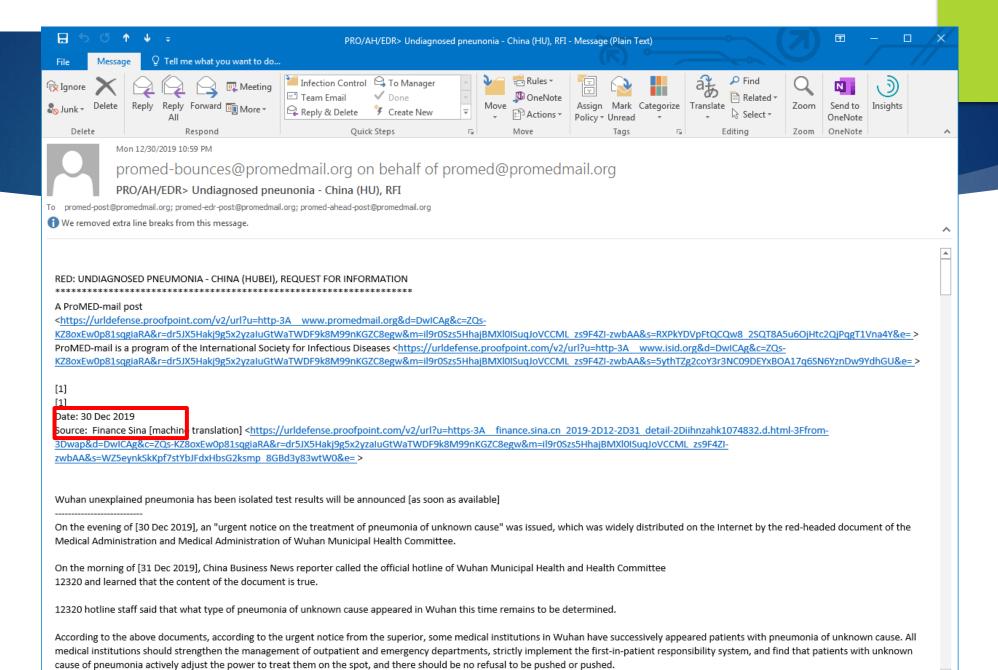
COVID-19 vaccine efficacy studies: challenges and successes

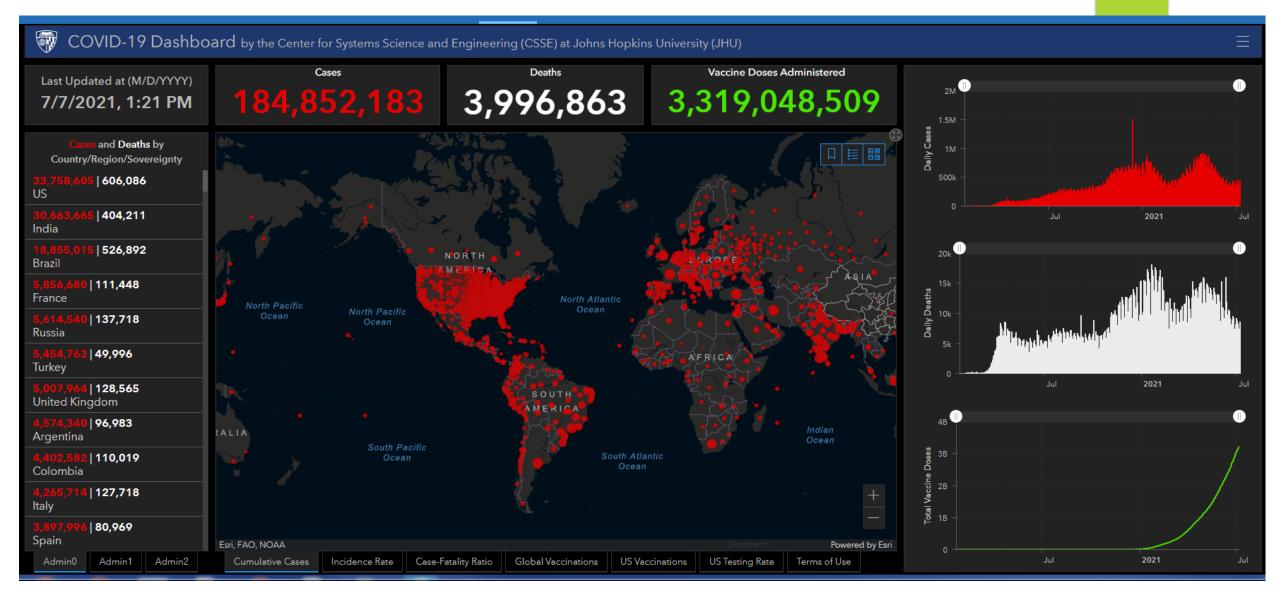
HANA EL SAHLY, MD BAYLOR COLLEGE OF MEDICINE

Disclosures

► I received funding from the NIH for the design and implementation of the Phase 3 clinical trial evaluating mRNA-1273 COVID-19 vaccine and the implementation of the SARS-CoV-2 rS with Matrix-M1TM COVID-19 vaccine



Ŧ



A vaccine is/was needed

SARS-CoV-2 Vaccine Development Any lessons from seasonal coronaviruses?

