The ENGAGES Pragmatic Trial and the Power of Negative Thinking

Funded by a NIH grant to support pragmatic trials (1 UH2 HL125141, 5 UH3 AG050312)

Also funded by NIH NIDUS Grant (NIAR24AG054259) and Dr. Seymour and Rose T. Brown Endowed Chair at Washington University

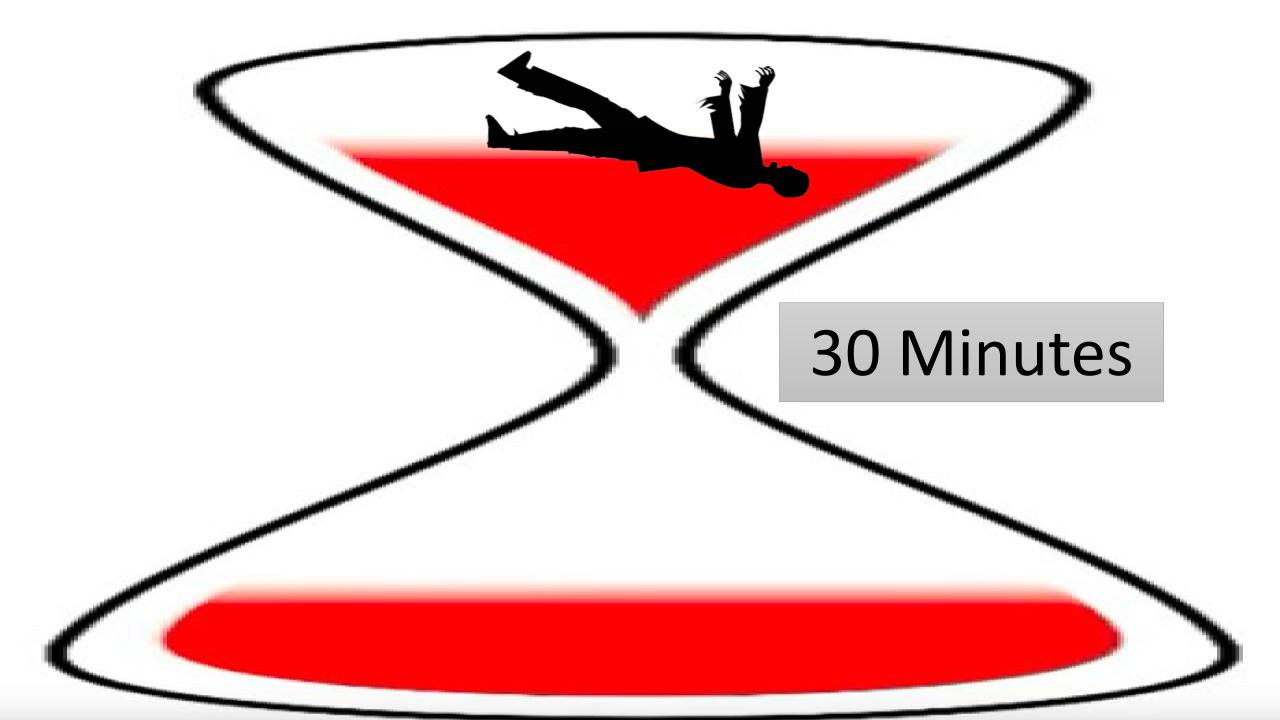
5th April 2019

Michael S. Avidan MBBCh FCASA

Dr. Seymour and Rose T. Brown Professor of Anesthesiology







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James S. McDonnell Foundation





National Institute on Aging

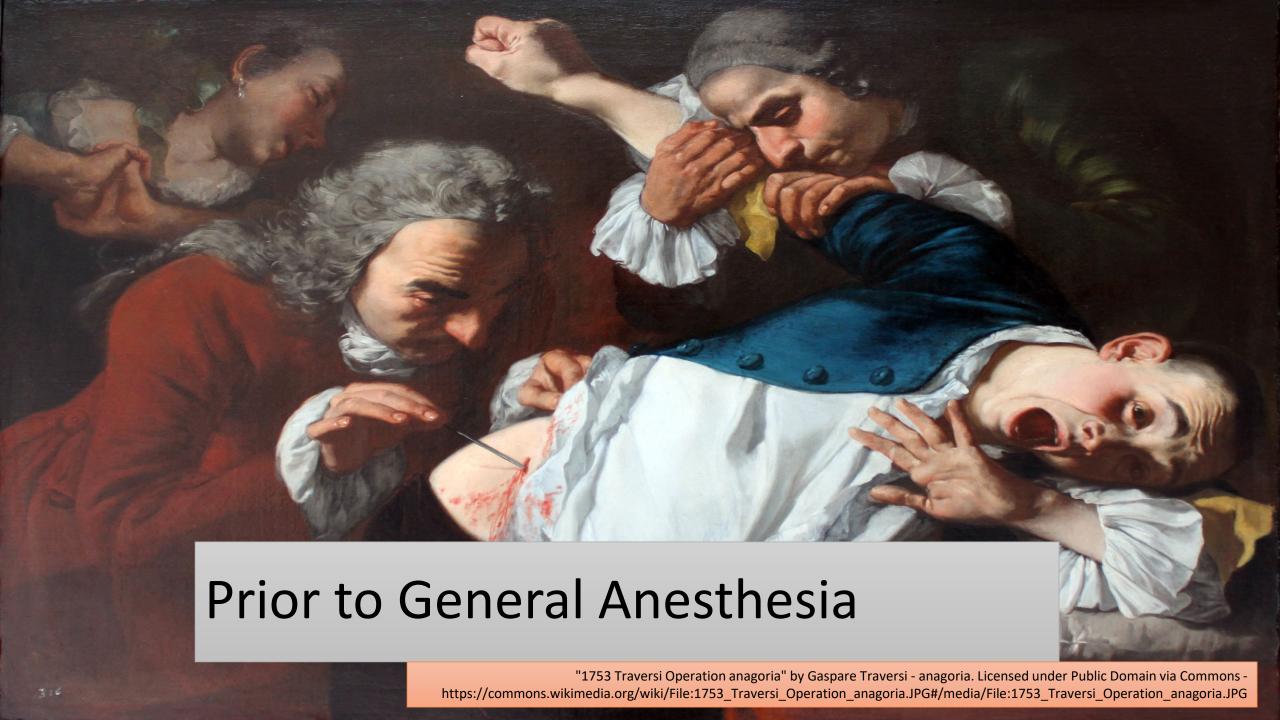




Health Care Systems Research Collaboratory

Grand Rounds: A Shared Forum of the NIH Collaboratory and PCORnet

Prologue



A Promethean Event

"The crucial **spark of transformation** — the moment that changed not just the future of surgery but of medicine as a whole — was the publication on November 18, 1846, of Henry Jacob Bigelow's groundbreaking report, 'Insensibility during Surgical Operations Produced by Inhalation'"



Painting by Robert Cutler Hinckley

Brandt AM. N Engl J Med 2012;366:1-7.

Paradise Found



THE

BOSTON MEDICAL AND SURGICAL JOURNAL.

Vol. XXXV. WEDNESDAY, NOVEMBER 18, 1846.

No. 16.

INSENSIBILITY DURING SURGICAL OPERATIONS PRODUCED BY INHALATION.

Read before the Boston Society of Medical Improvement, Nov. 9th, 1846, an abstract having been previously read before the American Academy of Arts and Sciences, Nov. 3d, 1846.

By Henry Jacob Bigelow, M.D., one of the Surgeons of the Massachusetts General Hospital.

[Communicated for the Boston Medical and Surgical Journal.]

In has long been an important problem in medical science to devise some method of mitigating the pain of surgical operations. An efficient agent for this purpose has at length been discovered. A patient has been rendered completely insensible during an amputation of the thigh, regaining consciousness after a short interval. Other severe operations have



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Medicine Neuroscience

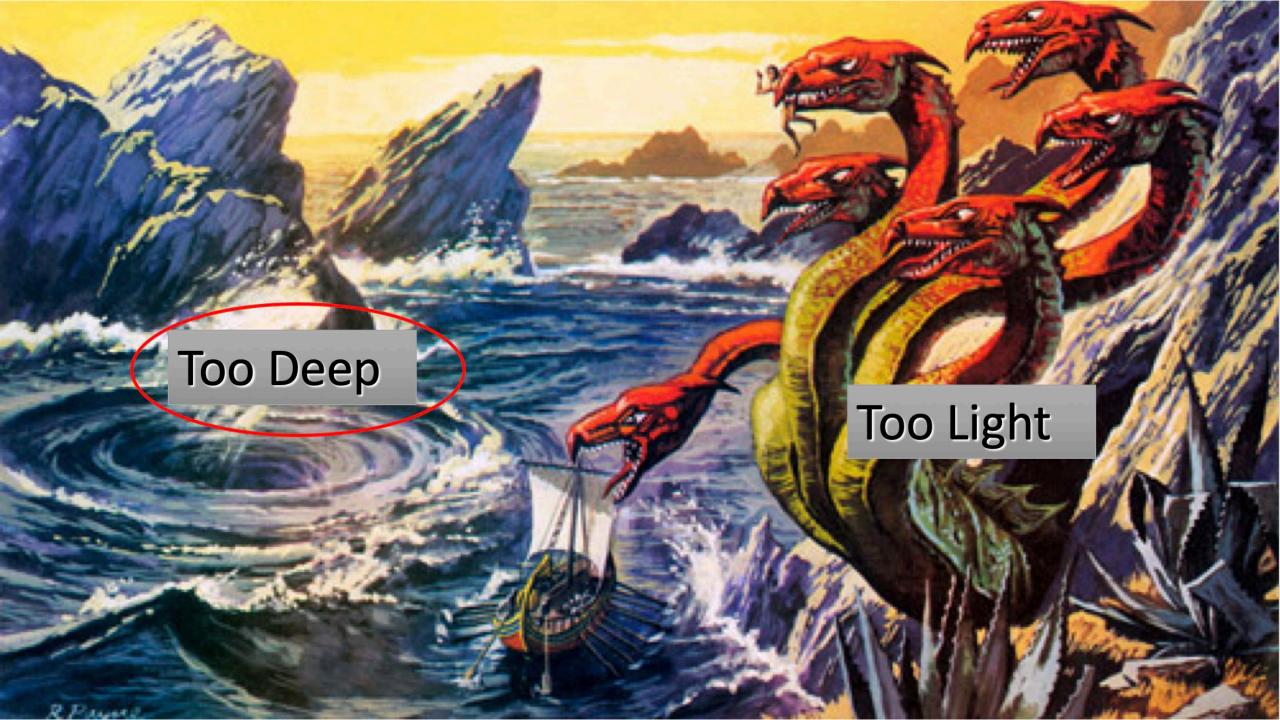
What happens when anaesthesia fails

By David Robson 13 March 2019

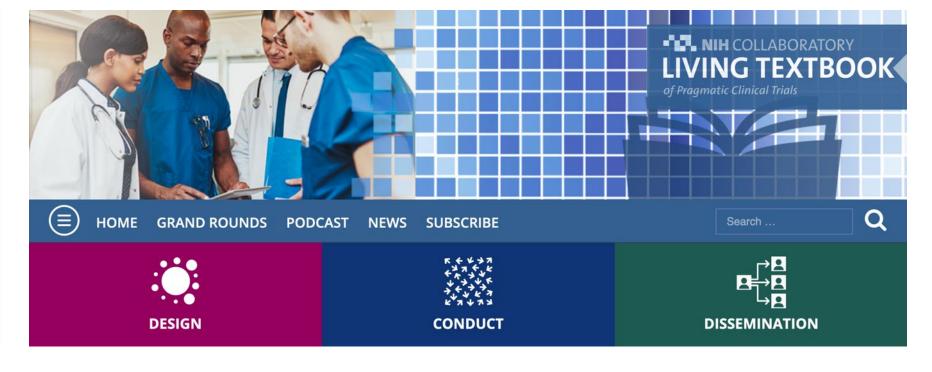
BBC

One in 20 patients remain aware but paralysed during major medical procedures - though the vast majority will not remember it afterwards. Why?









