Pragmatic Trial of <u>Acu</u>puncture for Chronic Low Back Pain in <u>Older Adults</u> (Acu OA) aka "Back in Action"

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Why Acupuncture in Older Adults with cLBP?

 Acupuncture for cLBP in younger adults: "moderate evidence of effectiveness for improving pain and function compared to usual care" 2017 ACP practice guideline

CMS.gov

Centers for Medicare & Medicaid Services

- Seeking effectiveness information for older adults
- In midst of National Coverage Determination



Why Acupuncture for Older Adults with cLBP?

- Pain medications often riskier for older adults (more side effects, polypharmacy)
- High LBP prevalence
- Incidental imaging findings unneeded invasive treatment
- Increasing costs of care
- More openness to acupuncture than in past

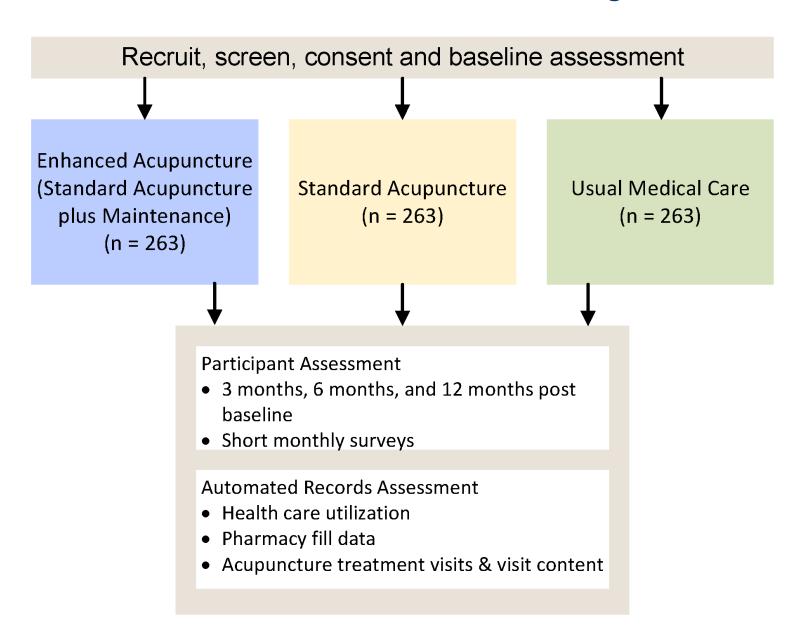


Need more safe and effective treatment options for older adults with cLBP

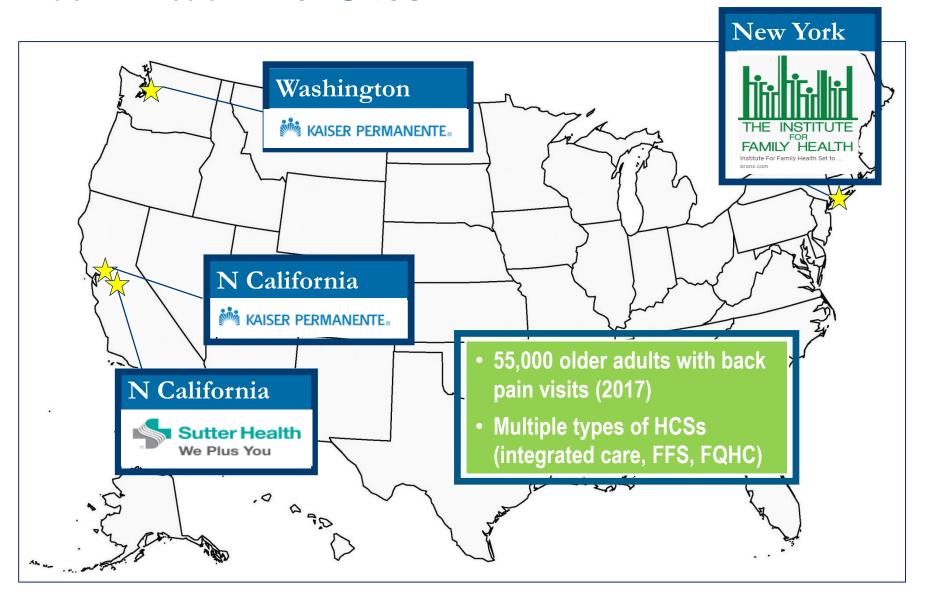
Study Aims

- <u>UG3 Aim 1:</u> Preliminary work to demonstrate our capacity to do the trial
 - ... Hopefully transition to UH3
- <u>UH3 Aim 1: Pragmatic RCT</u> evaluating <u>standard</u> <u>acupuncture</u> and <u>maintenance acupuncture</u> for older adults with cLBP in 4 health care systems (HCSs).
- <u>UH3 Aim 2:</u> Conduct a <u>C-E analysis</u> of both types of acupuncture compared to usual medical care.
- <u>UH3 Aim 3:</u> Conduct <u>formative and summative evaluations</u> to understand barriers and facilitators to adoption, implementation, and sustainability of acupuncture treatment for older adults.

