## **IN DREAMS BEGIN RESPONSIBILITIES** Data Science as a Service–using AI to Risk Stratify a Medicare Population and Build a Culture

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**Disclosures:** Founder, kēlaHealth (Startup) Founder, Stratus Medicine (Startup) Founder, MedBlue Data (Startup)

# Duke Forge

Duke Forge promotes a culture of learning health by engaging partners to curate, analyze, and disseminate reliable and actionable information that leads to improved health for individuals and populations.

—Rob Califf, Amy Herring & Erich Huang





Erich Huang, MD, PhD School of Medicine Amy Herring, PhD Duke University

#### Focus on *Actionable* data problems in health

Bring the best methodological approaches to these problems

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Bring the best methodological approaches to these problems

#### Clinicians & Health System Staff with deep subject matter expertise

Quantitative Faculty & Trainees with methodological expertise

health

problems

Clinicians & Health System Staff with deep subject matter expertise

Quantitative Faculty & Trainees with methodological expertise

Software Architecture & Engineering



Clinicians & Health System Staff with deep subject matter expertise

#### Quantitative Faculty & Trainees with methodological expertise

#### Software Architecture & Engineering

Motivated by a framework of *Value-Based* Healthcare Delivery and *addressing societal inequities* in health

## Building Culture of Data Science Inquiry





### **Betty Jones**





### **Betty Jones**

- 65 year old woman
- previous medical history of a myocardial infarction
- history of cardiac bypass surgery
- congestive heart failure
- history of substance abuse
- rarely visits her doctor



- comes to the emergency room at Duke University Medical Center
- feels like she can't breathe
- she is diagnosed with pulmonary edema
- admitted to Duke Hospital for 10 days and discharged
- WHAT HAPPENS NEXT?











Michael





## Value-Based Reimbursement Models



## Value-Based Reimbursement Models

## "Obamacare" Medicare Shared Savings Program



## "Obamacare" Medicare Shared Savings Program "Accountable Care Organization (ACO)"



ACO shares 40%-75% of **losses** based on quality performance

ACO shares up to 75% of **savings** 





We are assuming "upside" and "downside" risk for healthcare delivery





## risk



## Assess & Quantify Upside/Downside

## 



## Assess & Quantify Upside/Downside



## our ACO saved the US \$22M last year and kept \$9.5M upside



#### Hospitalizations

## Duke's ACO: "Duke Connected Care"





#### admission rate 7/17-7/18

**450/0** readmission rate 7/17-7/18





### **Betty Jones**







**Deep Poisson Factor Model Architecture** 



## 54,000 Medicare Patients

**12-MONTH RETROSPECTIVE CMS CLAIMS DATA** 

**12-MONTH RETROSPECTIVE EHR (COMMON DATA MODEL) DATA** 

#### **BEGINNING OF MONTH**



#### 6 MONTH PREDICTION WINDOW

Probability of Unplanned Admissions for: Any Cause & 31 Diagnostic Categories Absolute and Percentile Rank

TRY ons, odel evel story

#### REGISTRY

Of interventions, outcomes, model versions, patient-level prediction history

### **Independent Evaluation**





Duke Forge

#### **Boxplot of monthly AUCs for 31 Diagnostic Categories over 12 months**



## A patient & clinician-centric project & workflow





#### SCAN AND PREDICT RISK FOR 32 DIAGNOSES ACROSS 52000 PATIENTS EVERY MONTH

#### INTERVENTIONS

home visit by pharmacy tech, \$ assistance for meds. social work assistance with transportation

#### DUKE CONNECTED CARE CARE MANAGEMENT TEAM

- ..... above risk threshold .......
- ----- above risk threshold ------->

#### RISK OF HOSPITALIZATION IN THE NEXT 6 MONTHS

**Congestive Heart Failure** 

**Any Cause** 

Heart Attack

Pneumonia

**Psychosis** 

**Hip Fracture** 



## A patient & clinician-centric project & workflow



## A high "real world user" to "PhD" quotient





# Sure we're building apps, but we're really building ecosystems... **NVIDIA**. Duke **Forge**



If we're trying to build *ecosystems*... then an EHR needs to be evaluated by whether it is truly participatory in this ecosystem. Or, we need to remediate its deficiencies





## 54,000 Medicare Patients

PP Duke FORGE

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Rabuthar

## What is the data platform that gets us there?



#### **Dark Energy & Matter**

Now 13.8 billion years

Modern Galaxies

Reionization

6

Black Holes and Accretion disks 250 million years

First Stars < 180 million years Cosmic Dark Ages 380,000 years



**Big Bang** 



Y

n






Med Adherence Wearable Patient Reported















"There's a lot of undifferentiated heavy lifting that stands between your idea and that success... 70% of your time, energy, and dollars go into the undifferentiated heavy lifting and only 30% of your energy, time, and dollars gets to go into the core kernel of your idea."