"Pragmatic clinical trials are performed in **real-world clinical settings** with **highly generalizable populations** to generate **actionable clinical evidence** at a **fraction of the typical cost and time** needed to conduct a traditional clinical trial. They present an opportunity to **efficiently address critical knowledge gaps** and generate high-quality **evidence to inform medical decision-making**."

ENGAGES

- 1. Why ENGAGES
- 2. Patient Centered
- 3. Efficient
- 4. Pragmatic
- 5. Successes
- 6. Limitations
- 7. Next Steps

Aim and Elements of CER

 The aim of CER is to improve decisions that affect medical care at the levels of both policy and the individual.

- The key elements of CER are
 - (a) head-to-head comparisons of active treatments,
 - (b) study populations typical of day-to-day clinical practice,
 - (c) a focus on evidence to inform care tailored to the characteristics of individual patients.

Objectives

We designed the pragmatic Electroencephalography Guidance of Anesthesia to Alleviate Geriatric Syndromes (ENGAGES) trial to investigate whether minimizing anesthetic administration and electroencephalogram suppression during surgical anesthesia would

- Decrease the incidence of postoperative **delirium**.
- Secondary outcomes were quality of life, functional status, and postoperative falls, assessed one month after the procedure.
- Safety considerations were undesirable intraoperative patient movement, hypotension, and intraoperative awareness.

A Dash of Delirium

Delirium is a pathophysiologically obscure, underdiagnosed, common, and serious neurological complication of surgery.

The field of anesthesiology should therefore prioritize its prevention, diagnosis, and treatment, while concurrently investigating its underlying mechanisms.

What is delirium?

Disturbance in consciousness

- Reduced awareness of environment
- Inattention

Change in cognition

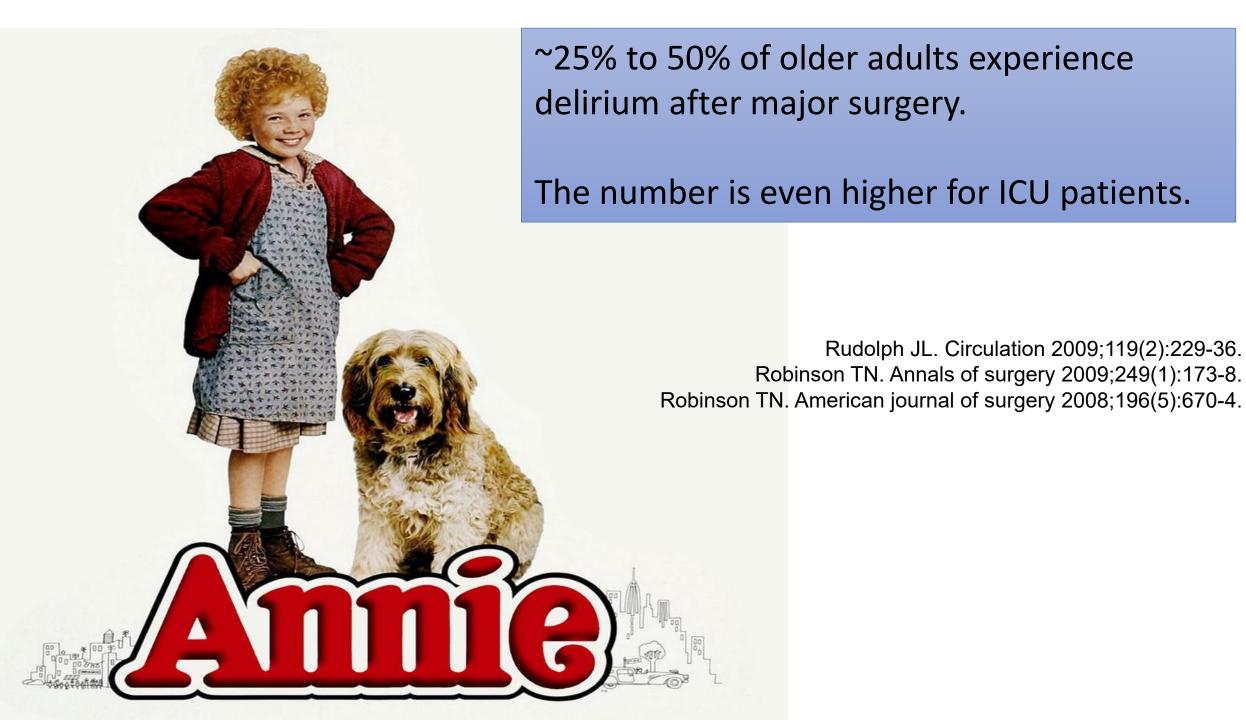
- Memory deficit
- Disorientation
- Hallucinations

Short period of time

- Hours to days
- Tends to fluctuate

Medical illness

 Results from the direct physiological consequences of a general medical condition



Predisposing factors or vulnerability Precipitating factors or insults High vulnerability Noxious insult Less noxious insult Low vulnerability

Does it matter?