	Avian-In Bronch		Rhinoviruses			
	229-E	B814*	Type 2 (HGP or PK)	DC•		
No. of volunteers inocu-	26	75	213	251		
No. getting colds	13 (50%)	34 (45%)	78 (37%)	77 (31%)		
Incubation period (days):	13 (50%)	54 (45%)	10 (31%)	11 (31 %)		
Mean	3.3	3.2	2.1	2.1		
Denes	2-4	2-5	1-5	1-4		
Duration (days):	2-4	2-3	1-5	1-4		
Moon	7	6	9	10		
Demes	3-18	2-17	3-19	2-26		
Maximum No. of hand-	J =10	2-17	J-19	2-20		
kerchiefs used daily:						
Maan	23	21	14	18		
Danga	8-105	8-120	3-38	3-60		
Malaina (9/)	46	47	28	25		
Handacha (0/)	85	53	56	56		
Ch:11 (0/)	31	18	28	15		
Duravia (0/)	23	21	14	18		
Mucopurulent nasal dis-		21				
charge (%)	0	62	83	80		
Sore throat (%)	54	79	87	73		
Cough (%)	31	44	68	56		
No. of volunteers with				50		
colds of indicated						
severity:		:				
Mild	10 (77%)	24 (71%)	63 (80%)	36 (47%)		
Moderate	2 (15%)	7 (20%)	12 (15%)	28 (36%)		
Severe	1 (8%)	3 (9%)	4 (5%)	13 (17%)		

TABLE IV.—Relation Between Antibody Titre and the Response to Inoculation

Times	No. of Volunt	eers in Indicated	Category Who	
Titre of Neutralizing Antibody in Serum Before Inoculation	Excreted Virus	Developed Colds	Developed Rising Antibody Titres	Total
≤5	7	6	4	8
5-	4	3	2	6
10-	6	3	1	8
40-160	1	1	0	4

SARS-CoV-2 Vaccine Development Any lessons from seasonal coronaviruses?

- Previous infections partially protective against disease
- Previous infections not protective
 against re-infection
 - against re-infection
- Neutralizing Abs wane over time

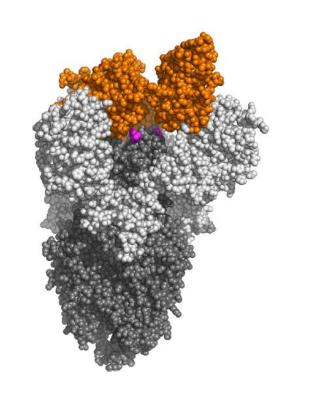
COVID-19 vaccine development Which Antigen? Which Platform?

- First generation COVID-19 vaccine: most are S-based, some are whole inactivated virus
- ▶ WHO: 105 vaccines in clinical testing and 184 vaccines are in pre-clinical testing
- ▶ In the US: Six constructs reached Phase 3 clinical testing.



COVID-19 vaccine development Why the S protein?



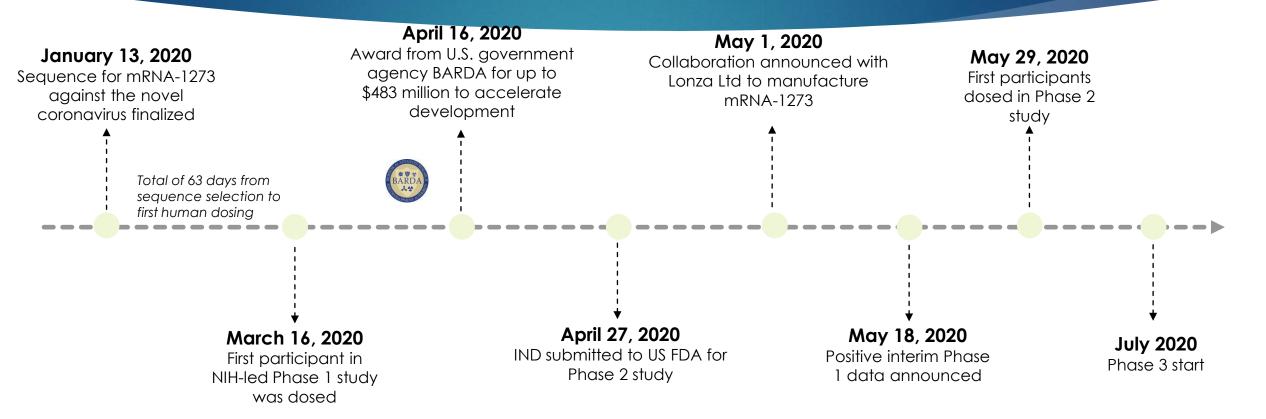


- SARS-CoV: S protein is primary target of neutralizing Ab
- Passive transfer and vaccines against S: Protect mice from SARS-CoV challenge
- Passive transfer and vaccine against N protein: not protective and ? enhancing disease
- Similar findings with MERS CoV
- SARS-CoV-2 Pandemic: Humans develop robust Neut Ab against S and specifically its Receptor Binding Domain (RBD)