–Jeff Bezos







Med Adherence Wearable Patient Reported



# Application-Level

**API Abstraction Layer** 

#### Transactio"Packaged"(Atomic Data house)





Med Adherence Wearable Patient Reported

# The Cloud











🔺 > Blog > What Health Data Science and Raising Chickens Have in Common

BLOG

#### What Health Data Science and Raising Chickens Have in Common

**BLOG** 



Photo credit: Manfred Richter via Pixabay

#### April 29, 2019 BY: ERICH S. HUANG, MD, PHD

In my most recent blog post, I wrote about Susan Jones\*, a 65 year-old patient and Medicare recipient, and described how a multidisciplinary team at Duke constructed an AI-powered workflow to help patients like her.

Building that workflow was a laborious, 18-month-long process. We assembled a band of explorers comprising clinicians,

https://forge.duke.edu/blog/ what-health-data-science-andraising-chickens-have-common Search...

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#### Cancer is smart. Together, we can be smarter.

Accelerating the fight against cancer requires the entire industry to work together. Our products connect community oncologists, academics, hospitals, life science researchers and regulators on a shared technology platform. Together, we can learn from the experience of every patient.



#### **Traditional manual abstraction**





#### ARTICLE OPEN Scalable and accurate deep learning with electronic health records

Alvin Rajkomar <sup>1</sup><sup>2</sup>, Eyal Oren<sup>1</sup>, Kai Chen<sup>1</sup>, Andrew M. Dai<sup>1</sup>, Nissan Hajaj<sup>1</sup>, Michaela Hardt<sup>1</sup>, Peter J. Liu<sup>1</sup>, Xiaobing Liu<sup>1</sup>, Jake Marcus<sup>1</sup>, Mimi Sun<sup>1</sup>, Patrik Sundberg<sup>1</sup>, Hector Yee<sup>1</sup>, Kun Zhang<sup>1</sup>, Yi Zhang<sup>1</sup>, Gerardo Flores<sup>1</sup>, Gavin E. Duggan<sup>1</sup>, Jamie Irvine<sup>1</sup>, Quoc Le<sup>1</sup>, Kurt Litsch<sup>1</sup>, Alexander Mossin<sup>1</sup>, Justin Tansuwan<sup>1</sup>, De Wang<sup>1</sup>, James Wexler<sup>1</sup>, Jimbo Wilson<sup>1</sup>, Dana Ludwig<sup>2</sup>, Samuel L. Volchenboum<sup>3</sup>, Katherine Chou<sup>1</sup>, Michael Pearson<sup>1</sup>, Srinivasan Madabushi<sup>1</sup>, Nigam H. Shah<sup>4</sup>, Atul J. Butte<sup>2</sup>, Michael D. Howell<sup>1</sup>, Claire Cui<sup>1</sup>, Greg S. Corrado<sup>1</sup> and Jeffrey Dean<sup>1</sup>

Predictive modeling with electronic health record (EHR) data is anticipated to drive personalized medicine and improve healthcare quality. Constructing predictive statistical models typically requires extraction of curated predictor variables from normalized EHR data, a labor-intensive process that discards the vast majority of information in each patient's record. We propose a representation of patients' entire raw EHR records based on the Fast Healthcare Interoperability Resources (FHIR) format. We demonstrate that deep learning methods using this representation are capable of accurately predicting multiple medical events from multiple centers without site-specific data harmonization. We validated our approach using de-identified EHR data from two US academic medical centers with 216,221 adult patients hospitalized for at least 24 h. In the sequential format we propose, this volume of EHR data unrolled into a total of 46,864,534,945 data points, including clinical notes. Deep learning models achieved high accuracy for tasks such as predicting: in-hospital mortality (area under the receiver operator curve [AUROC] across sites 0.93–0.94), 30-day unplanned readmission (AUROC 0.75–0.76), prolonged length of stay (AUROC 0.85–0.86), and all of a patient's final discharge diagnoses (frequency-weighted AUROC 0.90). These models outperformed traditional, clinically-used predictive models in all cases. We believe that this approach can be used to create accurate and scalable predictions for a variety of clinical scenarios. In a case study of a particular prediction, we demonstrate that neural networks can be used to identify relevant information from the patient's chart.