Increased ICU LOS 8 vs. 5 days

Increased Hosp. LOS 21 vs. 11 days

Increased time on vent 9 vs. 4 days

Higher costs \$22 000 vs. \$13 000

3 fold increased risk of death

Possible long term cognitive impairment

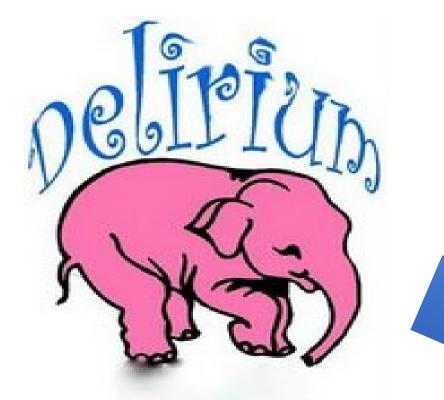
Ely ICM 2001;27,1892-1900,

Ely JAMA 2004;291:1753-1762,

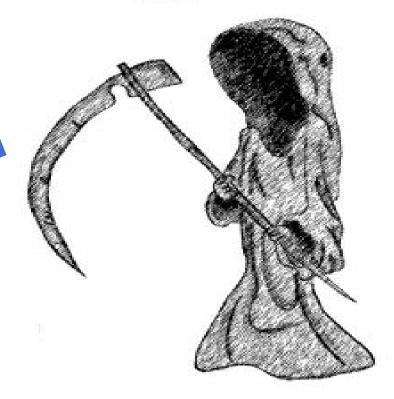
Lim SM, CCM 2004;32:2254-2259,

Milbrandt E, CCM 2004;32:955-962,

Jackson Neuropsychology Review 2004;14:87-98



Association



Deliriogenicity of Deep Anesthesia



Brain Monitoring

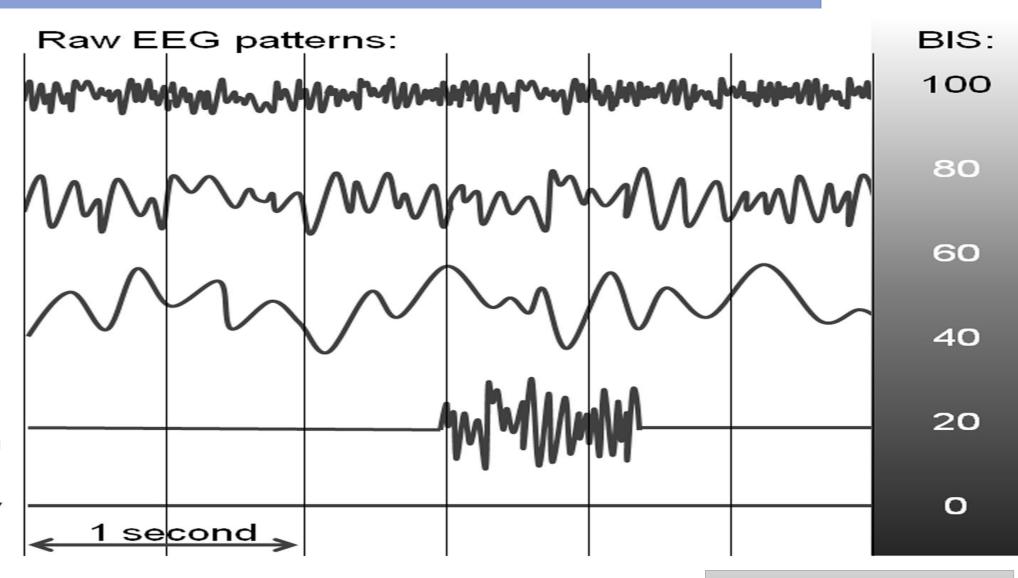
Awake

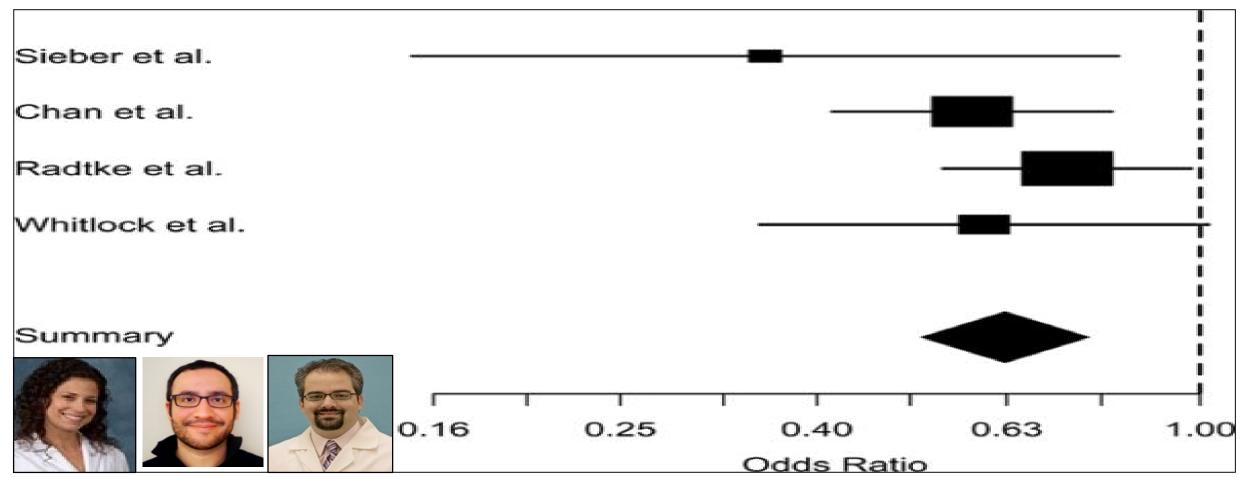
Sedated

Surgical anesthesia

Burst suppression

Isoelectricity



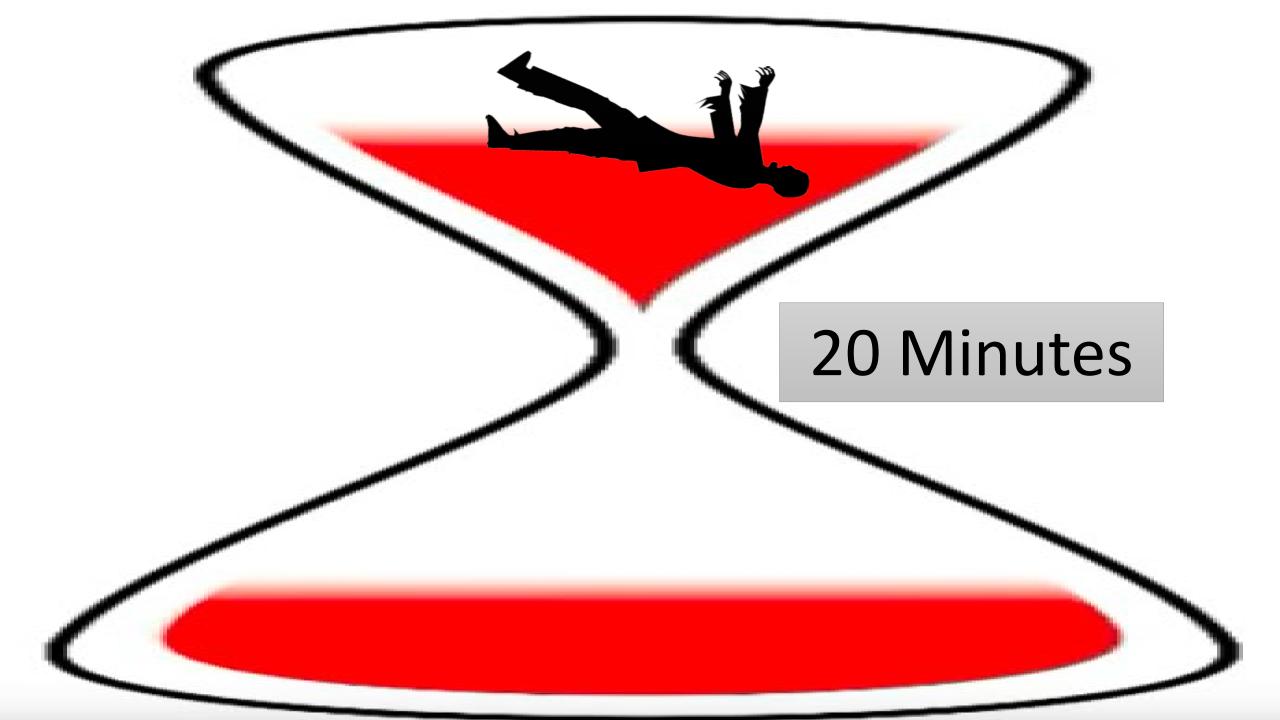


Meta-analysis of randomized controlled trials assessing postoperative delirium with intraoperative Bispectral Index (BIS) guidance of anesthesia compared with an alternative approach (i.e., usual care or an alternative protocol). Odds ratios <1 favor BIS guidance.

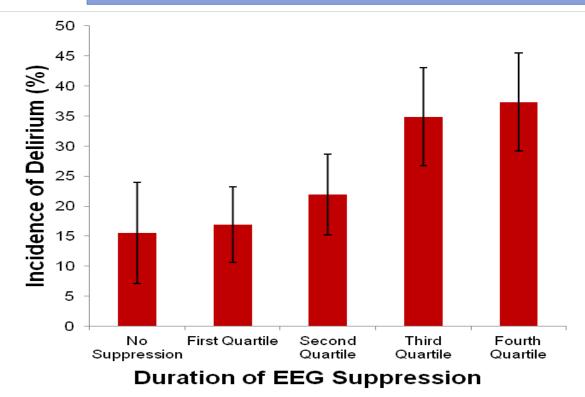


Burst Suppression

With very deep general anesthesia burst suppression occurs, which is characterized by periods of suppression lasting seconds to minutes, punctuated with bursts of high voltage electrical activity over a few seconds.



EEG suppression predicts delirium



Postoperative delirium was observed in 162 (26%) of 619 patients assessed. Burst suppression predicted delirium after adjusting for potential confounders (odds ratio for log(EEG suppression) 1.22 [99% CI 1.06 to 1.40, p = 0.0002] per 1-minute increase in suppression).

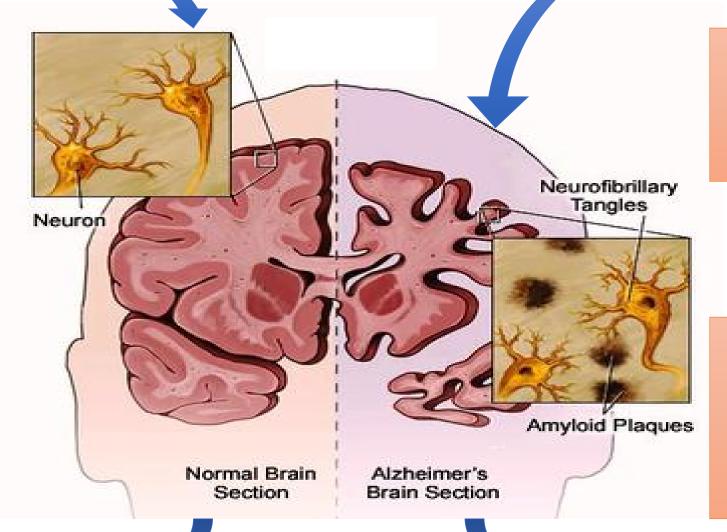
 $\chi^2(4) = 25$, p < 0.0001



Anesthesia & Analgesia

Typical Anesthesia: burst suppression is unlikely

Postoperative delirium is unlikely whether or not there was burst suppression



Typical Anesthesia: burst suppression is likely

Postoperative delirium is likely whether or not there was burst suppression

Intraoperative electroencephalogram suppression at lower volatile anaesthetic concentrations predicts postoperative delirium occurring in the intensive care unit

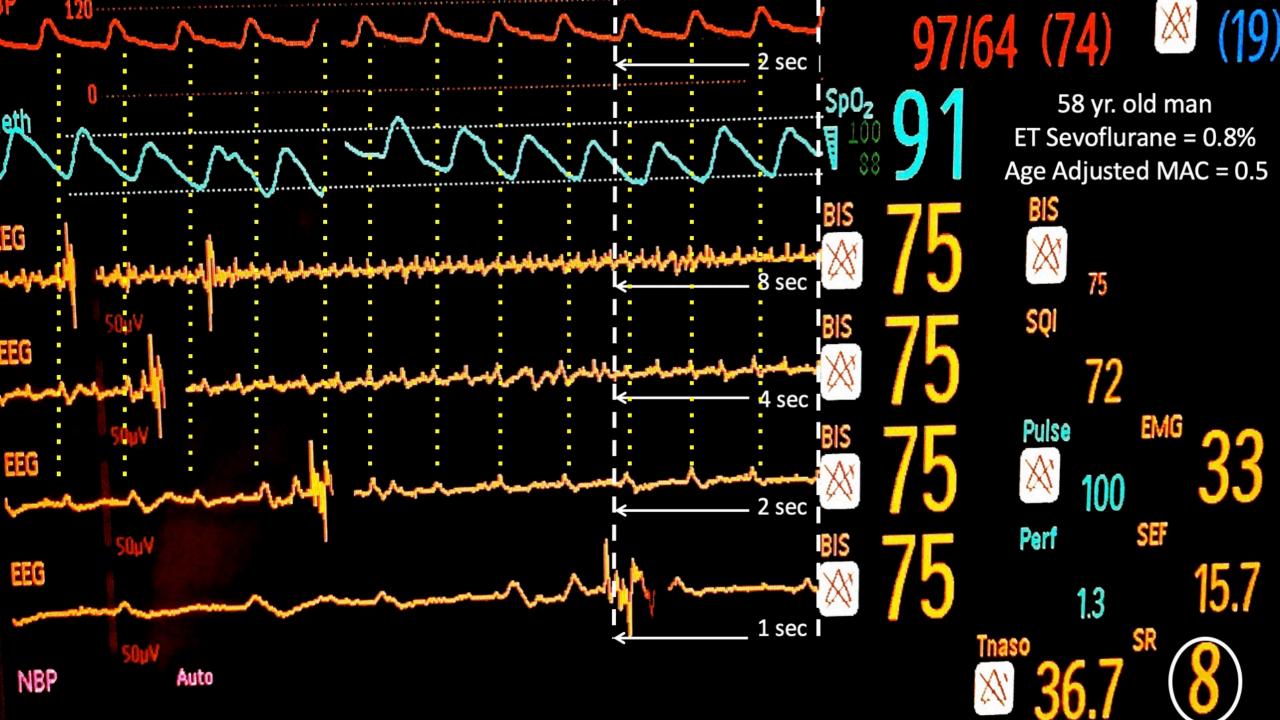
B. A. Fritz*, H. R. Maybrier and M. S. Avidan

Department of Anesthesiology, Washington University School of Medicine in St. Louis, St. Louis, MO, USA

*Corresponding author. E-mail: bafritz@wustl.edu



This article is accompanied by an editorial: Overlapping cognitive disintegration of anaesthesia and delirium by Lindroth & Sanders, Br J Anesth 2018:121:193–196, doi: 10.1016/j.bja.2018.01.013.





