

# The VITamin D and OmegA-3 TriaL (VITAL): Design and Results of a Large Pragmatic Trial

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#### ORIGINAL ARTICLE

# Vitamin D Supplements and Prevention of Cancer and Cardiovascular Disease

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#### **Disclosures**

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Quest Diagnostics (San Juan Capistrano, CA) measured serum 25OHD and other biomarkers at no cost.

## **Objectives**

- Review the rationale and design of a large-scale randomized trial of vitamin D and marine omega-3 supplements in the primary prevention of CVD and cancer.
- Summarize design features facilitating recruitment, retention, rigor, and cost-efficiency of a large pragmatic trial.
- Describe the trial's findings for each supplement in relation to CVD and cancer outcomes.

#### Large, Simple, Mail-based Randomized Clinical Trials

#### **Trial Name**

Physicians' Health Study I

**Physicians' Health Study II** 

Women's Health Study

Women's Antioxidant and Folic Acid Study

VITamin D and OmegA-3
TriaL (VITAL)

#### Intervention Tested (factorial design vs placebo)

Aspirin, beta-carotene

Multivitamins, vitamin E, vitamin C

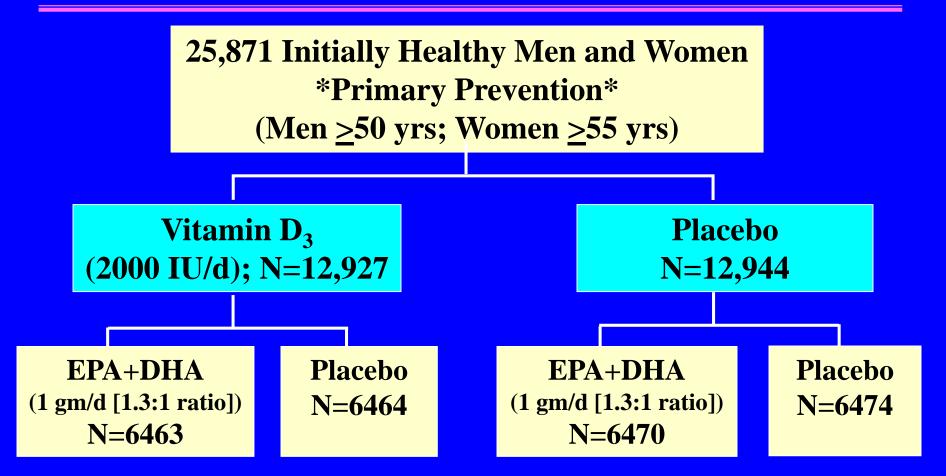
Aspirin, vitamin E

Beta carotene, vitamin C, vitamin E, folic acid/B6/B12

Vitamin D, omega-3 fatty acids

Highly cost-effective nation-wide recruitment: ~\$100-200/participant/year in direct costs.

## The VITamin D and OmegA-3 TriaL (VITAL): Design



Median Treatment Period = 5.3 years. 5,106 African Americans. Blood collection in ~16,953 at baseline, follow-up bloods in ~6000.

Adapted from: Manson JE, Bassuk SS, Lee I-M, et al. Cont Clinical Trials, 2011.

## Rationale for VITAL

- Emerging evidence that vitamin D and marine omega-3s (EPA+DHA) reduce risk of cancer and CVD.
- Growing use of these supplements underscores the need for conclusive evidence on benefits and risks.
- No previous large-scale randomized clinical trials of these agents in the primary prevention of cancer and CVD had been conducted.

## **VITAL Specific Aims**

#### **Primary Aims**

1) To test whether vitamin D<sub>3</sub> and/or omega-3 fatty acids reduce risk of (a) major CVD events (composite of MI, stroke, CVD death), (b) total invasive cancer.

