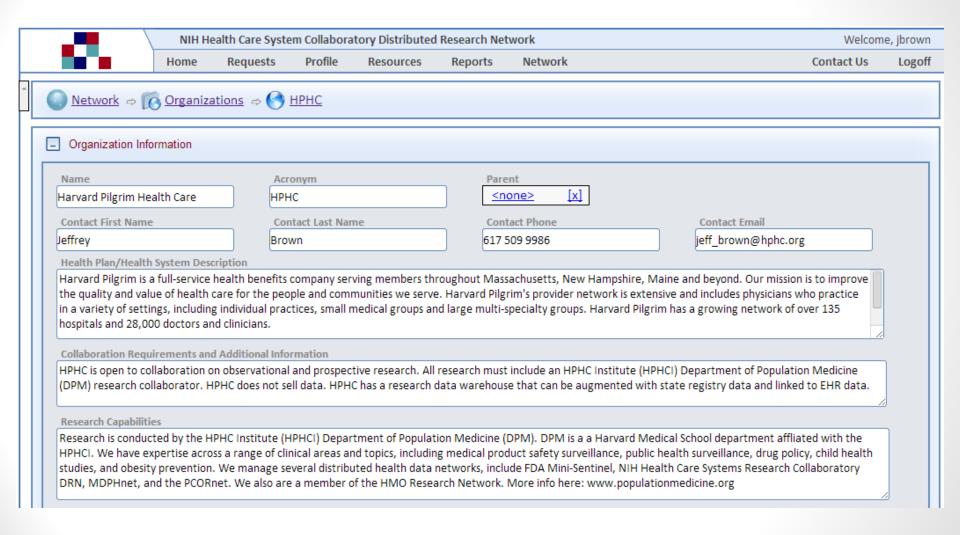
MURDOCK Study Looking for a Few Good Men!



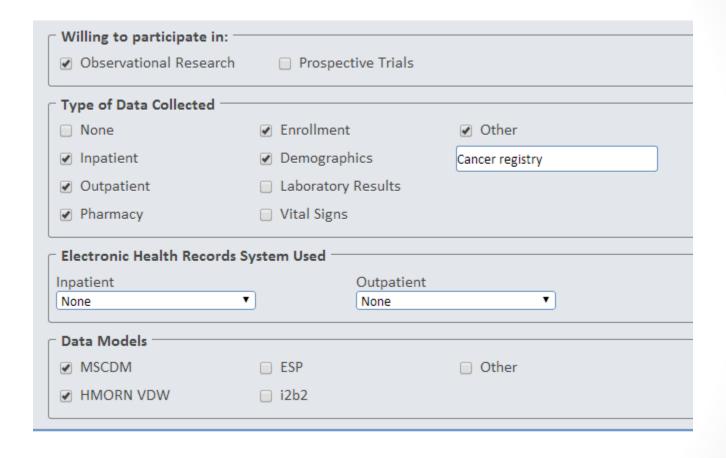
Current status

- Functional secure network with distributed querying capabilities
- Approved governance document
- Completed pilot project for querying i2b2 data repositories
- Actively adding new HMORN partners
- Developing cost estimates to establish ongoing querying capability for NIH institutes
- Enhanced meta-data capture and querying to enable "match-making" searches

Institutional Metadata



Institutional Metadata 2



Current data and functionality

- Routinely updated and quality-checked data
- Over 90 million covered lives
 - Complete data capture for defined intervals
 - Inpatient and outpatient encounters, diagnoses, procedures
 - Outpatient pharmacy dispensings
 - Demographics
- Mini-Sentinel common data model
- Functionality includes
 - Simple queries of pre-compiled frequencies
 - Standardized queries of person-level data

The Network is Research Ready

 For observational studies – including studies requiring full text records



The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

Intussusception Risk after Rotavirus Vaccination in U.S. Infants

W. Katherine Yih, Ph.D., M.P.H., Tracy A. Lieu, M.D., M.P.H., Martin Kulldorff, Ph.D., David Martin, M.D., M.P.H., Cheryl N. McMahill-Walraven, M.S.W., Ph.D., Richard Platt, M.D., Nandini Selvam, Ph.D., M.P.H., Mano Selvan, Ph.D., Grace M. Lee, M.D., M.P.H., and Michael Nguyen, M.D.