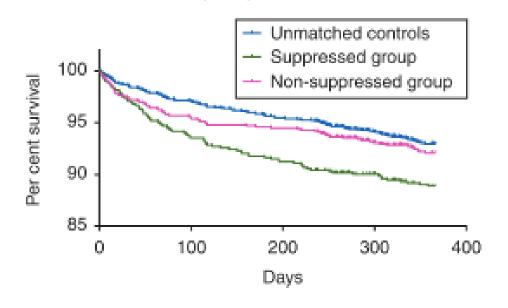
Association between intraoperative electroencephalographic suppression and postoperative mortality

M. Willingham^{1†}, A. Ben Abdallah¹, S. Gradwohl¹, D. Helsten¹, N. Lin², A. Villafranca³, E. Jacobsohn³, M. Avidan¹ and H. Kaiser^{1*†}

Time to Mortality (up to 1 yr follow-up)

(Log-Rank Tests)

1-Yr postoperative survival



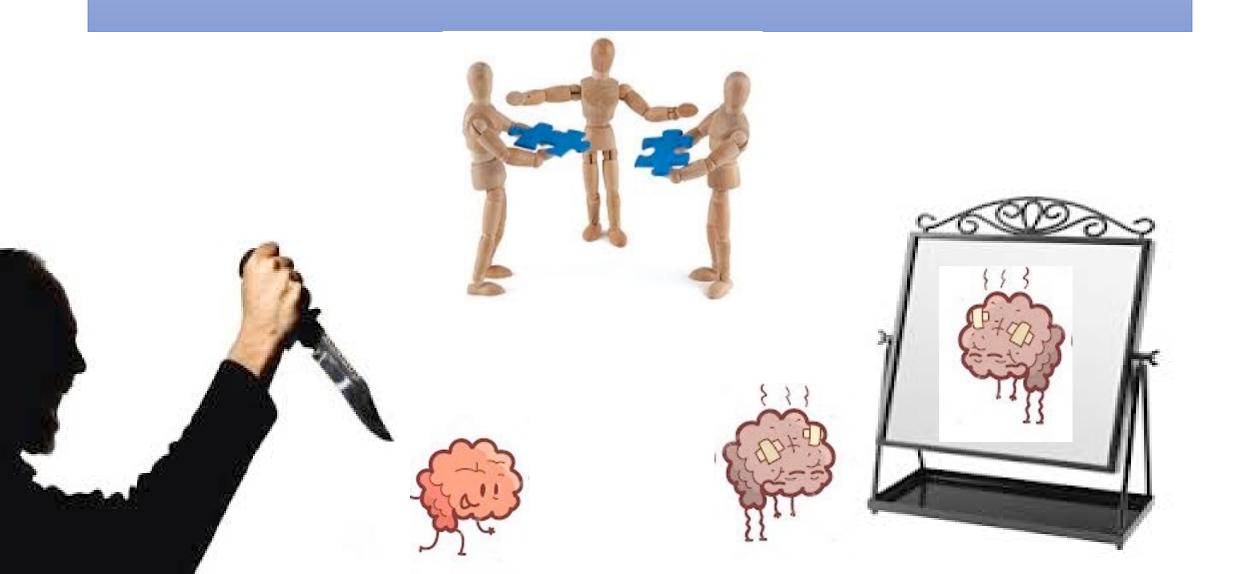
All patients (prior to matching):

Green curve vs blue curve Shorter time to death: Log-Rank $x^2(1) = 14.09$, p < 0.001

Matched cohorts:

Green curve vs pink curve No difference: Log-Rank $x^2(1) = 2.13$, p = 0.14

Murderer, Mediator or Mirror?



ENGAGES

Electroencephalography Guidance of Anesthesia to Alleviate Geriatric Syndromes Study



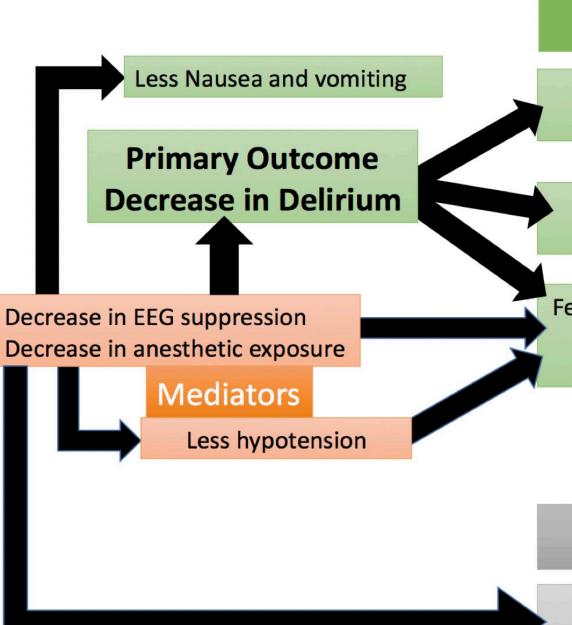


SCHOOL OF MEDICINE

Thinking Behind the ENGAGES Study

Intervention

EEG Guidance



Benefits

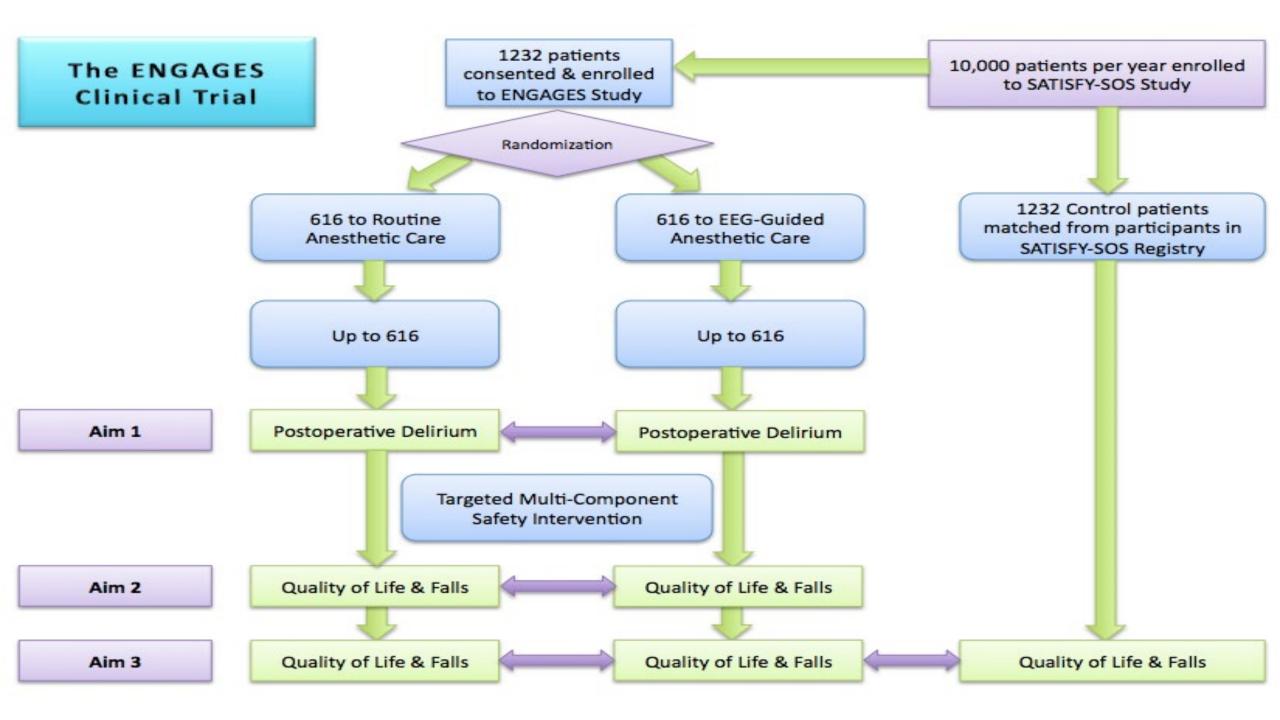
Fewer falls
Better quality of life

Improved functionality
Preserved Cognition

Fewer adverse events (AEs) and serious AEs Fewer deaths

Risks

Undesirable movement Awareness with recall



Patient Centered

Outcomes patients care about:

- Delirium
- Falls
- Quality of Life

Active patient involvement:

- Home safety assessment
- Patient self-assessment
- FAM-CAM
- PROs

Efficient

Institute of Quality Improvement Research & Informatics (INQUIRI)

SATISFY

SURGICAL OUTCOMES SURVEYS

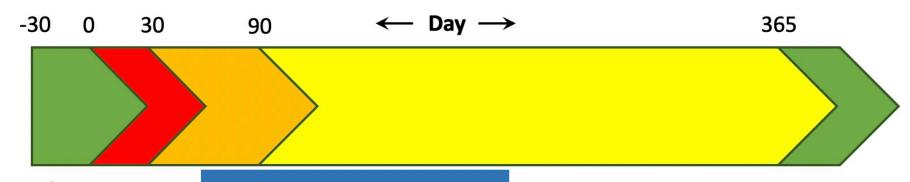
TRACKING YOUR HEALTH & WELL-BEING AFTER SURGERY





NATIONAL LEADERS IN MEDICINE

Patient Timeline for SATISFY-SOS



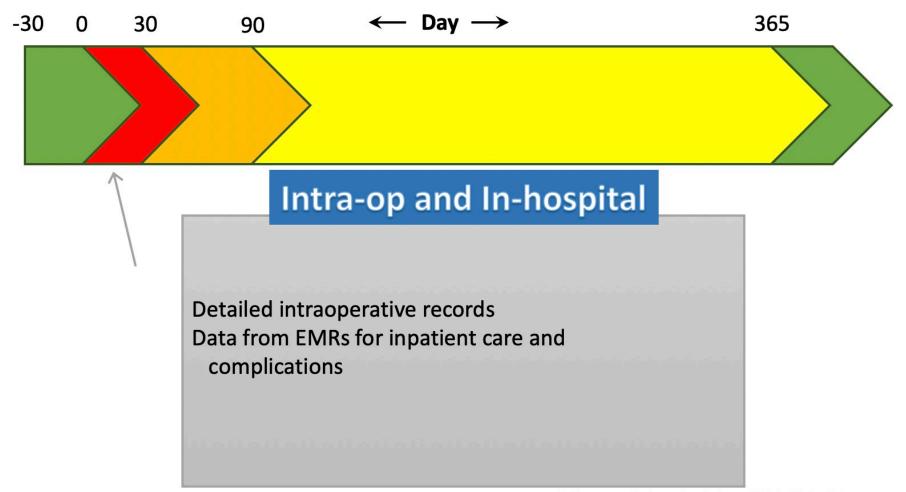
Preoperative clinic

Detailed History
Extensive Co-morbidities
Physical Examination
Special Investigations
Baseline Pain
Dementia Screen
Functional dependence