#### **Secondary Aims**

- 1) To test whether these agents lower risk of (a) MI/stroke/CVD death/PCI/CABG and (b) individual components of primary CVD outcome.
- 2) To test whether these agents lower risk of (a) site-specific cancer, (b) total cancer mortality.
- 3) Assess key subgroups, including age, sex, race/ethnicity, nutrient status at baseline.

### **Monthly Calendar Packs**

#### Physicians' Health Study II



#### VITAL



#### **Baseline Characteristics of the 25,871 VITAL Participants**

$oldsymbol{ ext{N}}$	25,871
Mean age ± SD, years	$67.1 \pm 7.1$
Sex, % female	13,085 (50.6)
Race/ethnicity, %	
Non-Hispanic White	18,046 (71.3)
African American	5,106 (20.2)
<b>Hispanic (not African American)</b>	1,013 ( 4.0)
Asian/Pacific Islander	388 (1.5)
American Indian/Alaskan Native	228 ( 0.9)
Mean body mass index $(kg/m^2) \pm SD$	28.1 (5.7)
Current smoking, %	1,836 (7.2)
Hypertension, treated, %	12,791 (49.8)
High cholesterol, treated, %	9,524 (37.5)
Diabetes, %	3,549 (13.7)

## **VITAL Recruitment Strategies**

#### **Overall**

- Population-based (nationwide) and targeted mailings
- Media reports on VITAL (with mention of website and 1-800 number for sign up)
- Advertising (radio, print)
- Study-related brochures in medical clinics/health centers

#### Targeted Efforts to Enhance Minority Recruitment

- Targeted minority-enriched mailings, including alumni/ae of historically black colleges and universities
- Community health centers
- Church bulletins
- Collaborations with investigators on recruitment in large urban areas (Chicago)

#### **Ancillary Studies in VITAL**

- Cognitive Function
- Diabetes/Glucose Tolerance
- Hypertension
- Autoimmune Disorders
- Asthma/Respiratory Diseases
- Fractures
- DXA/Bone Microarchitecture
- Diabetic Nephropathy
- Mood Disorders/Depression
- Infections
- 2D Echocardiogram
- Macular Degeneration
- Anemia
- Atrial Fibrillation
- Mammographic Density

**In-clinic visits** (in subset)

# **Hybrid Design In-Clinic Visits: Protocol (Baseline and 2 Yrs)**

- Blood pressure measurements
- Height, weight, waist, other anthropometrics
- Urine collection
- OGTT (2-hr) and fasting blood collections
- Spirometry
- Physical performance/strength/frailty
- Cognitive function/mood/depression
- ECG and 2D Echocardiogram
- DXA scans, bone microarchitecture imaging

## **VITAL Retention Strategies**

- Participant newsletters
- Study website: posted videos, articles, media reports
- Birthday and New Year's cards
- Incentive gifts (penlight, magnifiers, calendars, etc.)
- Honoraria for participation in in-clinic visits, repeat blood collections, etc.
- Others

### **Cost-Efficiency Measures**

- Hybrid design, predominantly mail-based.
- Factorial design (2 interventions simultaneously).
- Blood-collections at baseline and follow-up (EMSI or Quest).
- Donation of study pills and calendar packaging by industry.
- Collaboration with Quest and Atherotech laboratories to conduct multiple lab assays.
- Multiple ancillary studies that leverage the VITAL infrastructure.

(Direct costs <\$140 per participant per year, <\$70 per agent tested.)

### **Follow-up Rates and Treatment Compliance**

Mean follow-up rates over 5.3 yrs:

**Morbidity (>93%); mortality (>98%).** 

Study pill adherence:

Mean of >83% over 5.3-yr follow-up.

High adherence supported by biomarker studies at baseline and 1 year (n  $\sim$ 1,600):

- Plasma omega-3 index: ↑54.7% with n-3s vs <2% with placebo.
- Serum 25(OH)D:  $\uparrow$ 40% with vitamin D vs ~2% with placebo.