Epub: January 14, 2014

info@mini-sentinel.org

The Network is Research Ready

- For observational studies including studies requiring full text records
- For interventional studies both individually and cluster randomized

Potential Next Steps

- NIH Institutes can use the existing resources to:
 - Plan program announcements
 - Develop research partnerships
- NIH Investigators can use the existing resources to:
 - Perform prepratory-to-research analyses for observational and intervention studies
 - Conduct full observational analyses

Three use cases from Mini-Sentinel/CTTI

- IMPACT Atrial Fibrillation Cluster Randomized Trial
 - <u>Primary Aim:</u> Determine whether a multilevel educational intervention will increase the rate of initiation of oral anticoagulants among patients with atrial fibrillation
 - Cluster randomized trial of 2,800 patients
- The TorsemidE Risk ReductIon versus Furosemide In Cardiac Insufficiency Trial (TERRIFIC)
 - <u>Primary Aim</u>: Compare the treatment strategy of torsemide versus furosemide on clinical outcomes in HF patients at high risk for clinical events
 - Cluster randomized trial of 6,200 patients
- Effectiveness of DiscontinuinG BisphosphonatEs (EDGE)
 - <u>Primary Aim:</u> Evaluate the impact of a continuation versus discontinuation of alendronate on non-vertebral fracture.
 - Individually randomized trial of 8,500 patients



Effectiveness of <u>DiscontinuinG</u> bisphosphonat<u>Es</u> (EDGE)*

- Rationale: Benefits may decline and risks may increase over time
- Objectives: Assess outcome of longer vs shorter duration treatment
- Intervention: Discontinuation of treatment
- Eligible population: Women taking alendronate for 3-5 years
- Primary outcome: Non-vertebral fracture within 3 years of randomization
- Secondary outcomes: hip fracture, osteonecrosis of the jaw, atypical femoral fracture, esophageal cancer
- Design: Individual RCT with informed consent

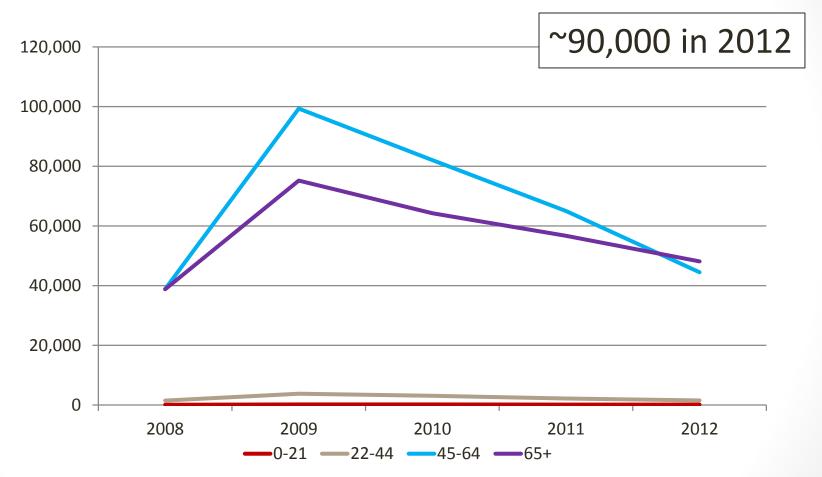


Rationale:	Optimal duration of bisphosphonate treatment for osteoporosis is
	unknown. Prolonged treatment may increase risks that exceed
	benefits.
Primary	Assess impact on major non-traumatic fractures of continuation
Objective:	vs discontinuation of bisphosphonate therapy among women
	treated for at least 3 years.
Secondary	Compare bisphosphonate associated adverse event rates among
Objectives	those who continue vs those who discontinue.
Study Design:	Individual RCT with informed consent
Intervention	Randomization to continuation or discontinuation
Primary Endpoint:	The rate of hospitalization for any major non-traumatic bone
	fracture
Secondary	All-cause mortality, Minor fractures, Adverse events
Endpoints:	
Key Inclusion	1.Age ≥ 18,
Criteria:	2.Prior history of osteoporosis
	3.Currently treated with alendronate for 3-5 years
Key Exclusion	1.None
Criteria:	
Abbreviated	Patients meeting eligibility criteria will be randomized to
Study Flow:	withdrawal of bisphosphonate or continuation
	2. Follow-up per routine clinical care
Sample size:	8,500