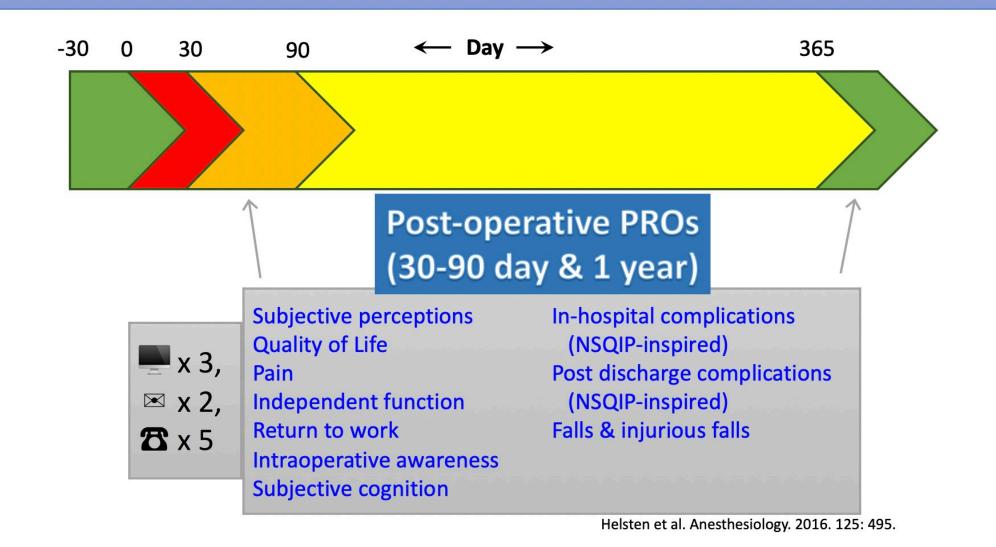
Recruitment and consent
Baseline Quality of Life
(VR-12)
Employment Status
Falls History
Pain (current and
expectations)
Motivation for surgery

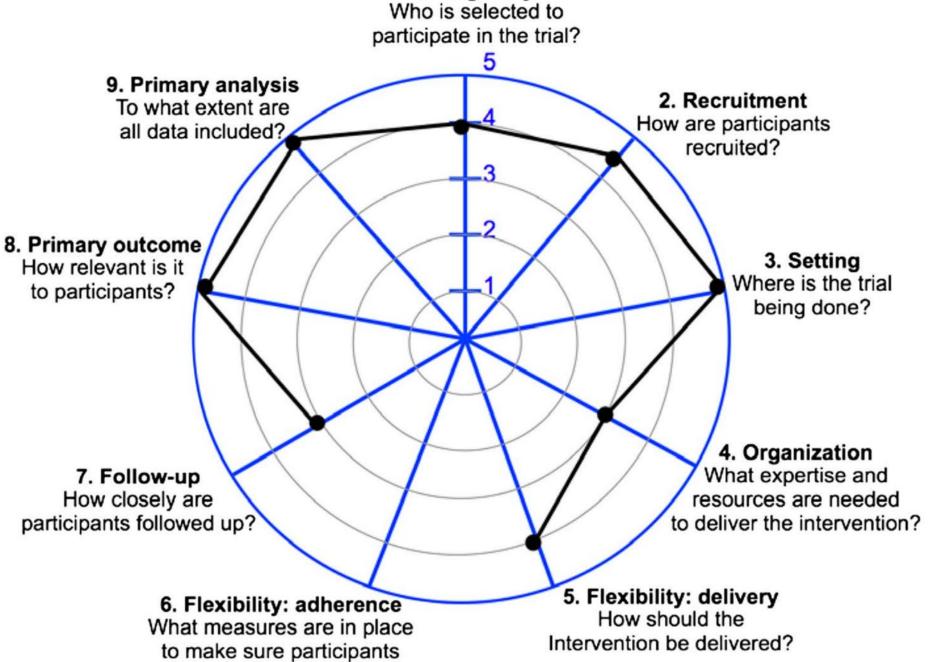


Patient Timeline for SATISFY-SOS



Patient Timeline for SATISFY-SOS





adhere to the intervention?

1. Eligibility

BMJ Open

ENGAGES Trial Participation Schedule

Before Postoperativ Surgery e Day 1 1 month Cognitive after tests and Stickers and Postoperati Postoperative surgery watch health ve Day 3 Day 5 question removed. Two Interview Interview naires Interview surveys Day of Postoperati Postoperativ Discharg 1 year Surgery ve Day 2 e Day 4 after surger Possible Actiwatch Interview Interview У and home forehead One safety stickers visits survey

	Timeframe	What to expect
Before Surgery	At least one day before surgery (60-90 min)	An ENGAGES researcher will ask you a series of questions about your health.
Day of Surgery	Just before surgery	An ENGAGES researcher will place stickers on your forehead and a watch on your wrist before surgery. You may or may not be awake.
	Between 4 PM and 8 PM (10 min)	A researcher will visit you after surgery to ask questions about your thinking and pain.
Postoperative Days One to Five	Between 4 PM and 8 PM (10 min)	An ENGAGES researcher will visit you in the hospital and ask questions about how you are thinking and your pain.
Discharge	After you leave the hospital (2 or 3 visits lasting one hour each)	Occupational therapy might visit your home and suggest changes to reduce your risk of falls.
One month after surgery	Around 30 days after surgery (2 surveys lasting 15 min each)	You will receive two surveys. One will be given over the phone with an ENGAGES researcher. Another will be given as part of the SATISFY-SOS study by phone, mail, or email.
One year after surgery	Around one year after surgery (10 min)	You will receive one SATISFY-SOS survey by phone, mail, or email.

Challenges

- Representative enrollment
- Baseline Assessment
- Altering anesthetic management
- Avoiding trial-related temporal change in practice
- Home safety intervention

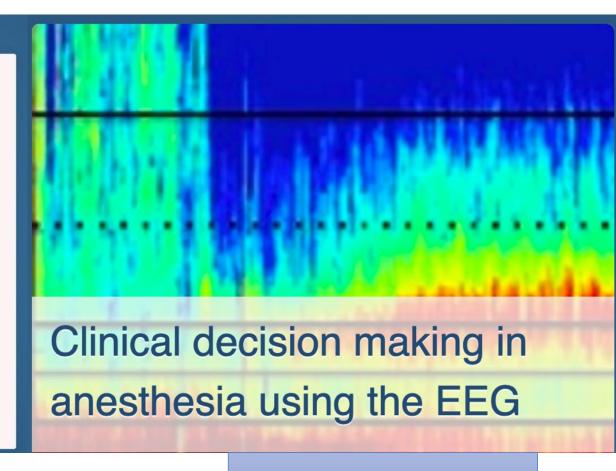
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EEG Waveforms and Depth of Anesthesia





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Less anesthesia during surgery doesn't prevent post-op delirium

The National Institute on Aging funded ENGAGES trial reported in JAMA that electroencephalography guided general anesthesia does not appear to prevent postoperative delirium.

See All News...

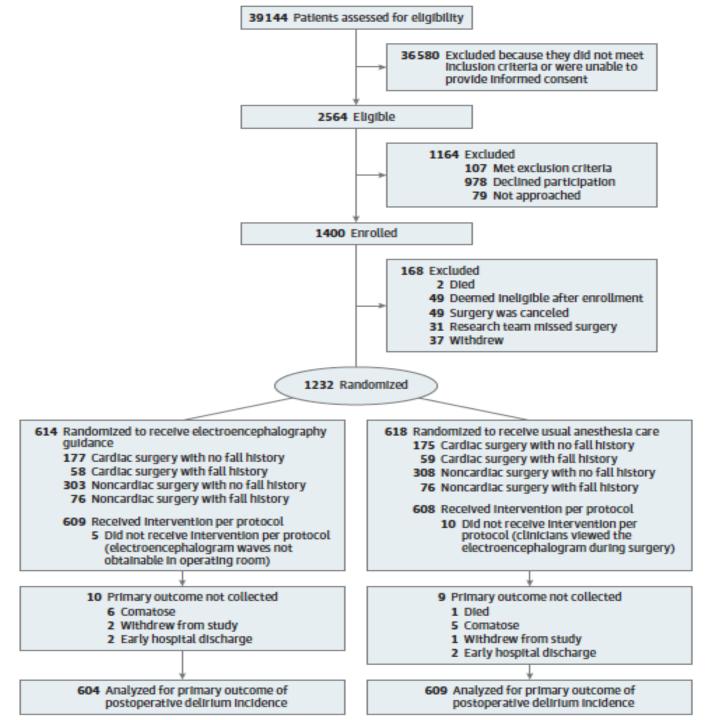
31st Annual C. R. Stephen Lecture will be delivered by John P.A. loannidis, M.D., DSc

Less anesthesia during surgery doesn't prevent post-op delirium

Dr. Robert Gereau honored With Election as **Fellow into AAAS**

Cox named Wise Endowed Chair in **Pediatric Anesthesiology**

Read More



JAMA | Original Investigation

JAMA February 5, 2019 Volume 321, Number 5

	No. (%)	No. (%)	
	Guided (n = 614)	Usual Care (n = 618	
Age, median (IQR), y	69.5 (65.0-74.7)	69.4 (64.7-75.8)	
Nomen	282 (45.9)	281 (45.5)	
fen	332 (54.1)	337 (54.5)	
BMI, median (IQR)	29.0 (25-33)	29.0 (25-33)	
lace			
White	555 (90.4)	558 (90.3)	
Black	54 (8.8)	53 (8.6)	
Other	5 (0.8)	7 (1.1)	
Attended college	198 (36.3)	208 (37.3)	
ifetime tobacco use ^a	376 (61.2)	349 (56.8)	
Turrent weekly alcohol use ^b	289 (47.1)	297 (48.1)	
urrent use of anticonvulsants	94 (15.3)	81 (13.1)	
Regular use of opioids	154 (25.1)	149 (24.1)	
egular use of benzodiazepines	86 (14.0)	102 (16.5)	
ASA physical classification >3 ^c	209 (34.0)	221 (35.8)	
Marginal exercise tolerance (<4 METs)	297 (50.3)	295 (50.4)	
Pulmonary hypertension	97 (15.8)	95 (15.4)	
Aortic stenosis	90 (14.7)	108 (17.5)	
listory of or high risk for obstructive sleep apnea	230 (37.5)	219 (35.4)	
listory of delirium	78 (12.8)	79 (12.9)	
No. of comorbidities, median (IQR)	5 (3-6)	5 (3-6)	
listory of depression	85 (13.8)	83 (13.4)	
HQ8, median (IQR) ^d	3 (1-6)	3 (0-6)	
Short Blessed Test for cognition score, median (IQR) ^e	2 (0-4)	2 (0-4)	
-item Interview to Differentiate Aging and Dementia, median (IQR) ^f	0 (0-1)	0 (0-1)	
Parthel Activities of Daily Living index, median (IQR) ⁹	15 (15-15)	15 (15-15)	
landgrip strength score, mean (SD), kg	26.4 (11.0)	25.7 (10.7)	
imed up-and-go score, median (IQR), s	10.5 (9.2-13.1)	11.0 (9.4-13.4)	
awton Instrumental Activities of Daily Living, median (IQR) ^h	8 (7-8)	8 (8-8)	
R-12 Component Score, mean (SD) ¹			
Physical	38.1 (11.9)	38.2 (11.8)	
Mental	53.6 (10.6)	53.6 (11.0)	