## Hazard Ratios (HR) and 95% CIs of the CVD Outcomes by Randomized Assignment to Omega-3 Fatty Acids

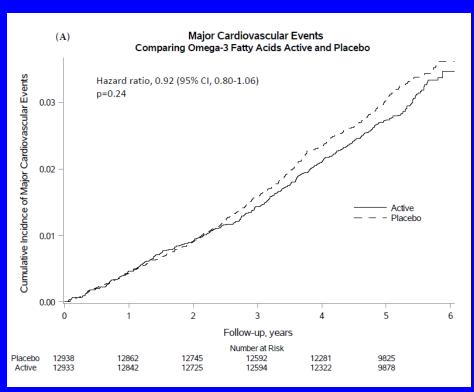
	Omega-3s (N=12,933)	Placebo (N=12,938)	<u>HR</u>	( <u>95% CI)</u>
	No. of	<b>Events</b>		
Cardiovascular disease				
(1°and 2° outcomes)				
Major CVD events <sup>a</sup>	386	419	0.92	(0.80-1.06)
Total MI	145	200	0.72	(0.59-0.90)*
Total stroke	148	142	1.04	(0.83-1.31)
CVD mortality	142	148	0.96	(0.76-1.21)
Major CVD + PCI/CABG	b 527	567	0.93	(0.82-1.04)
Other vascular outcomes <sup>c</sup>				
PCI	162	208	0.78	(0.63-0.95)*
CABG	85	86	0.99	(0.73-1.33)
Fatal MI	13	<b>26</b>	0.50	(0.26-0.97)*
CHD death	<b>37</b>	49	0.76	(0.49-1.16)
Total CHD <sup>d</sup>	308	370	0.83	(0.71-0.97)*

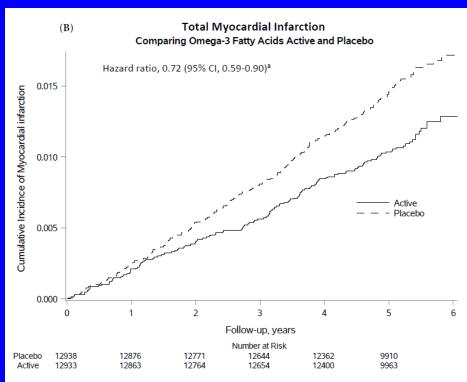
<sup>&</sup>lt;sup>a</sup>Primary outcome. A composite of MI, stroke and CVD mortality. <sup>b</sup>Expanded CVD composite <sup>c</sup>Not prespecified as primary or secondary outcomes. <sup>d</sup>A composite of MI, PCI/CABG, and CHD death. All analyses are intention-to-treat. \*Nominal p-value <0.05. For MI, the nominal p-value was 0.003.

## Cumulative Incidence Rates of Major CVD Events and Total MI by Year of Follow-up: Omega-3s vs. Placebo

#### **Major CVD Events**

#### **Total MI**





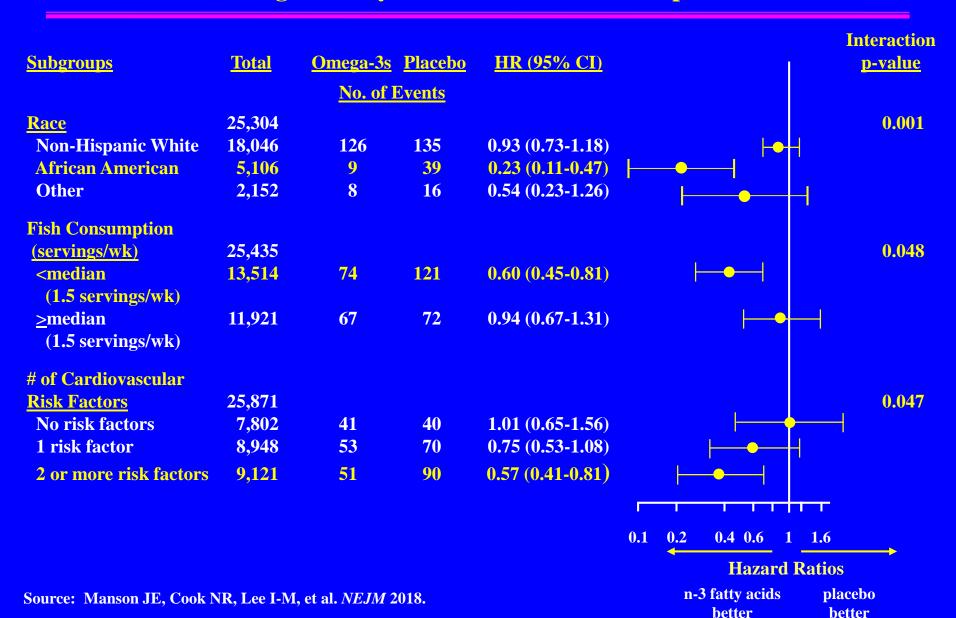
For major CVD events: p-value = 0.24 For total MI: nominal p-value = 0.003 and Bonferroni-adjusted p-value = 0.015.