Covid-19 vaccine development Funding Source

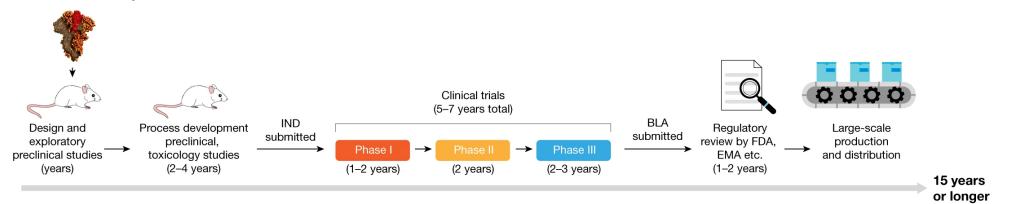


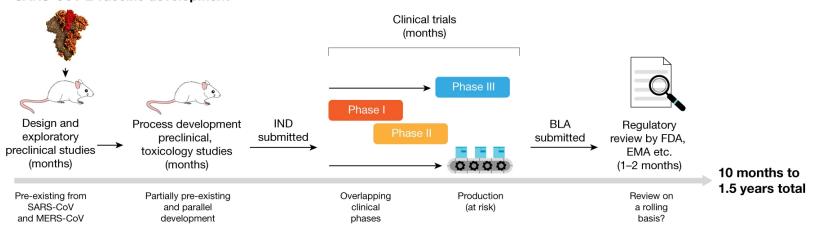
Accelerated research and development Time



Vaccine Clinical Trial Phases

Traditional development

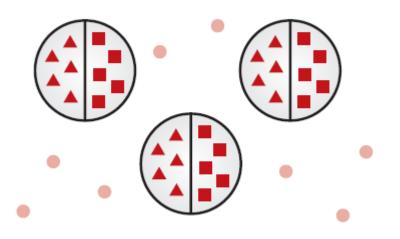




SARS-CoV-2 vaccine development

Krammer F, Nature 2020

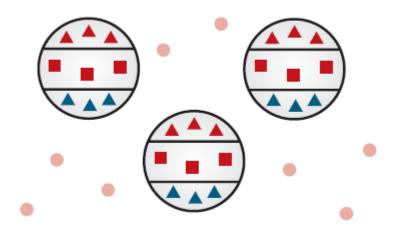
Individual RCT (iRCT) within sites



- Statistically efficient
- Randomization at the subject level within site
- Of value when there is heterogeneity in disease incidence
- If the vaccine has indirect effect then there maybe reduction in disease incidence in comparator arm: impact efficacy assessment

Dean et al. Sci Transl Med 2019

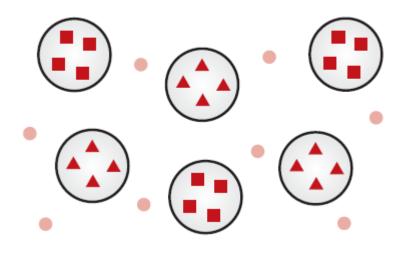
Multi-arm trials (iRCT within sites)



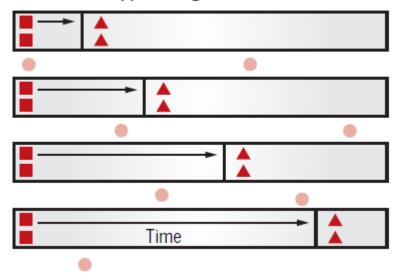
- Efficient when more than one candidate is to be tested simultaneously
- Resource-saving approach
- Potential to diversify the vaccine candidates reaching the market
- Minimizes the effects of temporal trends in disease epidemiology on vaccine efficacy estimates.

Dean et al. Sci Transl Med 2019

Parallel cluster RCT (cRCT)



- All subjects in a cluster receive the same intervention.
- Randomization occurs at the unit level: household, high-risk communities, town, transmission network (ring)
- Allows measurement of total (direct and indirect) effect.
- Less efficient than iRCT



Stepped wedge cluster RCT

- The vaccine is given to all subjects in a randomized order.
- Complex planning and analysis
- All subjects and units are to be enrolled/randomized before vaccination.
- Slow to perform

- Six constructs were moving to Phase 3 clinical testing in Apr-May.
- > A multi-arm RCT is most efficient at testing the VE.
- It would require collaboration and planning between various pharmaceuticals.
- There was variability in the readiness of some of the constructs to launch within a similar timeframe.

iRCT

Phase 3 clinical Trial Primary Endpoint: What are the options?

Infection

Disease

Severe Disease

A study with a primary endpoint that captures all the endpoints of public health importance is likely not feasible

Phase 3 clinical Trial Infection as endpoint

- Detecting infection with and without symptoms requires frequent sampling and surveillance.
- Seroconversion as an endpoint: can be a proxy for infection. Requires validated tests.
- Many vaccines of public health importance do not prevent infection but prevent disease: setting up the vaccine for failure and not helping public health

Phase 3 clinical Trial Severe disease as endpoint

- Severe disease is the most clinically meaningful outcome to prevent
- Lower frequency indicates the need for even larger sample sizes
- For most vaccines, preventing mild disease also prevents severe disease
- Inactivated measles, Formalin inactivated RSV vaccine resulted in worsening/severe disease

At a minimum severe disease should be assessed.

Phase 3 clinical Trial Symptomatic COVID-19 as endpoint

- Virologically confirmed symptomatic disease: represents a disease outcome of interest
- precedent with other viruses.
- Improved feasibility: sample size consideration.
- Another good compromise: Burden of disease as endpoint (weighing severe cases more than mildmoderate cases)

Phase 3 clinical Trial Symptomatic COVID-19 as endpoint

Vaccine efficacy of mRNA-1273 to prevent the first occurrence of COVID-19 starting 14 days after the second dose of study product.