JAMA | Original Investigation

JAMA February 5, 2019 Volume 321, Number 5

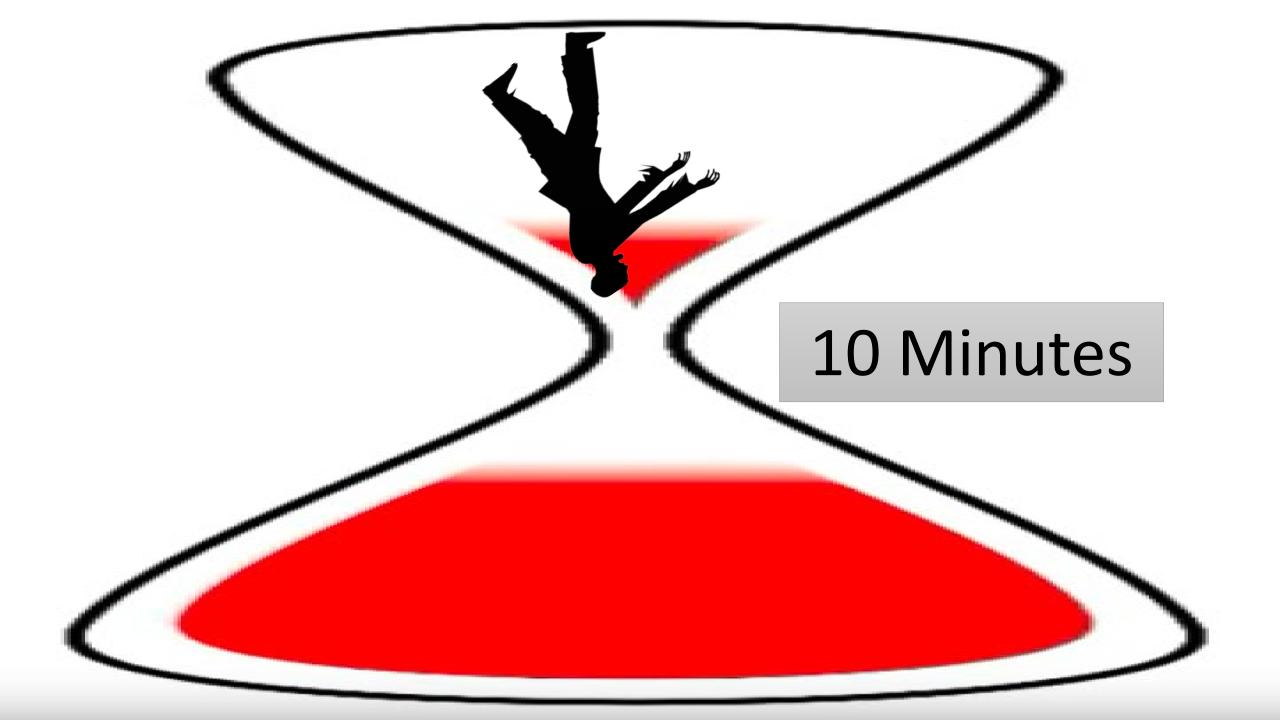
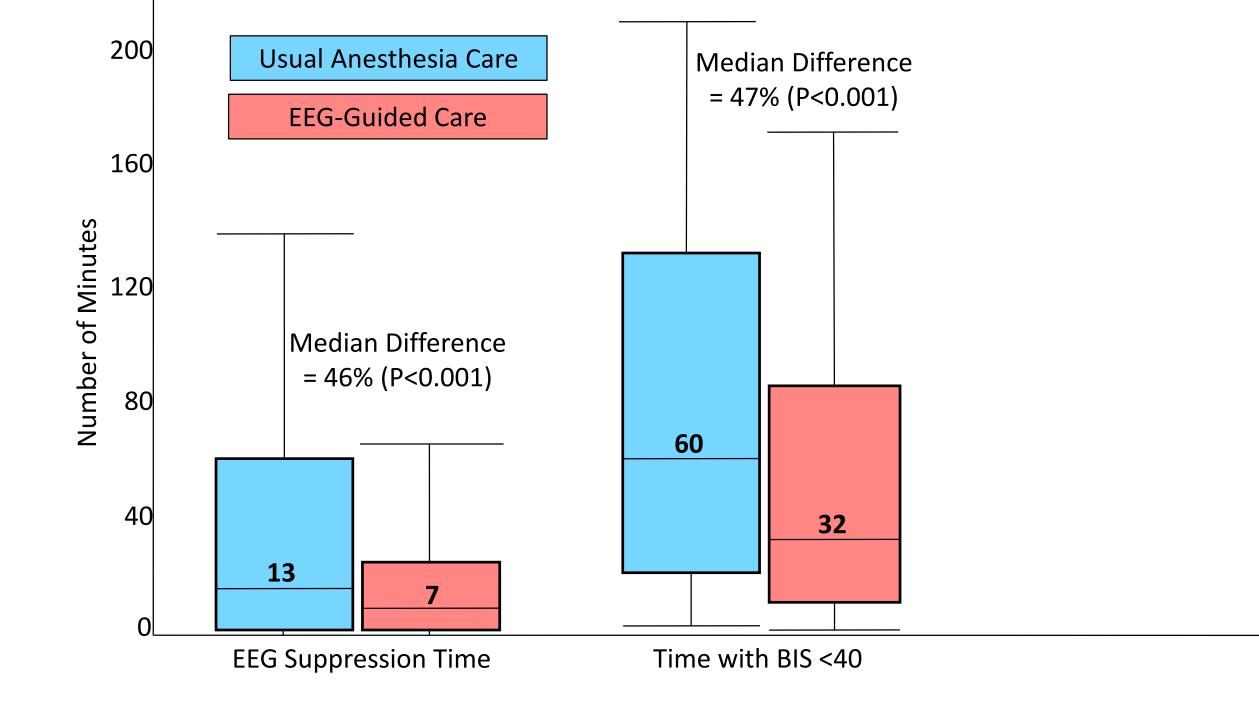
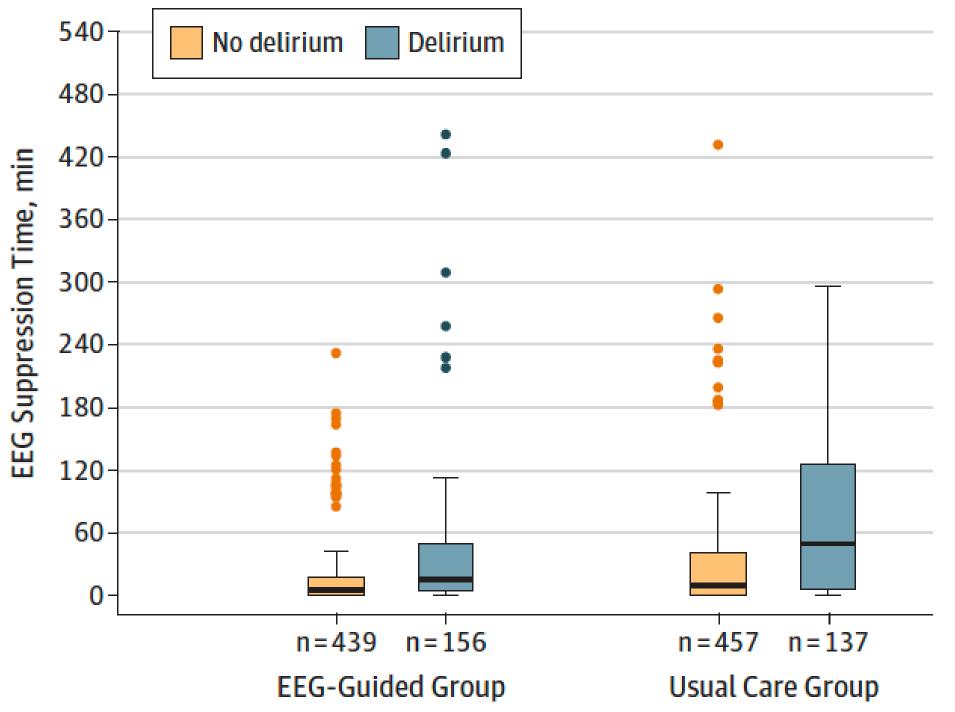


Table 2. Perioperative Care Measures

	Median (IQR)		
Measure	Guided	Usual Care	Difference (95% CI) ^a
Intraoperative measures			
Duration of anesthesia, min	264.5 (192 to 344)	264.0 (186 to 349)	0.5 (-16.7 to 16.7)
End-tidal volatile agent concentration, MAC ^d	0.69 (0.62 to 0.77)	0.80 (0.71 to 0.86)	-0.11 (-0.13 to -0.10)
Duration of BIS <40, min ^e	32 (9 to 81)	60 (19 to 132)	-28 (-38.0 to -18.0)
Time with SR >1%, min ^f	7 (1 to 23)	13 (2 to 58)	-6 (-9.9 to -2.1)
MAP, mean (SD), mm Hg	81.2 (8.26)	79.6 (7.68)	1.5 (0.63 to 2.42)
Duration of MAP <60 mm Hg, min	7 (2 to 19)	7 (1 to 19)	0 (-1.7 to 1.7)





Effect of Electroencephalography-Guided Anesthetic Administration on Postoperative Delirium Among Older Adults Undergoing Major Surgery: The ENGAGES Randomized Clinical Trial



QUESTION Does EEG-guided anesthetic administration decrease postoperative delirium incidence in older patients undergoing major surgery?

CONCLUSION This randomized clinical trial of older adults undergoing major surgery found that EEG-guided anesthetic did not reduce the incidence of postoperative delirium.

POPULATION

669 Men **563** Women



Adults aged ≥60 years undergoing major surgery under general anesthesia

Median age: 69 years

LOCATIONS

1 Hospital in St Louis, MO



1232 Patients randomized 614 EEG-guided anesthesia Anesthesiologists and nurse anesthetists viewed display of EEG waveforms of anesthetic depth 75 WWW.