#### Hazard Ratios of Major CVD Events by Baseline Fish Consumption, Comparing Omega-3 Fatty Acids and Placebo Groups

Subgroups	<u>Total</u>	Omega-3s No. of E	Placebo Events	HR (95% CI	)	Interaction p-value
Fish Consumption (servings/wk)	25,435					0.045
<median (1.5 servings/wk)</median 	13,514	189	232	0.81 (0.67-0.98)	<b> </b> -	
≥median (1.5 servings/wk)	11,921	189	176	1.08 (0.88-1.32)	H	•—
				0.	.6 0.8 1 Hazard	202 200 200
				r	1-3 fatty acids better	placebo better

Source: Manson JE, Cook NR, Lee I-M, et al. NEJM 2018.

#### Hazard Ratios of Total MI by Subgroups, Comparing Omega-3 Fatty Acids and Placebo Groups



## Hazard Ratios (HR) and 95% CIs of the CVD Outcomes by Randomized Vitamin D Assignment

	/itamin D N=12,927)	Placebo (N=12,944)	<u>HR</u>	( <u>95% CI)</u>
	No. of	<b>Events</b>		
Cardiovascular disease (CVI	<b>)</b> )			
(1°and 2° outcomes)				
Major CVD events <sup>a</sup>	396	409	0.97	(0.85-1.12)
Total MI	169	176	0.96	(0.78-1.19)
Stroke	141	149	0.95	(0.76-1.20)
CVD mortality	152	138	1.11	(0.88-1.40)
Major CVD + PCI/CABG <sup>1</sup>	536	558	0.96	(0.86-1.08)
Other vascular outcomes <sup>c</sup>				
PCI	182	188	0.97	(0.79-1.19)
CABG	<b>73</b>	98	0.75	(0.55-1.01)
MI death	24	15	1.60	(0.84-3.06)
Stroke death	19	23	0.84	(0.46-1.54)

<sup>&</sup>lt;sup>a</sup>Primary outcome. A composite of MI, stroke and CVD mortality. <sup>b</sup>Expanded CVD composite.

<sup>&</sup>lt;sup>c</sup>Not prespecified as primary or secondary outcomes.

#### Hazard Ratios (HR) and 95% CIs of Major CVD Events Comparing Vitamin D and Placebo Groups, According to Baseline Characteristics (Prespecified Subgroups)

		Ι				
	No. of Events				Interaction	
	<b>Total</b>	<u>Vitamin D</u>	<u>Placebo</u>	(95% CI)	P-value	
Baseline Serum 25(OH)Da	15,787				0.75	
<20 ng/mL (50 nmol/L)	2,001	34	34	1.09 (0.68-1.76)		
≥20 ng/mL (50 nmol/L)	13,786	218	216	1.00 (0.83-1.21)		
Baseline Serum 25(OH)Da	15,787				0.42	
<cohort median<="" td=""><td>7,812</td><td>128</td><td>139</td><td>0.94 (0.74-1.20)</td><td></td></cohort>	7,812	128	139	0.94 (0.74-1.20)		
≥cohort median	7,975	124	111	1.09 (0.84-1.41)		
Omega-3 Fatty Acids						
Randomization Status	25,871				0.56	
Placebo group	12,938	210	209	1.01 (0.83-1.22)		
Omega-3 group	12,933	186	200	0.93 (0.76-1.14)		

 $<sup>^{</sup>a}25(OH)D = 25$  hydroxyvitamin D.