Use case: Assess disease burden/outcomes

- A program officer wants to characterize the use bisphosphonates and the occurrence of fractures
- The Collaboratory networking center uses pre-existing ("canned") programs to query electronic data from millions of people to assess:
 - Frequency of use
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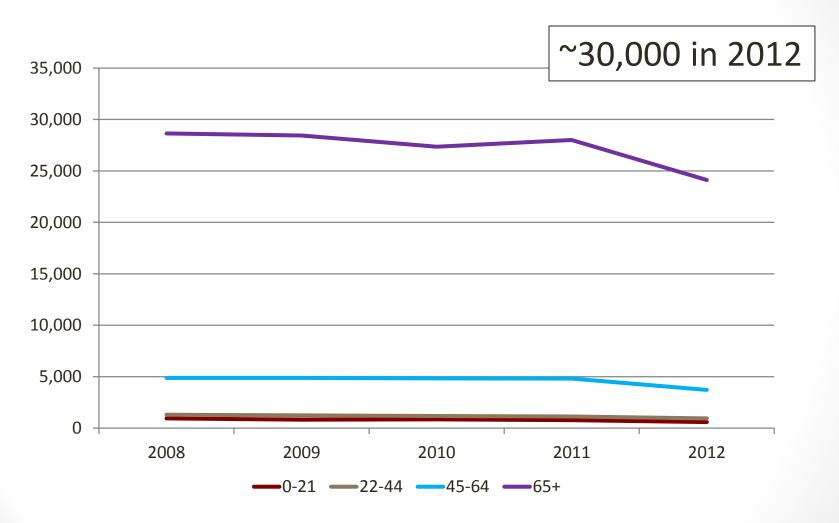
Alendronate users by year and age group*



^{*} Incident users based on a 90-day wash-out period



Hip fracture*



*Prevalence



NIH Collaboratory NIH Distributed Research Network

Use case: Pragmatic clinical trial design

- Investigators planning a multi-center pragmatic trial of bisphosphonate therapy want to assess the feasibility of embedding a clinical trial in care settings
- The Collaboratory networking center queries electronic health data to:
 - Assess baseline hospitalization rate fractures, and the rate of fractures among those patients, stratified by risk factors of interest.
 - If the trial involves two regimens already in use, create propensity score matched estimates of the outcomes of the two regimens.
 - Identify organizations with enough potential study participants
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Standardized query of patient-level data

Validated SAS programs with flexible inputs for exposure, outcome, and other settings

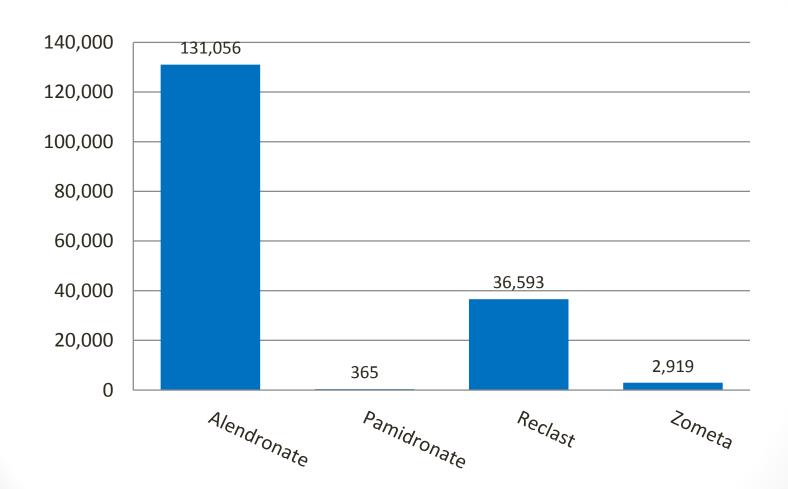
Key specifications of standardized query

- Define cohort
- Define incident user
- Define incident events
- Query period
- Age range
- Continuous enrollment gap
- Coverage (medical and drug) requirements