npj Digital Medicine (2018)1:18; doi:10.1038/s41746-018-0029-1

#### INTRODUCTION

The promise of digital medicine stems in part from the hope that, by digitizing health data, we might more easily leverage computer information systems to understand and improve care. In fact, routinely collected patient healthcare data are now approaching the genomic scale in volume and complexity.<sup>1</sup> Unfortunately, most of this information is not yet used in the sorts of predictive statistical models clinicians might use to improve care delivery. It is widely suspected that use of such efforts, if successful, could provide major benefits not only for patient safety and quality but also in reducing healthcare costs.<sup>2–6</sup>

In spite of the richness and potential of available data, scaling the development of predictive models is difficult because, for traditional predictive modeling techniques, each outcome to be predicted requires the creation of a custom dataset with specific variables.<sup>7</sup> It is widely held that 80% of the effort in an analytic model is preprocessing, merging, customizing, and cleaning datasets,<sup>8,9</sup> not analyzing them for insights. This profoundly limits the scalability of predictive models.

Another challenge is that the number of potential predictor variables in the electronic health record (EHR) may easily number in the thousands, particularly if free-text notes from doctors, nurses, and other providers are included. Traditional modeling approaches have dealt with this complexity simply by choosing a very limited number of commonly collected variables to consider.<sup>7</sup> This is problematic because the resulting models may produce imprecise predictions: false-positive predictions can overwhelm physicians, nurses, and other providers with false alarms and concomitant alert fatigue,<sup>10</sup> which the Joint Commission identified as a national patient safety priority in 2014.<sup>11</sup> False-negative predictions can miss significant numbers of clinically important events, leading to poor clinical outcomes.<sup>11,12</sup> Incorporating the entire EHR, including clinicians' free-text notes, offers some hope of overcoming these shortcomings but is unwieldy for most predictive modeling techniques.

Recent developments in deep learning and artificial neural networks may allow us to address many of these challenges and unlock the information in the EHR. Deep learning emerged as the preferred machine learning approach in machine perception problems ranging from computer vision to speech recognition, but has more recently proven useful in natural language processing, sequence prediction, and mixed modality data settings.<sup>13–17</sup> These systems are known for their ability to handle large volumes of relatively messy data, including errors in labels

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ase authors contributed equally. Alvin Paikemar, Fuel Oren



Health systems collect and store electronic health records in various formats in databases.

The FHIR resources are placed in temporal order, depicting all events recorded in the EHR (i.e. timeline). The deep learning model uses this full history to make each prediction.

Fig. 4 Data from each health system were mapped to an appropriate FHIR (Fast Healthcare Interoperability Resources) resource and placed in temporal order. This conversion did not harmonize or standardize the data from each health system other than map them to the appropriate resource. The deep learning model could use all data available prior to the point when the prediction was made. Therefore, each prediction, regardless of the task, used the same data

Discharge

Any

prediction

ordered

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Pantoprazole 40mg

administered orally

Current

Diagnosis

(()-0-

**RBC** given

Readmission

Risk

1 unit of packet

Inpatient

Mortality

6

#### Scalable and accurate deep learning with electronic health A Rajkomar et al.

#### Patient Timeline



**Fig. 3** The patient record shows a woman with metastatic breast cancer with malignant pleural effusions and empyema. The patient timeline at the top of the figure contains circles for every time-step for which at least a single token exists for the patient, and the horizontal lines show the data type. There is a close-up view of the most recent data points immediately preceding a prediction made 24 h after admission. We trained models for each data type and highlighted in red the tokens which the models attended to—the non-highlighted text was not attended to but is shown for context. The models pick up features in the medications, nursing flowsheets, and clinical notes relevant to the prediction

#### Patient Timeline





Consider the shipping container or "intermodal freight container"



Such containers revolutionized shipping because the standardized intermodal container can be easily stacked on a freighter, moved to a train, and transferred to a truck. Every major port in the world has the infrastructure to handle these containers.

And this solves the problem of how to pack bananas next to grand pianos and safely get them to their destinations



We need to do the same for health-relevant data...

*i.e. have standardized containers that makes any type of data easy to pack, grab, combine and move around.* 

Your "shipping manifest" may show that you have a breast MRI inside, or a 24hour urine creatinine, but this is easily manageable because the container is standardized and clearly labeled



Examples of health data "containerization" standards include the "Fast Healthcare Interoperability Resource" (FHIR) standard

Whether it's this standard, alone, or in conjunction with other "webservicefriendly" standards,

Our aim should be to build the "data liquidity ecosystem" equivalent to freighters, cranes, trains and trucks that facilitate the logistics of health data transport

And the data liquidity infrastructure to deliver these data to an artificial intelligence algorithm, app, or a user facilitates rapid and safe shipment of these data containers to their destinations

100



along the X-axis?