PRIMARY OUTCOME

Incidence of delirium during postoperative days 1 to 5 as assessed by validated instruments or through chart review

FINDINGS

Delirium during postoperative days 1 to 5

EEG-guided anesthesia

Delirium occurred in 157 of 604 patients



Usual anesthesia
Delirium occurred in
140 of 609 patients



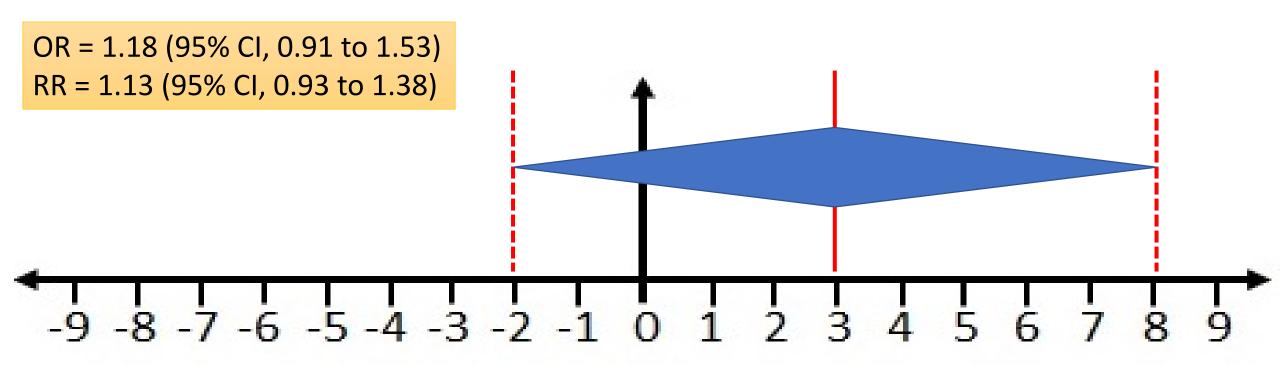
Between-group difference:

3.0% (95% CI, -2.0% to 8.0%)

© AMA

Wildes TS, Mickle AM, Abdallah AB, et al, for the ENGAGES research group. Effect of electroencephalography-guided anesthetic administration on postoperative delirium among older adults undergoing major surgery: the ENGAGES randomized clinical trial [published February 5, 2019]. JAMA. doi:10.1001/jama.2018.22005

Outcome Category	Usual Care Group	EEG Guided Group	Difference [¥] (95% CI)	P value§
Delirium Outcomes				
Delirium incidence* – no. (%)	140/609 (23.0)	157/604 (26.0)	3.0% (-2.0 to 8.0)	0.224

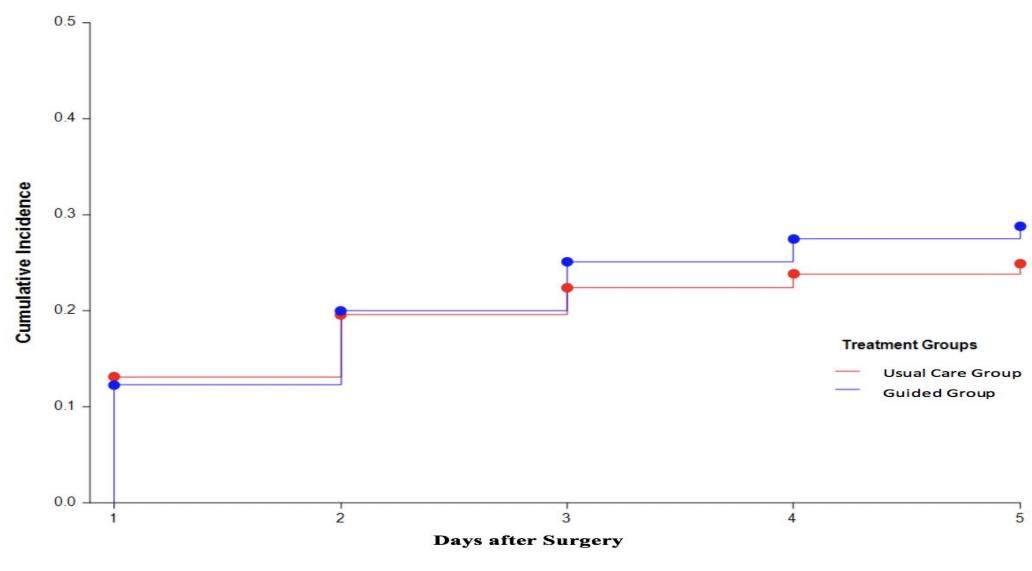


EEG Guidance is Good

EEG Guidance is Bad

eFigure5: Kaplan-Meier Curve: Cumulative Incidence of Delirium

Kaplan-Meier curves showing cumulative incidence of delirium incidence over postoperative days 1 to 5, by treatment groups.

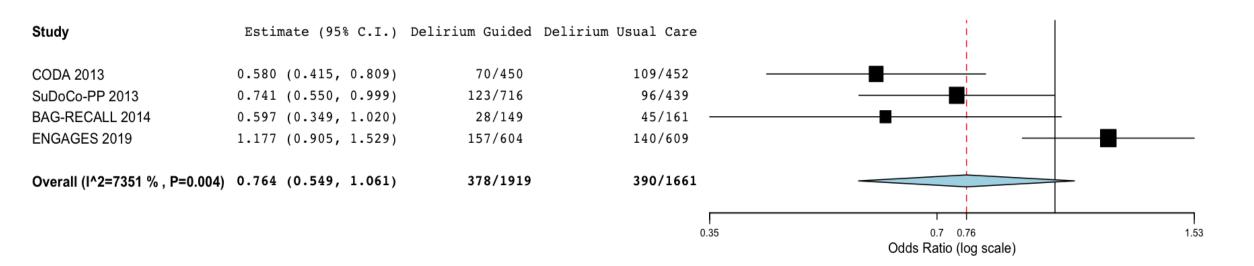


Number At Risk Guided Usual Care

74/592 80/602 41/573 34/584 25/537 14/524

11/451 7/444 6/373 5/383

Meta-analysis summarizing 4 trials in which the intervention group received EEG-guided anesthesia



This analysis was conducted using OpenMetaAnalyst. It is a binary, random effects, Hartung-Knapp-Sidik-Jonkman model.

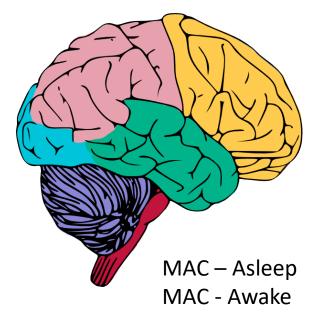
The $I^2 = 74\%$, $tau^2 = 0.08$, Q(df=3) = 13.234, and heterogeneity *P*-value = 0.004.

The estimated OR for delirium with EEG-guided anesthesia = 0.764 (95% CI, 0.549 to 1.061, P=0.108).

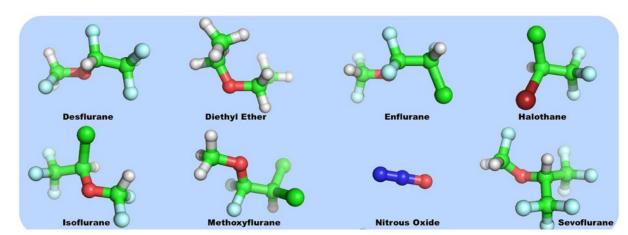
Table 3. Primary and Exploratory Outcomes and Adverse Events

	No./Total No. (%)			
Outcome Category	Guided	Usual Care	Difference, % (95% CI) ^a	P Value ^b
Adverse events				
Undesirable intraoperative movement	137/614 (22.3)	95/618 (15.4)	6.9 (2.5 to 11.4)	.002
Intraoperative awareness	0/563 (0.0)	0/568 (0.0)	0 (-0.8 to 0.8)	NA
Postoperative nausea and vomiting	48/614 (7.8)	55/617(8.9)	-1.1 (-4.3 to 2.1)	.49
Perioperative serious adverse events ⁹	124/614 (20.2)	130/618 (21.0)	-0.8 (-5.5 to 3.8)	.72
Mortality up to 30 days after surgical procedure	4/614 (0.7)	19/618 (3.1)	-2.42 (-4.3 to -0.8)	.004

Outcome Category	Usual Care Group	EEG Guided Group	Difference [¥] (95% CI)	P value [§]
Undesirable intraoperative movement – no. (%)	95/618 (15.4)	137/614 (22.3)	6.9% (2.5 to 11.4)	0.002



Awareness



Movement

MAC – Movement

Outcome Category	Usual Care Group	EEG Guided Group	Difference [¥] (95% CI)	P value [§]
Mortality up to 30-days post-surgery – no. (%)	19/618 (3.07)	4/614 (0.65)	2.42% (0.81 to 4.25)	0.004



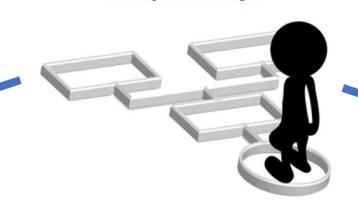
Deep anesthesia is in the dock

HUGE Difference

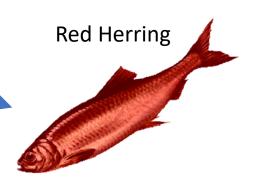


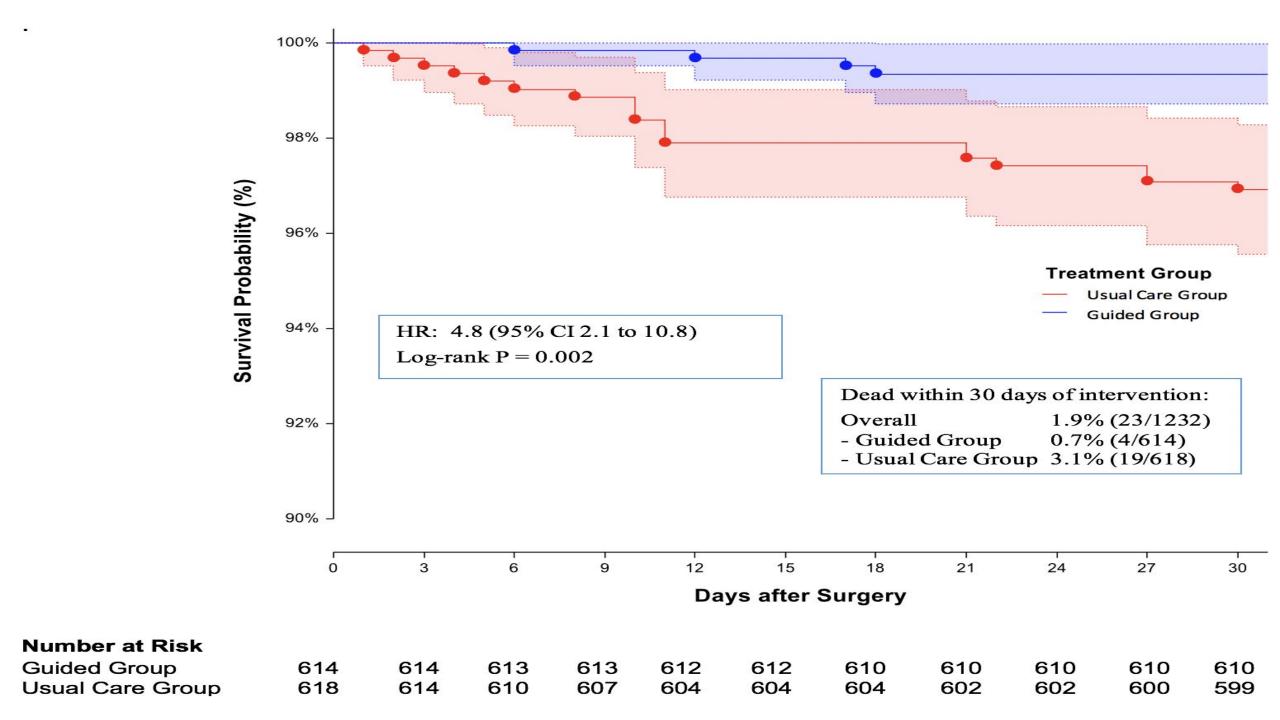
Preponderance of Evidence

How do you determine culpability?