Overview of Main Trial Design



Back In Action Trial Sites



Proposed Inclusion and Exclusion Criteria

| Inclusions (from EHR) | Exclusions |
|--|--|
| Primary care received at Participating HCS | LBP less than 3 months in duration |
| LBP diagnosis received in past 12 months | Mild symptoms (general activity question |
| • ≥ 65 years of age | from BPI < 3) |
| Uncomplicated back pain | LBP caused by specific disease |
| (with or without radiculopathy) | Back surgery within past 3 months |
| | Lawsuit or worker's comp related to LBP |
| | Acupuncture within last 6 months |
| | Conditions making consent and treatment difficult (e.g. Non-English speaker, dementia) |
| | Inappropriate medical condition |
| | Living in nursing home, on Hospice, receiving palliative care |
| | PCP declines patient participation |

Study Interventions

- Standard Acupuncture: 12 weeks of acupuncture needling
- Enhanced Acupuncture: Standard Acupuncture plus 12 weeks of Maintenance
- Usual Medical Care (UMC)
- CMS Constraint: acupuncture needling only
- Standard Acupuncture: up to 15 visits over 12 weeks
- Enhanced Acupuncture: Standard Acupuncture plus up to 6 visits over 12 additional weeks
- Everyone has access to usual medical care
- Considering a resource guide for UMC patients

Outcome Measures

| Domains | Baseline | Monthly FU | 3, 6, 12 Month FU | Data Source | |
|--|-------------------------|---------------|----------------------|---------------------------|--|
| Demographic & Clinical Characteristics | | | | | |
| Patient Characteristics | V | | | EHR / PRO | |
| Medical & Back Pain History | V | | | EHR / PRO | |
| Acupuncture Expectations | \checkmark | | | PRO | |
| Primary and Secondary Measures | | | | | |
| *Back Pain-related Dysfunction (RMDQ – Primary Outcome)* | \checkmark | | \checkmark | PRO | |
| Low Back Pain Intensity | V | \checkmark | \checkmark | PRO | |
| Pain Interference | V | \checkmark | √ | PRO | |
| Physical Function | V | \checkmark | \checkmark | PRO | |
| Depression & Anxiety | V | | √ | PRO | |
| Sleep Disturbance | V | | √ | PRO | |
| Other PROMISE-29 (Fatigue, Ability to Participate in Social Roles) & Patient Global Impression of Change | \checkmark | | \checkmark | PRO | |
| Euro-QOL-5D (12 month only) | V | | √ | PRO | |
| Treatment-Related Information | | | | | |
| Adverse Events | | | V | PRO / EHR / Tx records | |
| Adherence to Assigned Treatment | | | \checkmark | Tx records | |
| Health Care Utilization | | | | | |
| Health Care Utilization and Costs (pulled annually – pre & post) | \checkmark | | \checkmark | EHR / PRO / | |
| Green measures recommended by NIH Task Force (RTF) | PROMIS-29 profile V 2.0 | | | Medicare Claims | |

Aim 1: Effectiveness of Acupuncture

- Evaluate effectiveness of SA and EA compared to UMC at 3, 6 and 12 months
- Primary outcome measured at 6 month follow-up
- Hypothesize both SA and EA are better than UMC
- Longitudinal analysis with GEE
- Control for multiple comparisons
- Will use multiple imputation if needed
- Other analyses of pain intensity, pain interference, physical function
- Pre-planned subgroup analyses

Aim 2: Cost Effectiveness

- Cost-effectiveness of Standard and Enhanced Acupuncture compared to Usual Medical Care over a year
- Quality-adjusted life-years (QALYs) using EQ-5D
- Costs from Medicare perspective
 - Medicare claims based (UG3 exploring optimal methods)
 - Costs adjusted on prior year health care utilization costs per patient
 - Actual acupuncture visit costs
- Costs from the health care sector perspective
 - payer costs plus patient out-of-pocket co-pays
 - exploring whether claims data includes these, otherwise estimated based on usual co-pay amounts in each HCS