COVID-19

TWO of: Fever (≥ 38°C), chills, myalgia, headache, sore throat, new olfactory and taste disorder(s)

OR

ONE of : cough, shortness of breath or difficulty breathing, OR clinical or radiographical evidence of pneumonia;

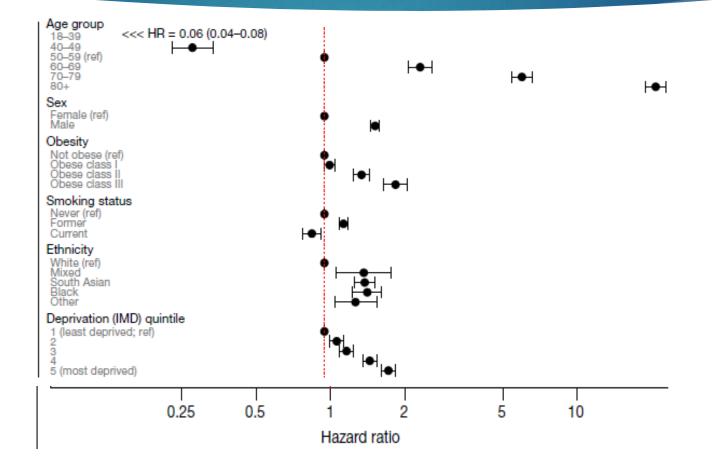
AND

Respiratory Sample positive for SARS-CoV-2 by RT-PCR

Phase 3 COVID-19 vaccine efficacy study mRNA-1273-P301

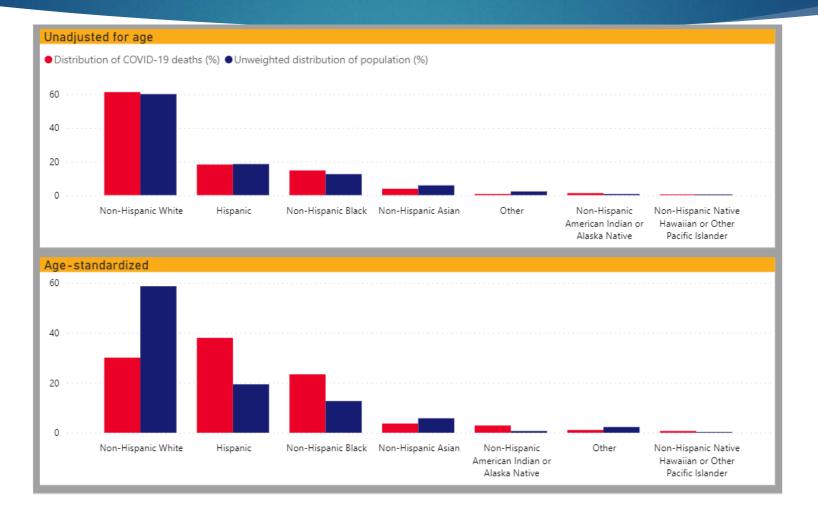
- Clinical trial principle: the study population should represent the vaccine target population
- Problem: clinical trial participation is traditionally predominantly Caucasians.
- COVID-19 disproportionately affects minorities: higher incidence and higher mortality.

COVID-19: Mortality by key demographics



Williamson EJ, et al. Nature 2020

COVID-19: Race/Ethnicity differences in mortality



www.cdc.gov

Diabetes

No diabetes (ref) Controlled (HbA1c < 58 mmol mol⁻¹) Uncontrolled (HbA1c ≥ 58 mmol mol⁻¹) Unknown HbA1c

Cancer (non-haematological)

Never (ref) Diagnosed <1 year ago Diagnosed 1-4.9 years ago Diagnosed 5+ years ago

Haematological malignancy

Never (ref) Diagnosed < 1 year ago Diagnosed 1-4.9 years ago Diagnosed 5+ years ago