Fragility Index = 5







Fragility Index Calculator

Calculates the number of patients required to lose

statistical significance

LinCalc.com » Statistics » Fragility Index Calculator

RESULTS

Fragility Index 5

A fragility index of 5 indicates that if 5 patients in the experimental group were "converted" from NOT having the primary endpoint to HAVING the primary endpoint, the study would lose statistical significance (p > 0.05). The higher the fragility index, the more robust the results of a study are. Learn more about an "acceptable" fragility index.

	Original Study	Fragility Index	"Fragile" Study
Control group with outcome (N)	19		19
Control group without outcome (N)	599		599
Experimental group with outcome (N)	4	+ 5	9
Experimental group without outcome (N)	610	- 5	605
P value	0.002		0.084

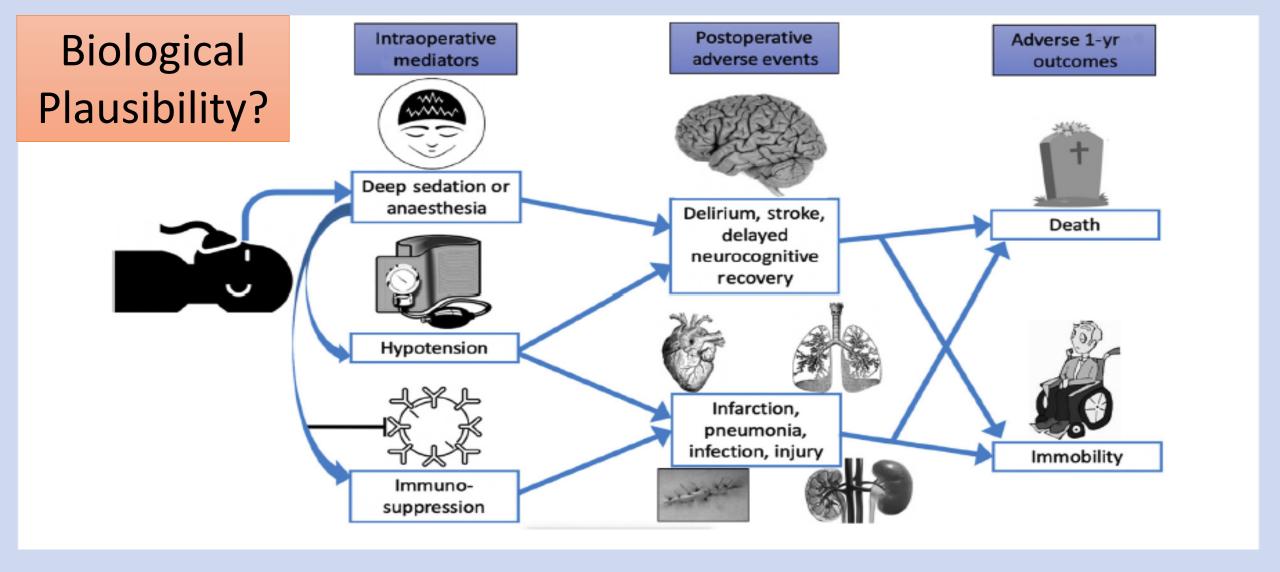


Fig 1. Deep sedation or anaesthesia and poor intermediate-term outcomes. This figure illustrates possible intraoperative mediators and postoperative adverse events associated with 'deeper' hypnosis during sedation or general anaesthesia, which could in turn increase the likelihood of intermediate-term immobility and death.





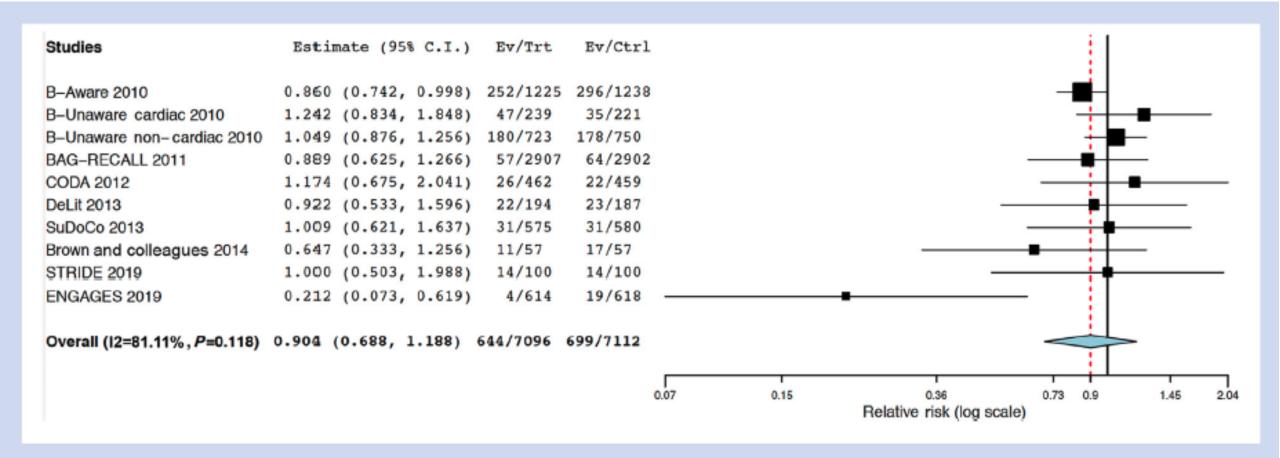


Fig 2. Meta-analysis summarising 10 trials in which the intervention group had received EEG or bispectral index (BIS) guidance, with or without the explicit goal of 'light' anaesthesia or sedation. This analysis was conducted using OpenMetaAnalyst.²² It is a binary, random effects, Hartung-Knapp-Sidik-Jonkman model.^{23,24} The I²=81%, tau²=0.131, Q(df=9)=14.135, and heterogeneity P-value=0.118. As shown in the figure, the estimated overall risk ratio for death with the intervention (BIS-guided [reduction in] sedation/anaesthesia)=0.904 (95% confidence interval, 0.688–1.188, P=0.471).









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How sure are you of your result? Put a number on it



Any scientist publishing a claim should quantify their confidence in it with a probability, argues Steven N. Goodman.

Based on the evidence **prior to ENGAGES**, what was the probability that avoiding intraoperative burst suppression decreases postoperative delirium?

- A. <1%
- B. ~5% (big effect)
- C. ~50% (small effect)
- A. >90%

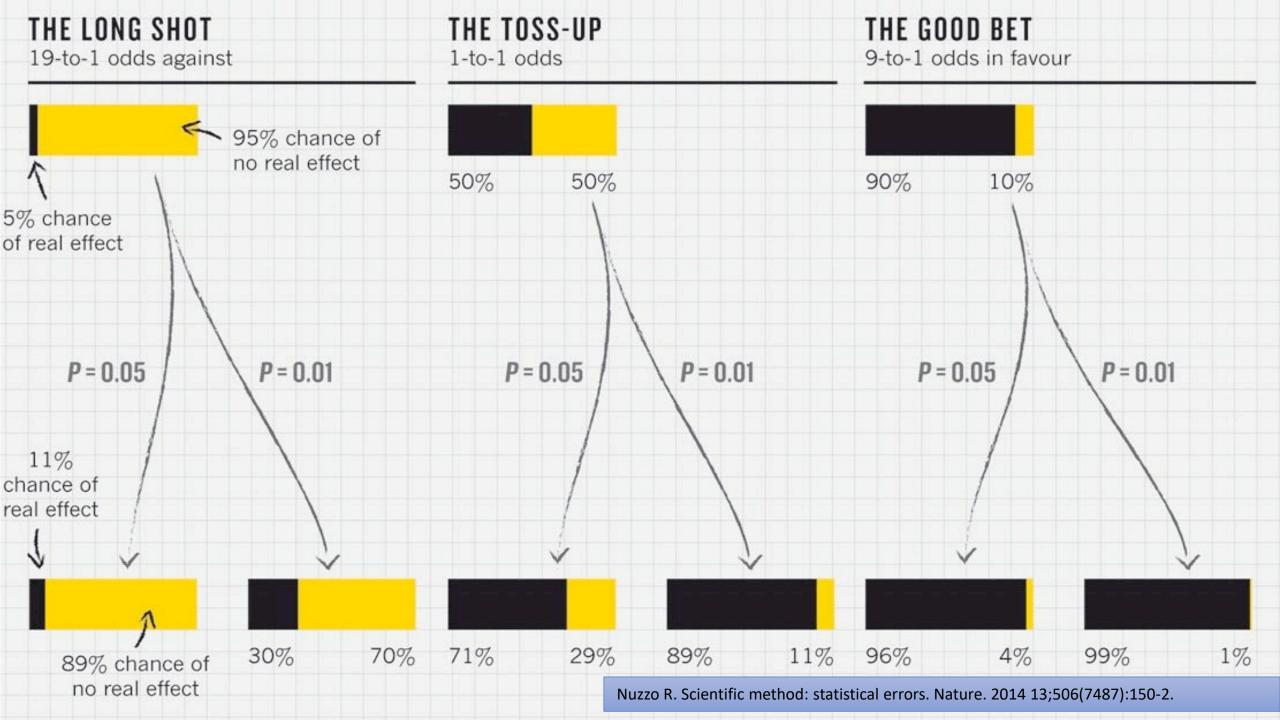
Based on the evidence **prior to ENGAGES**, what was the probability that avoiding intraoperative burst suppression decreases postoperative death?

A. <1% (any effect)

B. ~5%

C. ~50%

A. >90%





Limitations of ENGAGES

- Too small
- Single center
- Insufficient change in practice
- The wrong EEG signal
- Not enough at-risk patients enrolled

ENGAGES - Canada

- Same size (1,200)
- Four centers
- Change in practice?
- The same EEG signal
- Only older cardiac surgery patients enrolled

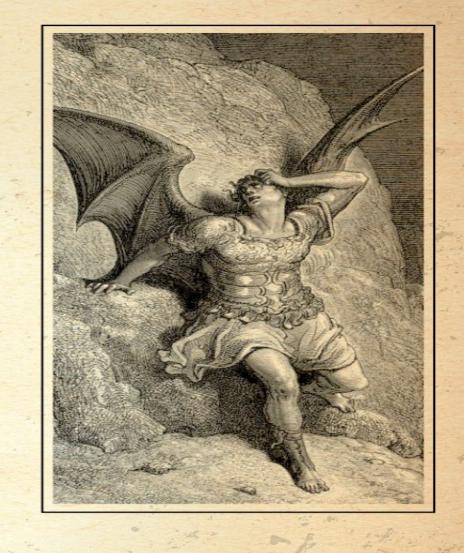


Recapitulate

- 1. Why ENGAGES
- 2. Patient Centered
- 3. Efficient
- 4. Pragmatic
- 5. Successes
- 6. Limitations
- 7. Next Steps

Epilogue

The mind is its own place, and in itself can make a heaven of hell, a hell of heaven.



John Milton Paradise Lost

Image by Gustave Doré, Depiction of Satan c. 1866