## Hazard Ratios (HR) and 95% CIs of the Cancer Endpoints and All-Cause Mortality by Randomized Vitamin D Assignment

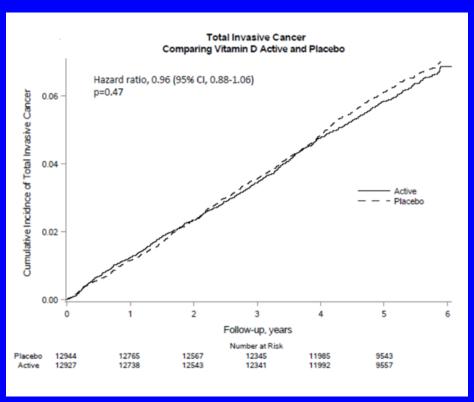
	Vitamin D (N=12,927) No. of	Placebo (N=12,944) Events	HR	( <u>95% CI)</u>		
Total invasive cancer	793	824	0.96	(0.88-1.06)		
Cancer death	154	187	0.83	(0.67-1.02)		
All-cause mortality	485	493	0.99	(0.87-1.12)		
Excluding the first 2 years of follow up						
<b>Total invasive cancer</b>	490	522	0.94	(0.83-1.06)		
Cancer death	112	149	0.75	(0.59-0.96)*		
All-cause mortality	368	384	0.96	(0.84-1.11)		

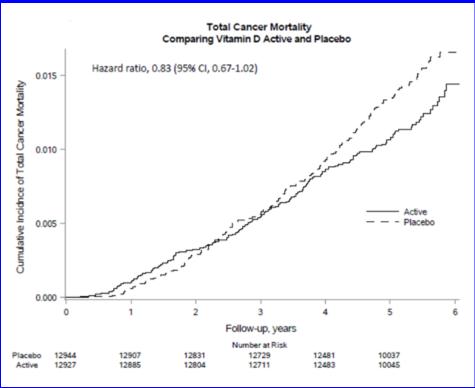
<sup>\*</sup>Nominal p-value = 0.024.

## Cumulative Incidence Rates of Total Cancer Incidence and Cancer Mortality by Year of Follow-up: Vitamin D vs. Placebo

#### **Total Cancer Incidence**

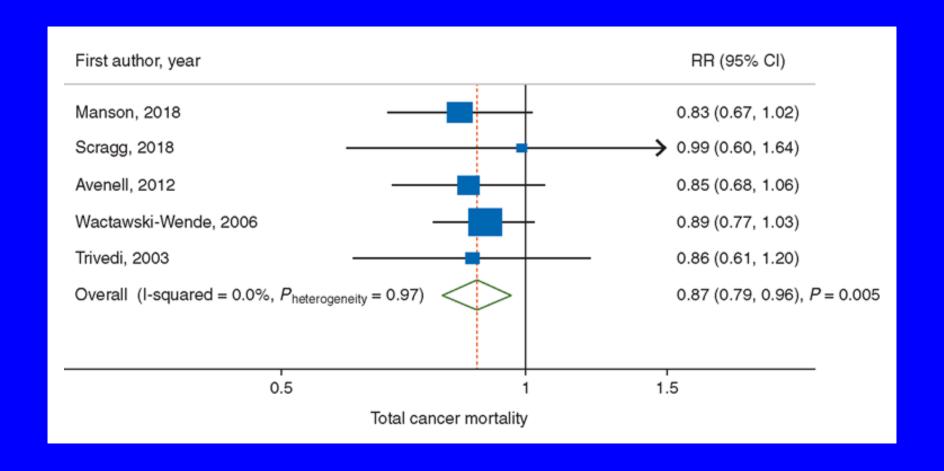
#### **Total Cancer Mortality**





Excluding first 2 yrs: Total cancer mortality HR = 0.75 (0.59-0.96); nominal p-value = 0.024.