Reduced kidney function

None (ref) eGFR 30-60 ml min⁻¹ per 1.73 m² eGFR < 30 ml min⁻¹ per 1.73 m²

Asthma

No asthma (ref) With no recent OCS use With recent OCS use

Chronic respiratory disease

Chronic cardiac disease

Hypertension or high blood pressure

Chronic liver disease

Stroke or dementia

Other neurological disease

Organ transplant

Asplenia

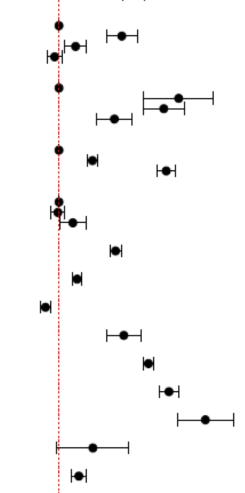
a ser a ser a

Rheumatoid arthritis, lupus or psoriasis

Other immunosuppressive condition

0.5

0.25



2

Hazard ratio

10

5

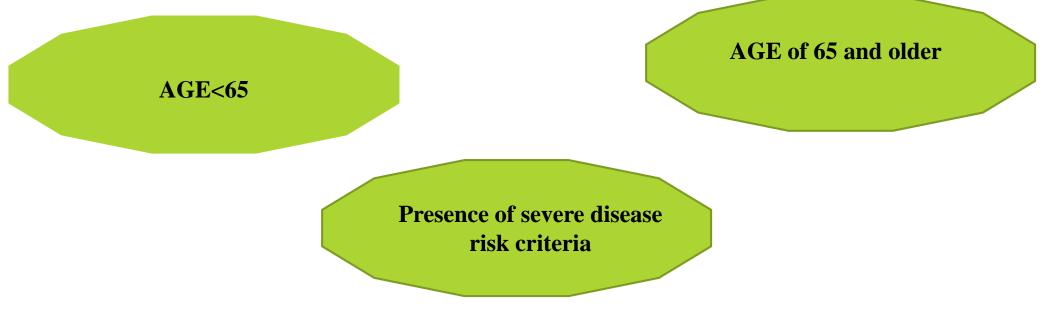
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Mortality by COVID-19 by underlying co-morbidity

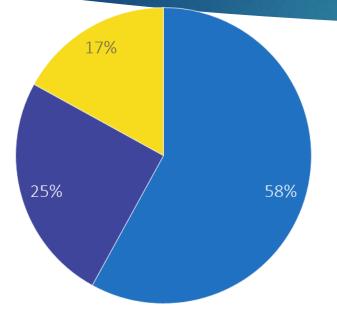
Williamson EJ, et al. Nature 2020

Phase 3 clinical Trial Symptomatic COVID-19 as endpoint

Stratification: usually pre-specified to account for variables that affect the outcome in a predictable fashion



mRNA1273 Efficacy in older persons and persons with co-morbidities



>=65 years

>=18 and <65 years and at risk of severe disease

>=18 and <65 years and not at risk of severe disease

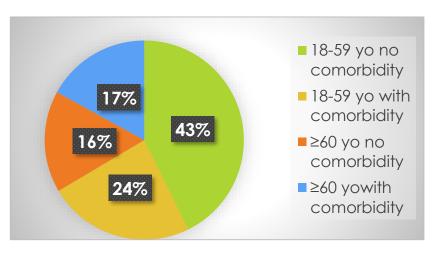
Subgroup	Placebo (N=14,073)	mRNA-1273 (N=14,134)			Vaccin	e Efficacy <mark>(</mark> 95%	6 CI)
	no. of even	ts/total no.					
All patients	185/14,073	11/14,134					94.1 (89.3–96.8)
Age							
≥18 to <65 yr	156/10,521	7/10,551					95.6 (90.6–97.9)
≥65 yr	29/3552	4/3583			-		86.4 (61.4–95.2)
Age, risk for severe Covid-19							
18 to <65 yr, not at risk	121/8403	5/8396				-	95.9 (90.0–98.3)
18 to <65 yr, at risk	35/2118	2/2155					94.4 (76.9–98.7)
≥65 yr	29/3552	4/3583					86.4 (61.4-95.2)
Sex							
Male	87/7462	4/7366					95.4 (87.4–98.3)
Female	98/6611	7/6768					93.1 (85.2-96.8)
At risk for severe Covid-19							
Yes	43/3167	4/3206					90.9 (74.7–96.7)
No	142/10,906	7/10,928					95.1 (89.6–97.7)
Race and ethnic group							
White	144/8916	10/9023					93.2 (87.1–96.4)
Communities of color	41/5132	1/5088					97.5 (82.2–99.7)
			0	25	50	75 1	.00

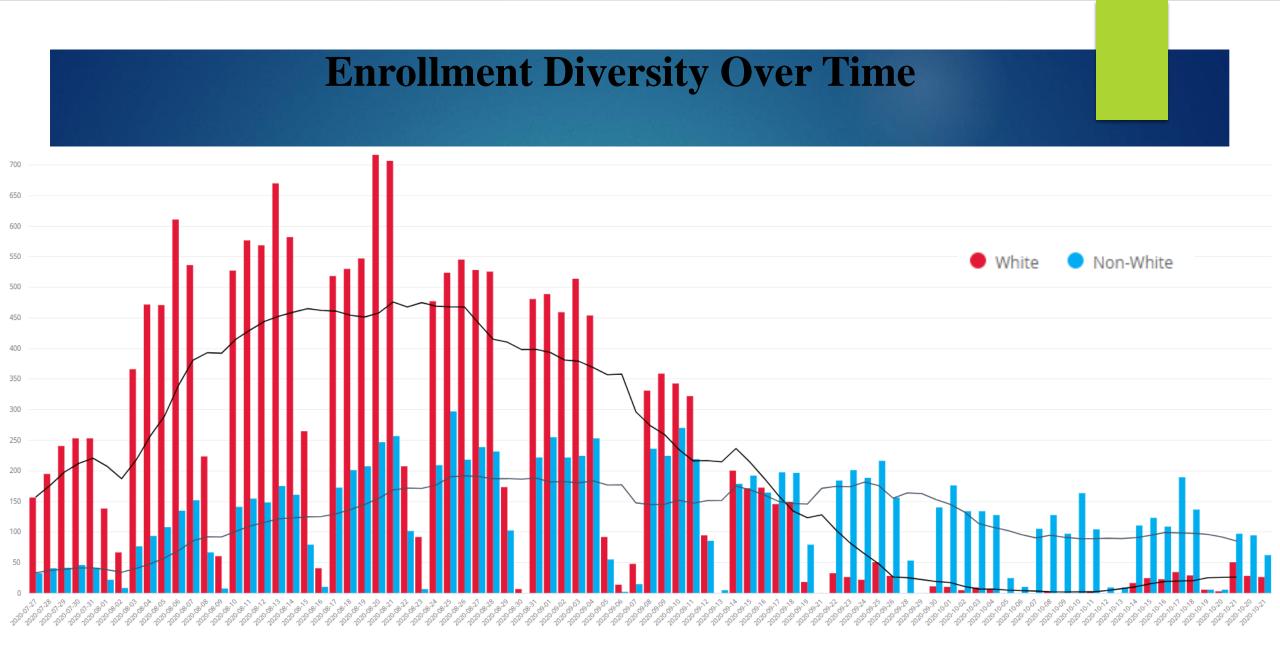
Vaccine Efficacy of mRNA-1273 to Prevent Covid-19 in Subgroups