Adapted from Andrew Ng Talk: https://youtu.be/F1ka6a13S9I



#### **Betty Jones**



# Caution



# Algorithms don't have ethics





Bernard Parker, left, was rated high risk; Dylan Fugett was rated low risk. (Josh Ritchie for ProPublica)

# **Machine Bias**

There's software used across the country to predict future criminals. And it's biased against blacks.



#### Two Petty Theft Arrests

#### **VERNON PRATER**

Prior Offenses 2 armed robberies, 1 attempted armed robbery

Subsequent Offenses 1 grand theft

#### **BRISHA BORDEN**

Prior Offenses 4 juvenile misdemeanors

Subsequent Offenses None

VERNON PRATER BRISHA BORDEN RISK: **3** RISK: **8** 

Borden was rated high risk for future crime after she and a friend took a kid's bike and scooter that were sitting outside. She did not reoffend.

# Algorithms don't think like we do



### What a deep convolutional neural net learns





Inject random pixel noise





https://www.labsix.org/physical-objects-that-fool-neural-nets/

			rnn.py — ~/Desktop
۲٩	Project	rnn.py	
	> 🗖 Desktop	4	
		5 # Define loss and optimizer	
		<pre>6 cost = tf.reduce_mean(tf.nn.softmax_</pre>	cross_entropy_with_logits(logits=pred,
		<pre>labels=y))</pre>	
		7 optimizer =	
		tf.train.GradientDescentOptimizer(le	<pre>arning_rate=learning_rate).minimize(cost)</pre>
		3	
		9 # Evaluate model	
		<pre>correct_pred = tf.equal(tf.argmax(pr</pre>	ed,1), tf.argmax(y,1))
		<pre>1 accuracy = tf.reduce_mean(tf.cast(co </pre>	<pre>rrect_pred, tf.float32))</pre>
<u>111</u>		2	
		3 # Initialize the variables (i.e. as	ign their default value)
		<pre>init = tf.global_variables_initializ</pre>	er()
_		5	
$\odot$		6 # Start training	
U		<pre>7 with tf.Session() as sess:</pre>	
		<pre># Run the initializer</pre>	
7		<pre>sess.run(init)</pre>	
		<pre>2 Tor step in range(1, training_st batch w batch w batch cost</pre>	eps + 1):
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	> 🚞 Desktop		
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		157 optimizer =	
		<pre>tf.train.GradientDescentOptimizer(learning_rate=learning_rate).minimize(cost)</pre>	
		158 159 <b># Evaluate model</b>	
<u>&gt;_</u>		<pre>160 correct_pred = tf.equal(tf.argmax(pred,1), tf.argmax(y,1))</pre>	
1.		<pre>161 accuracy = tf.reduce_mean(tf.cast(correct_pred, tf.float32))</pre>	
<u>ull</u>		162 163 # Initializa the variables (i.e. assign their default value)	
		<pre>163 # Initialize the variables (i.e. assign their deraute value) 164 init = tf.global_variables_initializer()</pre>	
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<u>ن</u>		166 # Start training	
U		167 WITH TT. Session() as sess:	
		169 # Run the initializer	
4		170 sess.run(init)	
		1/1 172 for step in range(1, training steps + 1):	
		<pre>batch_x, batch_y, batch_seqlen = trainset.next(batch_size)</pre>	
_		174 # Run optimization op (backprop)	
		<pre>175 sess.run(optimizer, feed_dict={x: batch_x, y: batch_y, 176</pre>	
		177 if step % display_step == 0 or step == 1:	
		178 # Calculate batch accuracy & loss	
		<pre>179 acc, loss = sess.run([accuracy, cost], feed_dict={x: batch_x, y:</pre>	
		<pre>sealen: batch_y, 180</pre>	
			Concolo

rnn.py 1

# Security





# What is Data Science?



Knowledge among computer scientists about how to think of and approach the analysis of data is limited, just as the knowledge of computing environments by statisticians is limited. A merger of the knowledge bases would produce a powerful force for innovation.