## Updated Meta-Analysis of Randomized Trials of Vitamin D Supplementation and Cancer Mortality



Source: Keum, et al. Ann Oncol [e-pub 2/22/19]

# Hazard Ratios (HR) and 95% Confidence Intervals (CI) for Total Invasive Cancer Comparing Vitamin D and Placebo Groups, According to Prespecified Subgroups

		Interaction P-value			
Subgroup	Total N	Vitamin D	<u>Placebo</u>	HR (95% CI)	
Race	25,304				0.085
Non-Hispanic white	18,046	626	632	0.99 (0.89-1.11)	
African American	5,106	98	126	0.77 (0.59-1.01)	
Body Mass Index (kg/m²)	25,254				0.002
<25	7,843	206	278	0.76 (0.63-0.90)	
25-<30	10,122	338	323	1.04 (0.90-1.21)	
≥30	7,289	228	199	1.13 (0.94-1.37)	
Baseline Serum (25(OH)D	15,787				0.99
<20 ng/mL	2,001	58	63	0.97 (0.68-1.39)	
≥20 ng/mL	13,786	459	464	0.98 (0.86-1.12)	

Intention-to-treat analyses.

Source: Manson JE, Cook NR, Lee I-M, et al. NEJM 2018.

#### **Side Effects/Adverse Events**

- No significant side effects with either agent.
- No increased risk of hypercalcemia with vitamin D.
- No increased risk of bleeding with omega-3s.
- No increase in GI symptoms with either agent.

Relative safety of both supplements over 5.3 years.

#### **Conclusions**

- Neither omega-3s nor vitamin D significantly reduced the primary endpoints of major CVD events or total invasive cancer.
- Omega-3s reduced total MI by 28% (nominal p-value=0.003, Bonferroni-adjusted p-value=0.015), with greatest reductions in those with low dietary fish intake and in African Americans. PCI, fatal MI, total CHD (MI + coronary revasc + CHD death) were also reduced.
- Vitamin D reduced total cancer mortality in analyses excluding early follow up.
- Next steps: Continued follow-up; completion of ancillary studies (stay tuned!); replication studies.

### Trial Design: Conclusions/Recommendations

- A hybrid design (remote or mail-based intervention plus serial in-clinic visits in a sample) has advantages (quality and cost-efficiency).
- Baseline and follow-up blood/biospecimen collections are important and feasible (EMSI and Quest Center collaborations).
- Both active and passive surveillance of clinical endpoints has advantages and minimizes bias.
- Ancillary studies should be considered early to allow collection of pre-randomization data/measurements/imaging.
- Recruitment of a diverse study population requires resources.

**Study website:** 



www.vitalstudy.org

#### **VITAL: Future Plans**

- Continued follow-up/endpoint confirmation for 5 yrs (to address latency).
- CMS linkage surveillance of the cohort.
- Genetic studies:
  - Targeted gene variants (vitamin D metabolism, absorption, receptor function).
  - Targeted gene variants (n-3 FAs synthesis and activation)
  - Pursue promising signals in the trial (among African Americans, BMI, etc.)
- Gene expression and DNA methylation studies (by race, BMI, baseline nutrient status).
- Other biomarker studies (inflammation; fatty acid profiles, vit K, others).
- Support for infrastructure to continue ongoing ancillary studies
- Foster new ancillary studies (nation-wide collaborations).

### Thank you to VITAL Participants, Investigators, and Staff!

#### **VITAL Coauthors**



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