Baden LR, et al. N Engl J Med 2

Janssen Vaccine Efficacy by age and comorbidity

Onset		Onset	at Leas	t 28 Day	/S		
Ad26.COV2.S Cases (N) Person-yrs	Placebo Cases (N) Person-yrs	VE	%ª Ca	COV2.S ses (N) on-yrs	Plac Cases Person		VE% ^a (95% Cl)
Age group and comorbidity presence	, , , , , , , , , , , , , ,	(,	(
18-59, no	89 (8346) 1433.5	258 (8411) 1428.2	65.6% (56.1, 73.3)	58 (8 14	267) 18 28.2	30 (8254) 1418.3	68.0% (56.8, 76.6)
18-59, yes	48 (4404) 671.5	131 (4371) 661.0	63.9% (49.4, 74.7)	29 (4 6	350) 7 68.1	79 (4273) 654.8	64.0% (44.3, 77.3)
≥60, no	14 (3391) 541.6	57 (3335) 530.0	76.0% (56.3, 87.6)	11 (3 5	355) 3 39.0	39 (3298) 527.6	72.4% (45.0, 87.3)
≥60, yes	22 (3373) 467.4	63 (3427) 469.9	64.9% (42.2, 79.4)	15 (3 4	334) 2 64.9	26 (3353) 465.2	42.3% (-13.1, 71.6)





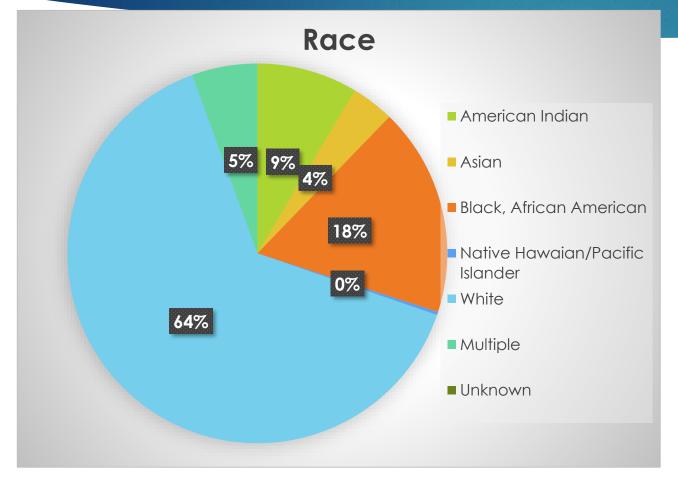
How Did we turn the tide?

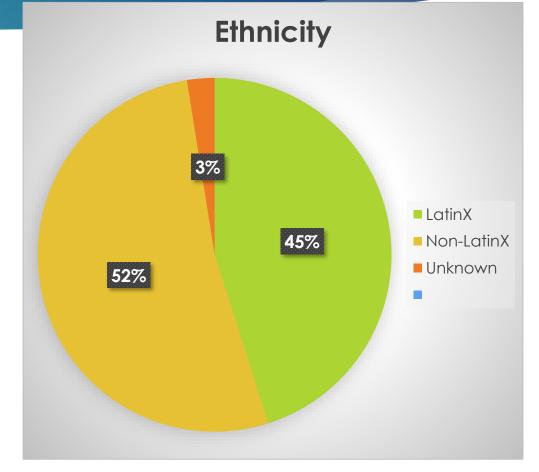
- Commitment from Operation Warp Speed leadership and beyond
- DSMB: Diversity as a primordial study metric
- Mobilization of the CoVPN Operations:
- 1. CoVPN Registry: rollout to CoVPN and non-COVPN sites
- 2. Educational and Promotional Material Production
- CoVPN leadership: frequent communications to the sites " keep the eye on the goal"
- Sponsor buy-in: send directive to slow (or stop) enrollment at sites with high % Caucasian participants

How Did we turn the tide?