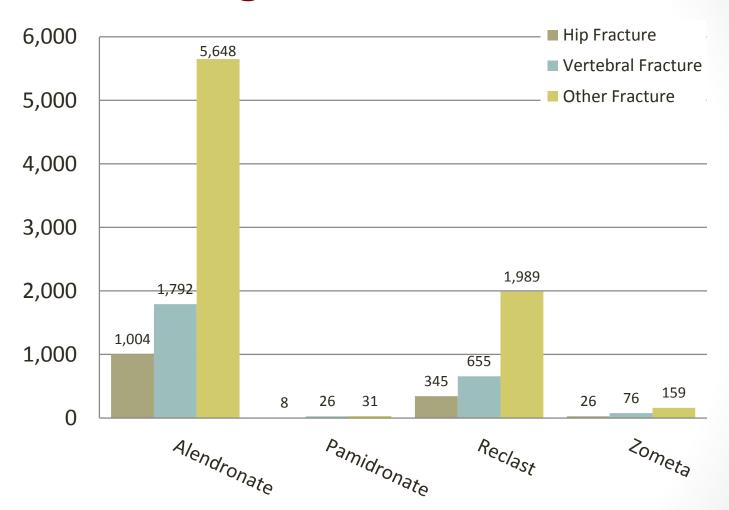
Specifications for bisphosphonate request

- Cohort: Members 40+ years old with an osteoporosis diagnosis and no fractures in the 365 days before new use
- Incident exposure: New users of ANY of the 4
 bisphosphonates based on a 365 day wash-out period
- At risk period: 365 days after incident exposure
- Incident outcome: Observed fracture (hip, vertebral, non-hip/non-vertebral) in any care setting among new users
- Query period: January 1, 2008 December 31, 2012
- **Age groups:** 40-54, 55-64, 65+ years
- Continuous enrollment gap: 45 days

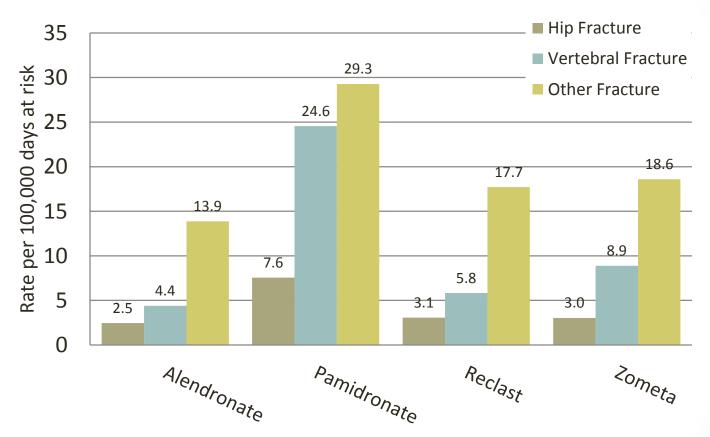
Incident users



Fractures among incident users



Fracture rate among incident users (per 100,000 days at risk)*



*Unadjusted



Caveats

- Data intended as an example of network capability
- Standard limitations of electronic health data
 - Use of diagnosis codes to identify osteoporosis and fractures
 - Codes not validated
 - Treatment indication not available
 - Privately insured population with stable enrollment
- Rates not adjusted

Clinical trials and complex observational studies

- Standardized programs inform development of full study protocols
- NIH DRN can support any analysis
- NIH DRN facilitates creation and use of pooled analytic datasets

Next steps

- Add most Kaiser Permanente and HMO Research Network plans
- Develop new querying and networking functionality
- Potential to expand to other data models
 - i2b2 networks
 - ESP networks
 - CTSAs
 - Registries
 - Others

The DRN is ready for NIH investigators to use

- Assess disease burden/outcomes
- Pragmatic clinical trial design
- Single study private network
- Pragmatic clinical trial follow up
- Reuse of research data

Thank You

For more information

- nihcollaboratory.org/Pages/distributed-research-network.aspx
- PopMedNet.org
- info@nihquery.org
- Jeff brown@harvardpilgrim.org

Prior Grand Rounds

September 20, 2013

https://www.nihcollaboratory.org/Pages/Grand-Rounds-09-20-13.aspx

June 28, 2013

https://www.nihcollaboratory.org/Pages/Grand-Rounds-06-28-13.aspx

March 15, 2013

https://www.nihcollaboratory.org/Pages/Grand-Rounds-03-15-13.aspx