-Bill Cleveland on *Data Science* (2001)

### **Quantitative Expertise**

Clinical Subject Matter Expertise

### **Software Engineering**

### **Quantitative Expertise**

Clinical Subject Matter Expertise

### **Software Engineering**

**Machine Learning** 

**Reinforcement Learning** 

**Deep Neural Networks** 

**Class Discovery Methods** 

**Clinical Informatics** 

**Biostatistics** 

**Experimental Design** 

R

Python

Cloud

**Clinical Trial Design** 

**Relational Databases** 

**Application Programming Interfaces** 

**NoSQL Datastores** 

Containerization

# We need an "operating system" for healthcare delivery



# We need an "operating system" for healthcare delivery



**REPRODUCIBILITY & PROVENANCE AS BASIC, DRIVING REQUIREMENTS** 

# We need Data Liquidity



## Rapid Prototyping & Development

🗯 Developer	Discover	Design	Develop	Distribute	Support	Account	Q
iOS				iOS 12 iPhone	iPad What's New	Submissions	Download



### **Build Your Apps for iOS 12**

iOS is the world's most advanced mobile operating system. Now you can build even more intelligent apps using the power of machine learning with Core ML 2 and Create ML. You can create multiplayer augmented reality experiences and incorporate real world objects with ARKit. And you can use Siri Shortcuts, new camera APIs, and other exciting technologies to deliver more intelligent and immersive user experiences.



Rapid Prototyping & Development Rapid Feedback Loops



Data Science Teams

# Application-Level

**API Abstraction Layer** 

# "Packaged" Atomic Data What should the EHR of the future look like?

Claims **C** 

Genomics

Duke **FORGE** 

Med Adherence Wearable Patient Reported



### **Betty Jones**







# Thank You





### Authorization







### **Actions or Operations**

![](_page_90_Figure_2.jpeg)

#### **Resources**

Virtual Machine			Object Storage		Predictive Model	
Vir VM	tual Machine Instances		Buckets Objects		Algorithm Model	
Att	Attached Storage				Endpoint	

#### Identity Management

• user

• role

• app

A rich, robust and flexible Identity Management data model that enables automated policy enforcement in managing the security of resources. Agent Auditable, with command line tooling, a well-documented RESTful API, and software development kits for standard languages and operating systems Request Authorization allow/deny Actions **Resources**  virtual machines • run policies • start

- predictive model • stop
- datastore create
  - delete

🔇 Isengard -••• Q 🕁 🤇 Secure | https://mt.us-east-1.macie.aws.amazon.com Macie assumed-role/SuperAwesome/aw... 🗸 US East (N. Virginia) 🗸 < Active (126) Archived (369) All (495) Group archive Sort by: Time: newest 🗸 Ş ALERTS Categories Amazon Macie is monitoring 101 new S3 objects since the last alert generated 22 minutes ago. Learn more All (126) DASHBOARD Basic Alert (75)  $\sim$ Predictive (6) AWS credentials uploaded to Amazon S3 USERS (i) CRIT DATA COMPLIANCE Anonymized Access (10) Config Compliance (2) RESEARCH Q 17 Results 22 minutes ago O Views Credential Loss (0) chinchilla-chde... Data Compliance (13) ŝ SETTINGS File Hosting (0) Identity Enumeration (0) :0<sup>9</sup> INTEGRATIONS Information Loss (0) High risk document has S3 Object ACL that enables global access Location Anomaly (0) HIGH Open Permissions (57) OPEN PERMISSIONS Privilege Escalation (0) Ransomware (0) 22 minutes ago 266 Results O Views Service Disruption (0) chinchilla-chde... Suspicious Access (4) SSH Private Key uploaded to S3 bucket MED DATA COMPLIANCE

### Minimum Risk: 6

Total Matching Events unique risky Events over past 60 days

### 11

Adjust the slider below to view only documents above a certain risk level.

### AWS CloudTrail events - minRisk: (6)

![](_page_93_Figure_5.jpeg)

Micro	osoft Azure Security Center - Overview				,> ב< ₽	\$* 😳 Ø				
	Security Center - Overview					* 🗆 ×				
+	Search (Ctrl+/)									
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	ADVANCED CLOUD DEFENSE	0	APPROVED REQUESTS	No issues						
	Application whitelisting	5 Sep 5 Sep 5 Sep 5 Sep	o 6 Sep							
	(S Just in time VM access									

![](_page_95_Figure_0.jpeg)

## Outline:

- Betty Jones
- Value-Based Care
- Multidisciplinary Conference
- Hacking versus Products
- Fairness
- Interpretability
- Security
- Joining Data
- Ethics of Data Science (Corroboration, Continuous QI)