- The study sites understood the importance of diversity and got busy and creative:
 - 1. Increasing utilization of CoVPN registry
 - 2. Sorting existing registries and prioritizing minorities
 - 3. Outreach to local communities' leaders: churches, community centers
 - 4. Outreach to fire department, police department, retail, post office
 - 5. Establish satellite sites in areas with high prevalence of minorities
 - 6. Establish flexible clinic hours to accommodate participants' needs
 - 7. Interviews in local media outlets catering to minorities
 - 8. Community trust/engagement based on previous experiences

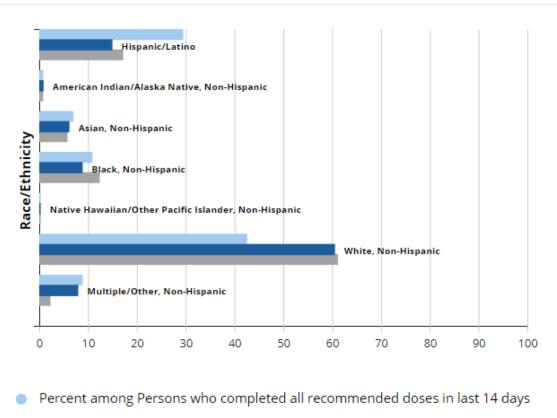
Ethnic/Racial Representation Janssen Phase 3





VRBPAC FDA briefing book, 26FEB2021

Ethnic/Racial Representation EUA Receipt



- Percent among Persons who are Fully Vaccinated
- Percentage of the US Population in this Demographic Category

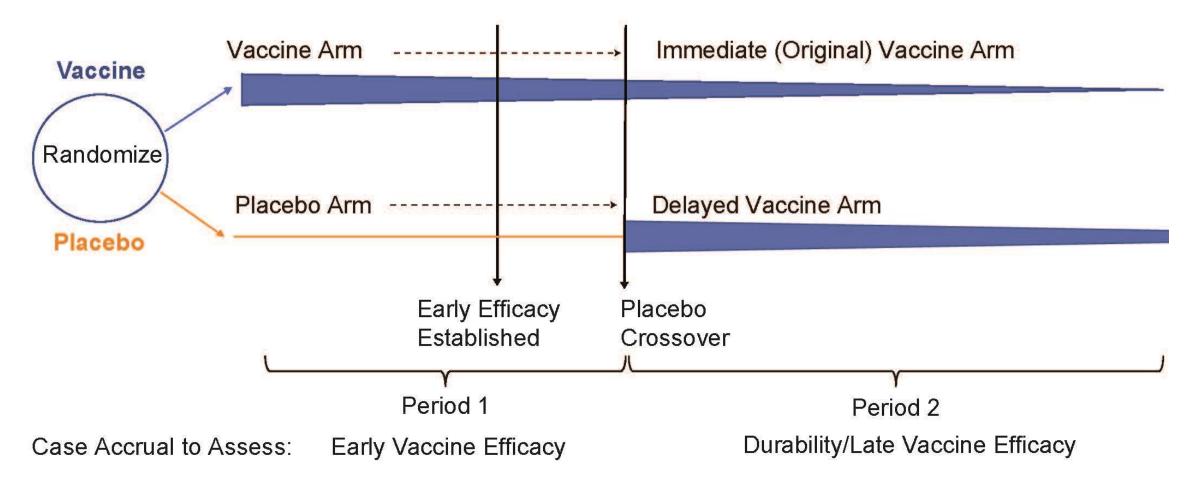
CONCLUSIONS

- Representation of populations in whom the vaccine will be used is key:
- 1. Predict responses and efficacy
- 2. Facilitate uptake
- 3. Inform policies
- Representation and progress are two different metrics

After EUA: Questions that remain to be answered

- Need to detect less common or delayed safety events: Will there be enhanced disease severity as antibody levels wane?
- Study Management: ethics of maintaining the blind
- Durability of vaccine efficacy, over 2 years
- Correlate of protection
- Efficacy against emerging strains
- Is there need for a boost? If so, when?