Aim 3: Formative & Summative Evaluation

Health plan, patient, & acupuncturist input on adoption, implementation, & sustainability of acupuncture for older adults

| Trial Year / Phase | Evaluation Focus | Goals | Data / Methods |
|--------------------|---|--|---|
| UG3 / Planning | Formative: Trial preparation | Identify participation barriers & facilitators Finalize acupuncturist | Patient focus groups; debrief w/pilot patients Acupuncturist Advisory |
| | | approach & data forms Align approach with CMS needs* | Panel Ongoing discussions with CMS & health plan leadership |
| UH3 / Years 1 & 2 | Formative: Implementation | Identify local adaptations & emerging barriers / facilitators | Interviews with patients, acupuncturists & health plan leaders |
| UH3 / Year 3 | Summative: RE-AIM, spread & sustainment | Identify elements critical for integrating care into a variety of settings | Interviews with: PCPs, stakeholders external to participating HCSs |

^{*} UH3 ongoing activity

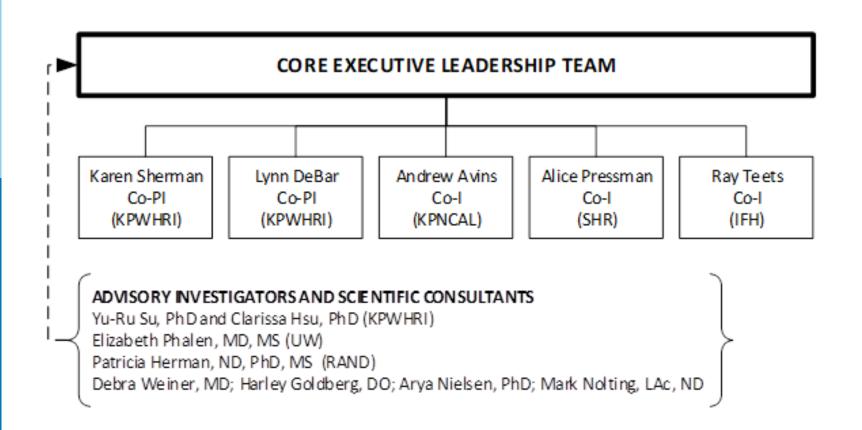
Acupuncture Advisory Panel (AAP)

- 8 acupuncturists
- All experienced with treating cLPB
- UG3 Tasks: intervention protocol, inform study acupuncturist qualifications, feedback on data collection forms, input into training of study acupuncturists
- We provided information on treatment parameters from high quality RCTs
- Polled AAP members about their tx of older adults with cLBP
- Delphi panel process for intervention protocol

Acupuncture Advisory Panel: current recommendations

- Back and distal acupuncture points, with recommended points
- 6-20 needles
- Needle retention times: none to 20 minutes for back and front treatments,
 25-40 minutes if back only
- De qi at discretion of practitioner
- Visit sessions typically 45-60 minutes
- Prefer uncoated needles
- Can alter treatment if appropriate and provide rationale

Back In Action Leadership Team



UH3 Data Sharing

Patient adherence to treatment

LAc reported treatment

| Type of Data | What We Can Access | Needed for Analysis | What We Can Share | | | |
|--|--|--|--|--|--|--|
| Electronic Health Record Data | | | | | | |
| Patient ID | MHRN, name, and contact information | Unique patient ID linked to MHRN | Anonymous patient ID | | | |
| Demographic Info | \checkmark | \checkmark | In some cases windsorized / tabular form | | | |
| Clinical Characteristics | \checkmark | \checkmark | \checkmark | | | |
| Health Care Utilization | Detailed service information by date | Detailed service information by date | Rolled up summary variables | | | |
| Medication use (including pain OTCs collected PRO) | Detailed information by date and agent | Detailed information by date and agent | Rolled up summary variables | | | |
| Patient PCP | \checkmark | No | No | | | |
| Patient Health plan / clinic | \checkmark | No | No | | | |
| Patient and Acupuncturist reported (outcomes) | | | | | | |
| Back-related pain & functioning | \checkmark | \checkmark | $\sqrt{}$ | | | |
| Comorbid symptoms(PROMIS) | \checkmark | \checkmark | \checkmark | | | |
| QOL (EQ5D, etc) | \checkmark | \checkmark | \checkmark | | | |
| PGIC | \checkmark | \checkmark | \checkmark | | | |
| Treatment Records | | | | | | |
| Adverse Events | \checkmark | \checkmark | \checkmark | | | |

Back In Action Barriers Scorecard

| Barrier | Level of Difficulty* | | | | |
|--|----------------------|--------------|----------|--------------|---|
| | | 2 | 3 | 4 | 5 |
| Enrollment and engagement of patients/subjects | | | √ | | |
| Engagement of clinicians and health systems | \checkmark | | | | |
| Data collection and merging datasets | | \checkmark | | | |
| Regulatory issues (IRBs and consent) | | \checkmark | | | |
| Stability of control intervention | | \checkmark | | | |
| Implementing/delivering intervention across healthcare organizations | | | | \checkmark | |

*Your best guess!

1 = little difficulty

5 = extreme difficulty