Moderna Vaccine Study Placebo Cross Over/unblinded



Randomized Trial of Immediate vs Delayed Vaccination

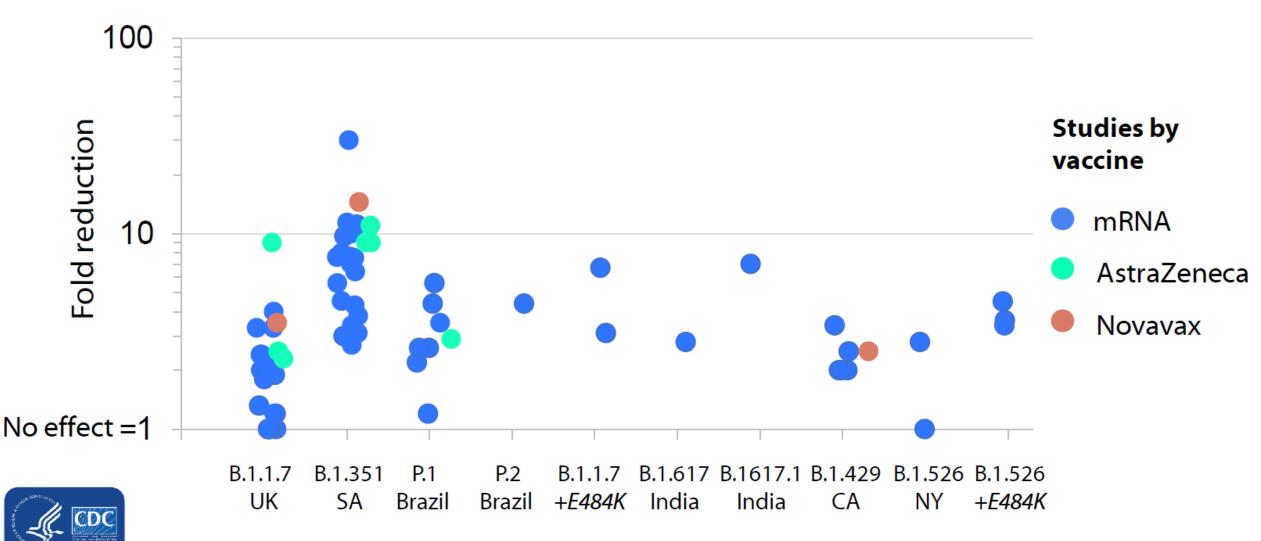
Placebos Crossover to Vaccine

Randomized to	# cases August-November	# cases December- March	Randomized to	# cases on placebo
Vaccine	25	60	Immediate Vaccine	# cases on vaccine
Placebo	125	30	Delayed Vaccine	
		150	Inferred Placebo	
	Vaccine Efficacy 80%			
				estimated VE for
Estimated Vacci	ne Efficacy in Period 2	$2 = 1 - \frac{60}{150} =$	1	ccinated of 80%
				Adapted from Follman D 2021

In come the variants...

Variants of Concern		
Name	Lineage	Location of emergence/prevalence
Alpha	B.1.1.7	Britain
Beta	B.1.351	South Africa
Gamma	P.1	Brazil
Delta	B.1.617.2	India
Variants of Interest		
Name	Lineage	Location of emergence/prevalence
Epsilon	B.1.427, B.1.429	California
Zeta	P.2	Brazil
Eta	B.1.525	New York
Theta	P.3	Phillippines
lota	B.1526	New York
Карра	B.1.617.1	India

Reduced Neutralization Activity of Vaccine Sera Relative to Wildtype/Dominant Strain, by Study (n=31)



Type of Infection or Disease	PCR-Posit	ive Persons	PCR-Nega	tive Persons	Effectiveness (95% CI)*
	Vaccinated	Unvaccinated	Vaccinated	Unvaccinated	
		number	of persons		percent
Infection					
PCR-confirmed infection with the B.1.1.7 variant†					
After one dose	892	18,075	1241	17,726	29.5 (22.9-35.5)
≥14 days after second dose	50	16,354	465	15,939	89.5 (85.9-92.3)
PCR-confirmed infection with the B.1.351 variant‡					
After one dose	1329	20,177	1580	19,926	16.9 (10.4-23.0)
≥14 days after second dose	179	19,396	698	18,877	75.0 (70.5-78.9)
Disease∫					
Severe, critical, or fatal disease caused by the B.1.1.7 variant					
After one dose	30	468	61	437	54 .1 (26.1–71.9)
≥14 days after second dose	0	401	20	381	100.0 (81)7–100.0)
Severe, critical, or fatal disease caused by the B.1.351 variant					
After one dose	45	348	35	358	0.0 (0.0–19.0)
≥14 days after second dose	0	300	14	286	100.0 (73.7–100.0)
Severe, critical, or fatal disease caused by any SARS-CoV-2					
After one dose	139	1,966	220	1,885	39.4 (24.0-51.8)
≥14 days after second dose	3	1,692	109	1,586	97.4 (92.2–99.5)

BnT1262b2 effectiveness against VARIANTS OF INTEREST

Vaccination status	Test negative	Test negative B.1.1.7 or S-gene target negative B.1.617.2 or S-gene target			arget positive		
vaccination status	controls	cases	cases:controls	aVE(%)	cases	cases:controls	aVE(%)
Unvaccinated	58253	4891	0.084	base	695	0.012	base
Any vaccine							
Dose 1	32703	1481	0.045	51.1 (47.3 to 54.7)	279	0.009	33.5 (20.6 to 44.3)
Dose 2	8483	74	0.009	86.8 (83.1 to 89.6)	27	0.003	80.9 (70.7 to 87.6)
BNT162b2							
Dose 1	7036	344	0.049	49.2 (42.6 to 55.0)	49	0.007	33.2 (8.3 to 51.4)
Dose 2	6412	28	0.004	93.4 (90.4 to 95.5)	13	0.002	87.9 (78.2 to 93.2)
ChAdOx1							
Dose 1	25667	1137	0.044	51.4 (47.3 to 55.2)	230	0.009	32.9 (19.3 to 44.3)
Dose 2	2071	46	0.022	66.1 (54.0 to 75.0)	14	0.007	59.8 (28.9 to 77.3)

Effectiveness of BNT1262b2 and ChAdOx1 vaccine against Delta Variant

	Number	of cases	Ratio B.1.617.2		
Vaccination status	B.1.1.7	B.1.617.2	to B.1.1.7	aOR	
Unvaccinated	8268	691	0.084	base	
Any vaccine					
Dose 1	2237	272	0.122	1.38 (1.10-1.72)	
Dose 2	81	25	0.309	1.60 (0.87-2.97)	
Dose 1 or 2	2511	322	0.128	1.40 (1.13-1.75)	
Vaccine type (dose 1 or 2)					
BNT162b2	720	68	0.094	1.17 (0.82-1.67)	
ChAdOx1	1791	254	0.142	1.48 (1.18-1.87)	

Odds ratios for detection of B.1.617.2 relative to B.1.1.7 in vaccinated compared to unvaccinated individuals

Questions?



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